

Identifying Critical Input Parameters for Improving Drag-Based CME Arrival Time Predictions

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Key Points:

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- We study the sensitivity of drag-based arrival time models to input parameters
- Different precisions on the input parameters are needed for different "strength" CMEs
- The arrival time tends to be more sensitive to CME parameters than solar wind parameters

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14 Abstract

Coronal mass ejections (CMEs) typically cause the strongest geomagnetic storms so a 15 major focus of space weather research has been predicting the arrival time of CMEs. Most 16 arrival time models fall into two categories: (1) drag-based models that integrate the drag 17 force between a simplified CME structure and the background solar wind and (2) full 18 magnetohydrodynamic (MHD) models. Drag-based models typically are much more com-19 putationally efficient than MHD models, allowing for ensemble modeling. While arrival 20 time predictions have improved since the earliest attempts, both types of models cur-21 rently have difficulty achieving mean absolute errors below 10 hours. Here we use a drag-22 based model ANTEATR (Another Type of Ensemble Arrival Time Results, Kay & Gopal-23 swamy, 2018) to explore the sensitivity of arrival times to various input parameters. We 24 consider CMEs of different strengths from average to extreme size, speed, and mass (ki-25 netic energies between 9×10^{29} and 6×10^{32} erg). For each scale CME we vary the input 26 parameters to reflect the current observational uncertainty in each and determine how 27 accurately each must be known to achieve predictions that are accurate within 5 hours. 28 We find that different scale CMEs are the most sensitive to different parameters. The 29 transit time of average strength CMEs depends most strongly on the CME speed whereas 30 an extreme strength CME is the most sensitive to the angular width. A precise CME 31 direction is critical for impacts near the flanks, but not near the CME nose. We also show 32 that the Drag Based Model (Vršnak et al., 2013) has similar sensitivities, suggesting that 33 these results are representative for all drag-based models. 34

35 Plain Language Summary

arge explosions of plasma and magnetic field known as coronal mass ejections fre-36 quently erupt from the solar atmosphere. When CMEs head toward Earth they inter-37 act with with the near-Earth plasma and magnetic field, affecting the "space weather." 38 CMEs typically cause the strongest space weather effects so a major focus has been pre-39 dicting the time it takes for a CME to propagate from the Sun to the Earth. Many mod-40 els have been developed over the past decades to predict the arrival time of CMEs but 41 all have difficulty achieving absolute errors less than 10 hours. Here we use a simple model 42 that integrates the drag force between a CME and the background solar wind. Due to 43 the model's simplicity we can run a large number of simulations, allowing us to explore 44 how the arrival time changes as the various model inputs are changed. We consider CMEs 45 of different strengths and find that the behavior differs between average and extreme CMEs. 46 We determine the precision needed for each input parameter to achieve predictions that 47 are accurate within 5 hours. We compare our results with those from a similar model. 48 Both models exhibit the same sensitivity to the input parameters, suggesting that these 49 results are representative for most drag-based models. 50

1 Introduction

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Space weather refers to the state of the near-Earth radiation and plasma environment, which often changes as a result of solar-driven activity. Understanding the behavior of this environment is crucial as it can affect human technologies, both in space and on the Earth's surface, and adversely affect the health of humans in space. The latter
 is of particular relevance given NASA's renewed focus on human space exploration with a plan to return to the Moon by 2024 and eventually send humans to Mars.

⁵⁸ Coronal mass ejections (CMEs) are large explosions of plasma and structured magnetic field that routinely erupt from the solar surface and continue propagating out through
 ⁶⁰ the solar system. CMEs drive some of the strongest space weather effects at Earth, so
 ⁶¹ accurately predicting their arrival is essential.

Much focus has been placed on modeling the arrival time of CMEs and most mod-62 els follow the same basic algorithm. First, a CME is observed remotely near the Sun and 63 its basic properties, such as speed, size, and direction of propagation, are reconstructed 64 using some sort of morphological model (e.g. Xie et al., 2004; Thernisien et al., 2006). 65 These parameters are then used in a transit model that describes the interaction between 66 the CME and the background solar wind through which it propagates. The duration de-67 termined from the transit model is combined with the time of the near-Sun observations 68 to yield an arrival time at Earth. 69

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Most arrival time models fall within three categories- empirical models, drag-based models, and MHD models. Empirical models use a relation between observable parameters and the transit time that is derived from a set of previously observed CMEs and their transit times. This is the simplest type of arrival time model with essentially instantaneous computation time. Notable examples include the Effective Acceleration Model (EAM, Paouris & Mavromichalaki, 2017) and the Empirical Shock Arrival or Empirical CME Arrival (ESA and ECA, Gopalswamy et al., 2001). In a similar manner, machine learning techniques can be used to generate simple arrival time models (Liu et al., 2018).

Drag-based models use a physics-based equation to calculate the drag between a 79 CME and the background solar wind, which determines the CME velocity as a function 80 of time as well as the arrival time. While more complicated than empirical models, dragbased models still tend to be fairly computationally efficient. These models tend to in-82 tegrate similar forms of a standard drag equations but the models vary greatly in dimen-83 sionality and the approaches used to represent the CME structure in a simplified man-84 ner. Examples include the Drag Based Model (DBM Vršnak et al., 2013), the Enhanced 85 Drag Based Model (Hess & Zhang, 2015), the Ellipse Evolution Model (ElEvo Möstl et 86 al., 2015), a version of ElEvo using data from Heliospheric Imagers (ElEvoHi Rollett et 87 al., 2016), and a probabilistic version of the DMB (P-DBM Napoletano et al., 2018). 88

The last type of model is full MHD models. These models simulate a full background 89 solar wind, then simply embed a CME as either a hydrodynamic or magnetic structure 90 at the inner boundary. The motion is then fully determined by the MHD equations and 91 the CME is not treated distinctly from the background solar wind. These models are the 92 most sophisticated but also the most computationally expensive, making it currently im-93 practical to use them for ensemble predictions before an actual CME arrival. These mod-94 els differ in the approaches used for the background solar wind and how the CME is rep-95 resented and embedded into the simulation. MHD models currently capable of arrival 96 time predictions include SWMF AWSOM (Jin et al., 2017), ENLIL (Odstrcil et al., 2004), 97 EUHFORIA (Pomoell & Poedts, 2018), and SUSANOO (Shiota & Kataoka, 2016). 98

While this process of predicting arrival times appears relatively straightforward, 99 there are some subtleties. First, one should consider whether or not a CME will actu-100 ally impact the Earth or it will miss it entirely. This is often not directly addressed in 101 arrival time studies, but is a critical aspect of space weather predictions. Second, if a CME 102 travels faster than the speed at which information can propagate through the background 103 solar wind it will drive a shock wave ahead of it. Some arrival time models simulate the 104 arrival of the shock, when present, while others focus on the main body of the CME. Both 105 versions can be useful, but caution must be exercised when comparing with observations 106 or between different models. Finally, most arrival time models require some level of hu-107 man input, which can lead to different users obtaining different results. This difference 108 from user to user is often only in determining the input parameters. Many morpholog-109 110 ical models are based on a visual best fit to observations rather than a deterministic value. In some cases, however, expert operators use the raw output from a deterministic model 111 in combination with additional observations and their experience determine an arrival 112 time (Riley et al., 2018), sometimes referred to as "forecaster-in-the-loop" or "human-113 in-the-loop". 114

To better understand the intricacies of predicting CME arrival time the CME Ar-115 rival Time and Impact Working Team was formed¹ (Verbeke et al., 2019). This work was 116 originally facilitated by NASA's Community Coordinated Modeling Center (CCMC) and 117 is now a part of the International Space Weather Action Teams (ISWAT). As part of this 118 project, the CCMC maintains the Arrival Time Scoreboard, a web-based system where 119 modelers can submit their predictions for observed events before their actual arrival. As 120 of 2019, the Arrival Time Scoreboard had over 20 registered models, roughly split be-121 tween predicting the arrival time of the shock or the CME. 122

123 Verbeke et al. (2019) report on the current progress of the CME Arrival Time and Impact Working Team. The initial focus of the team has been to establish the param-124 eters of a validation study. They have defined the metadata that should be collected, which 125 will ensure that future model results are reproducible, as well as the metrics that will 126 be used to assess the performance of each model. Finally, they have identified the CMEs 127 that should be simulated and plan to produce a set of input parameters for each CMEs' 128 size, speed, and location. Ensuring that all models are producing results for the same 129 cases with the same inputs will greatly facilitate future comparison studies and better 130 understanding of the difference between the models themselves. 131

Recently, Riley et al. (2018) analyzed the predictions submitted to the CCMC Ar-132 rival Time scoreboard. This combines results from 32 different models for 139 unique 133 CMEs. Most models have predictions for fewer than 10 CMEs, making it difficult to assess their individual capabilities, but five models have predictions for more than 50 CMEs. For all cases, Riley et al. (2018) find an average error of -3.7 hours, indicating a slight 136 bias towards early predictions. The mean absolute error is 12.9 hours and the standard 137 deviation is 17.1 hours, which are better measures for the accuracy of the model as pos-138 itive and negative errors balance out in an unweighted average. We note that of these 139 32 models, 8 of them use some form of the ENLIL model. Despite using the same core 140 model, these 8 can have very different results, showing the sensitivity to the chosen val-141 ues of arrival time input parameters. Similarly, Wold et al. (2018) consider 279 CMEs 142 impacting either Earth or one of the STEREO satellites and find an average absolute 143 arrival-time prediction error of 10.4 hours and an unsigned error of -4.0 hours using an 144 ENLIL model. 145

Ensemble modeling can be a useful tool for more thoroughly characterizing arrival 146 time predictions. One performs a set of model runs, or ensemble, each with slight vari-147 ation in the input parameters, representing the typical range in their uncertainty from 148 observations. Unlike a single instance of a model, the ensemble results give information 149 on the range of possible arrival times, as well as the likelihood of each outcome. Pizzo 150 et al. (2015) lay out much of the theoretical work for using arrival time ensembles for 151 predictions using a version of ENLIL with a highly simplified solar wind background. A 152 coarse-grid run requires about 30 seconds of computational time on a supercomputer so 153 an ensemble of 100 ENLIL simulations would require roughly an hour. While not im-154 possible for individual studies, this computational requirement may not be sustainable 155 for long-term operations so alternative ensemble models may be preferable, such as the 156 Drag Based Ensemble Model (Dumbović et al., 2018, DBEM), which performs a ensem-157 ble of DBM models. For 25 CMEs, Dumbović et al. (2018) created ensembles of nearly 158 11,000 runs for each CME and found a mean absolute error of 14.3 hours. This error is 159 comparable to the values found in the previous studies and the simplicity of DBM al-160 lows for roughly 1000 runs per second on a normal computer. citeAAme18 performed 161 an ensemble of ElEvoHI simulations for the 3 November 2010 CME, which impacted STEREO-162 B, and study the sensitivity of the transit time to specific input parameters. 163

¹ Information at https://ccmc.gsfc.nasa.gov/assessment/topics/helio-cme-arrival.php

In this work we use ensembles to address a specific question- which parameters need to be known the most accurately to improve arrival time predictions. Using a drag-based model we perform large parameter space explorations and determine how the arrival time changes as each input parameter is varied. This information is essential as it will help focus future research strategies for improving arrival time predictions beyond the current mean absolute error of about 10 hours.

170 2 Model and Ensemble Parameters

We use ANother Type of Ensemble Arrival Time Results (ANTEATR, Kay & Gopal-171 swamy, 2018) to study the sensitivity of drag-based arrival time modeling to various in-172 put parameters. ANTEATR was developed to take the output from ForeCAT (Kay et 173 al., 2015), a model for the coronal deflections and rotations of CMEs due to background 174 magnetic forces. Figure 1 shows the toroidal CME shape used in both ForeCAT and ANTEATR. 175 The top of Figure 1 shows side and front views of the gray torus along with the location 176 of the CME nose and flanks. The torus is assumed to have a circular cross section but 177 the toroidal axis (maroon dashed line) need not be circular. The toroidal direction points 178 along the toroidal axis and the poloidal direction points in/out of the page in the side 179 view. In the front view the poloidal and toroidal directions are shown with a dark blue 180 and maroon arrows, respectively. 181

The CME shape is defined by the two semi-axis of the toroidal axis (light blue dashed lines marked a and c) and the cross-sectional width (light blue dashed line marked b). In practice we define the torus using the angular width AW= $\arctan((b+c)/(R-a-b))$ where B is the radial distance distance of the CME nose, and two shape ratios A = b

¹⁸⁵ b), where R is the radial distance distance of the CME nose, and two shape ratios A = a/c and B = b/c.

We propagate the torus to 1 AU using the standard hydrodynamic drag equation.

$$F_{\rm d} = C_{\rm d} A \rho_{\rm SW} (v_{\rm CME} - v_{\rm SW}) |v_{\rm CME} - v_{\rm SW}| \tag{1}$$

In Equation 1, the drag force, F_d , is determined from the drag coefficient (C_d) , the cross-187 sectional area of the CME in the direction of propagation (A), the background solar wind 188 density ($\rho_{\rm SW}$), the CME velocity ($v_{\rm CME}$), and the solar wind velocity ($v_{\rm SW}$). We will re-189 fer to the solar wind density by the number density $n_{\rm SW}$, which we take to be the mass 190 density divided by the proton mass. ANTEATR calculates a single force for the entire 191 CME, which is assumed to propagate as a rigid torus. The net acceleration is determined 192 by dividing the force by the CME mass, which uniformly decelerates the radial veloc-193 ity of the entire CME (or accelerates in the case of CMEs slower than the background 194 solar wind). This acceleration continually modifies the radial CME velocity as it prop-195 agates out, yielding a transit time and radial velocity upon impact. Note throughout this 196 work we refer to the sensitivity of the arrival time and transit time interchangeably as 197 the arrival time is simply the transit time added to the CME start time and we do not 198 consider variations in the start time. 199

In general, the determination of the transit time can be broken down into three fac-200 tors. For the purposes of this illustration we assume the CME is faster than the back-201 ground solar wind. The first factor we will refer to as the "drag-free nose impact" tran-202 sit time, or T_0 . This is the absolute minimum amount of time the CME could take to 203 propagate to 1 AU, equivalent to the distance traveled divided by the coronal CME ve-204 locity. Still ignoring the effects of drag, the transit time will increase as the impact moves 205 away from the nose toward the flank, giving us the "drag-free actual impact" transit time, 206 or T'_0 . Finally, the actual transit time, T, will further increase when drag is included and 207 the CME velocity decreases during the transit. 208

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For all models, T_0 will be the same if the same initial distance and velocity are used. Equation 1 is used by most drag-based models, including the simplification to a one-dimensional



Figure 1. Cartoon showing a side view and a front view of the ANTEATR torus and illustrating the toroidal and poloidal directions (maroon and dark blue, respectively). The bottom illustrates how the toroidal and poloidal directions relate to the latitudinal and longitudinal directions for different CME orientations.

drag force. If T'_0 is the same, A is calculated similarly, and the same background solar wind is used then these models should yield similar T. Models differ the most when it comes to how the CME shape is approximated, and therefore the location of impact and the cross-sectional area differ as well, which will affect both T'_0 and T.

ANTEATR differs from most drag-based models when it comes to determining the 215 relative location of the CME and Earth or satellite of interest. We use the full three-dimensional 216 shape and location to determine when impact first occurs rather than reducing the prob-217 lem to one or two dimensions by taking a cut along the CME nose or along the expected 218 219 direction of impact. Any uncertainty in this direction due to the inherent projection effect introduced by coronagraphs will affect the arrival time. In addition to exploring the 220 sensitivity to parameters explicitly included in the drag equation we will determine the 221 extent to which the CME position influences the transit time. The simplifications in ge-222 ometry introduced by other models could potentially cause errors in the arrival time on 223 the scale of the variations induced by changes in the CME's three-dimensional position. 224

We wish to determine which parameters are the most critical for determining ac-225 curate arrival time predictions. This may vary from CME to CME, particularly for dif-226 ferent size or speed CMEs. A CME that initially propagates at nearly the same speed 227 as the background solar wind will not be affected the same as a CME initially much faster 228 than the background solar wind. To account for this we consider CMEs of different "strengths" 229 and create an ensemble for each strength. We use the term strength to refer to a unique combination of CME mass, speed, and size, with weak CMEs being less massive, slower, and smaller than strong CMEs. We will refer to different strength CMEs by their kinetic 232 energy, KE, or the base-10 logarithm of the KE in erg. While this parameter incorpo-233 rates the changing velocity and mass at each strength we emphasize that the angular width 234 is simultaneously increasing. 235

We consider CMEs between masses of 10^{15} g and 5×10^{16} g. Based on the properties of the observed CMEs used in Kay and Gopalswamy (2017), we determine linear scalings between the logarithm of the CME mass and the peak radial velocity and angular width

$$v = 660 \log_{10} M_{\rm CME} - 9475 \tag{2}$$

$$AW = 19.8 \log_{10} M_{\rm CME} - 270 \tag{3}$$

where $M_{\rm CME}$ is in g and the resulting v and AW are in km/s and °, respectively. For our range of CME masses this corresponds to velocities between 425 and 1550 km/s and angular widths between 27 and 61°. These masses and velocities cause our CMEs having a log(KE) between 30.0 and 32.8, which ranges from roughly average to an extreme value (e.g. Gopalswamy et al., 2009).

Kay and Gopalswamy (2017) used the Graduated Cylindrical Shell stereographic 241 reconstruction technique (Thernisien et al., 2006) to determine the angular width of the 242 CMEs. This angular width is not the full angular width rather the angle between the 243 nose and flank of the CME (half angle). As in Kay and Gopalswamy (2017) we use this 244 angle to determine the span of our torus shape. In this work we use fixed values of 0.75245 and 0.55 for the shape parameters A and B, the average of the cases in Kay and Gopal-246 swamy (2017). When determining the sensitivity to the location of impact we use b_{pol} 247 and $b_{\rm tor}$, which are the impact parameters in the poloidal and toroidal direction. We de-248 fine these as between -100 and 100 with 0 representing the center in that cross-sectional 249 direction and ± 100 representing the edges. We find that our results are not particularly 250 sensitive to the precise shape parameter values when we consider changes in terms of the 251 impact parameters, though they certainly affect the conversion between impact param-252 eter and degrees. 253

The ensembles are generated from a seed case by linearly sampling a range about each input parameter. The seed case for strength CME has a unique mass, velocity, and

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$\begin{array}{cccc} b_{\rm tor} & 0 & \pm \\ v_{\rm CME}^{*} & 425\text{-}1550 \text{ km/s} & \pm 5 \\ M_{\rm CME}^{*} & 10^{15}\text{-}5\times10^{16} \text{ g} & \pm 5 \\ AW^{*} & 27\text{-}61^{\circ} & \pm 5 \\ v_{\rm SW} & 440 \text{ km/s} & \pm 2 \\ n_{\rm SW} & 6.9 \text{ cm}^{-3} & \pm 7 \end{array}$	Parameter	Seed Value	Range
$\begin{array}{ccccc} b_{tor} & 0 & \pm \\ v_{CME}^{*} & 425\text{-}1550 \text{ km/s} & \pm 5 \\ M_{CME}^{*} & 10^{15}\text{-}5\times10^{16} \text{ g} & \pm 5 \\ AW^{*} & 27\text{-}61^{\circ} & \pm 5 \\ v_{SW} & 440 \text{ km/s} & \pm 2 \\ n_{SW} & 6.9 \text{ cm}^{-3} & \pm 7 \end{array}$	b _{pol}	0	±100
$\begin{array}{ccc} M_{\rm CME}^{*} & 10^{15}\text{-}5 \times 10^{16} \text{ g} & \pm 5 \\ AW^{*} & 27\text{-}61^{\circ} & \pm 5 \\ v_{\rm SW} & 440 \text{ km/s} & \pm 2 \\ n_{\rm SW} & 6.9 \text{ cm}^{-3} & \pm 7 \end{array}$		0	± 100
$\begin{array}{ccc} AW^{*} & 27{-}61^{\circ} & \pm 5\\ v_{SW} & 440 \text{ km/s} & \pm 2\\ n_{SW} & 6.9 \text{ cm}^{-3} & \pm 7 \end{array}$	${ m v_{CME}}^*$		$\pm 50\%$
$\begin{array}{ccc} v_{\rm SW} & 440 \ {\rm km/s} & \pm 2 \\ n_{\rm SW} & 6.9 \ {\rm cm}^{-3} & \pm 7 \end{array}$	$M_{\rm CME}*$	10^{15} -5×10 ¹⁶ g	$\pm 50\%$
$n_{\rm SW}$ 6.9 cm ⁻³ ±7	AW^*	$27-61^{\circ}$	$\pm 50\%$
5	$v_{\rm SW}$	440 km/s	$\pm 25\%$
C_{d} 1 ±10	n_{SW}	$6.9 \ {\rm cm}^{-3}$	$\pm 75\%$
	C_{d}	1	$\pm 100\%$

Table 1. Seed Values and Ensemble Ranges

angular width but all other parameters are the same. Table 1 lists the seed values with the "*" indicating a value that scales with CME strength. For each strength ensemble we vary each input parameter individually, running 30 simulations covering the range shown in Table 1. Each strength ensemble contains the seed case and 8 sets of 20 unique simulations and we consider 30 different strengths. Note that for each strength case the range for the velocity, mass, and AW are determined using $\pm 50\%$ of its specific seed values.

Typically when we run ANTEATR we include the orbit of the Earth about the Sun, 263 which causes roughly a degree change in longitude for each day of transit. Here we wish 264 to focus on the change in transit time (Δt) rather than the absolute value and this com-265 parison is easiest when all impacts occur at the CME nose (except for the impact pa-266 rameter study). To facilitate this we exclude the orbital effects in this work. These sec-267 ondary effects from small changes in the location of impact due to small changes in the 268 Earth's orbital location are equivalent changes in the impact parameter of roughly a de-269 gree. The results of this work show that this magnitude of changes are negligible when 270 the impact occurs near the CME nose. 271

3 Ensemble Results

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We group our parameters into three sets - parameters related to the CME position, 273 properties of the CME itself, and background solar wind properties. The results for these 274 sets are shown in Figures 2-4, and discussed in Sections 3.1-3.3. For each parameter we 275 first consider the percentage change in the transit time for a percentage change in the 276 parameter. While percentages are not the most intuitive for forecasting, this normaliza-277 tion helps illuminate some of the trends across different strength CMEs. The ranges of 278 the input parameters are not uniform between different parameters as we expect to be 279 able to predict certain parameters more accurately than others. Table 1 shows these ranges. 280 We also show the corresponding change in transit time for a given percentage change in 281 input parameter. Finally, we use the information from each ensemble to derive the hourly 282 change in transit time as a function of input parameter (in natural units) and CME strength. 283

Figures 2-4 all have the same format. Each row contains the results for an individual input parameter. Within that row the left panel shows percentage change in transit time versus percentage change in input, the middle shows hourly change in transit time versus percentage change in input, and the right shows hourly change in transit time as a function of change in input parameter and CME strength (labeled according to the logKE).

In the left and middle panels, each point is colored according to the CME strength with darker colors representing average CMEs and brighter colors representing extreme CMEs. For each strength we determine the transit time of the control case (unperturbed
initial parameters) and subtract this from each ensemble member to determine the change
in transit time. Positive changes indicate a delay in arrival time (longer transit time) and
negative indicates early arrival. Dashed lines are shown every 10% (left panels) or 5 hours
(middle panels) to illustrate the difference in scales between different parameters.

To facilitate comparison between different strength ensembles we fit a natural cubic spline to each set. The resulting spline is shown by a line matching the color for that strength CME. Often polynomial and spline fits are subject to the Runge phenomena where the best fit oscillates wildly near the extreme points. Using a natural spline ensures that the fit is well-behaved at near the edges by forcing a linear profile beyond the extreme points.

We use the spline fits to develop a continuous distribution of change in transit time 303 as a function of change in input parameter and CME strength, which we show as a con-304 tour plot in the right panels. While the strength is labeled using the logKE we remind 305 that this represents a simultaneous change in mass, speed, and angular width. The con-306 tours are scaled to saturate at ± 10 hours, the current average absolute uncertainty in 307 arrival time predictions. Red indicates early arrivals and blue indicates delays. Contour 308 lines are drawn every two hours in change in arrival time, excluding zero for readabil-309 ity. We allow for an extrapolation of 25% beyond the range used to fit the splines, a some-310 what arbitrary but reasonable limit to the extent to which we can trust the interpola-311 tion. Grey shaded regions indicate values outside this limit, which are likely beyond the 312 range of uncertainty in the input parameters. 313

314 **3.1** CME Position

For the position we consider the impact parameter in either the toroidal or poloidal 315 directions $b_{\rm pol}$ and $b_{\rm tor}$. Our ensembles are centered about an impact directly at the CME 316 nose and our CME is horizontal so that the toroidal axis lies within the ecliptic plane. 317 Accordingly, changes in latitude and longitude correspond respective to changes in the 318 poloidal and toroidal directions. If we change the CME orientation we see the same de-319 pendence on the the toroidal and poloidal impact parameters, but these now correspond 320 to different directions in terms of latitude and longitude. The bottom of Figure 1 illus-321 trates how different CME orientations lead to the toroidal and poloidal directions cor-322 responding to different combinations of the latitudinal and longitudinal directions. 323

Figure 2a shows that moving from the nose $(b_{pol}=0)$ to the extreme poloidal flank 324 $(b_{\rm pol}=\pm 100)$ causes changes up to 25% in the transit time with the percentage increas-325 ing with CME strength. Since the transit time decreases with CME strength we actu-326 ally see little variation when the results are expressed in hours. The largest changes cor-327 respond to an 8 hour delay, as seen in Figure 2b. The transit time is particular insen-328 sitive to the position near the CME nose, any position out to about 60% of the poloidal 329 width will produce a transit time within 2 hours of the control case. The sensitivity to 330 precise position rapidly increases as the impact moves towards the flanks, changing by 331 4 hours for positions in the outer 40% of the poloidal radius. 332

The changes are much larger for the toroidal impact parameter, shown in the bot-333 tom row of Figure 2, since our CME shape extends much farther in the toroidal direc-334 tion than the poloidal direction. We again find the results are more sensitive near the 335 flanks than the nose. Looking at variations with CME strength we find that the sensi-336 tivity initially increases as we move from the average cases toward the fast CMEs, but 337 338 then begins decreasing again as we continue toward the extreme CMEs. The powerful CMEs are physically larger and therefore have a greater difference between radial dis-339 tance of the front at the nose and flank. Their velocity is also faster, however, so it takes 340 less time to cover a given distance. The turnover occurs when the speed outweighs the 341 size, and may occur at a different strength if using a different CME shape. The largest 342



Figure 2. Sensitivity of transit time to positional input parameters. The left column shows the percent change in transit time for a percent change in input parameter. The middle column shows the same in hours. In these panels the different colors indicate different strength CMEs with brighter colors representing more extreme CMEs. The right panel shows contours of change in transit time, in hours, for changes in inputs in natural units (e.g. degrees in this Figure) and different size CMEs, labeled using the CME mass as a proxy. The top row shows results for the poloidal impact parameter and the bottom shows the toroidal impact parameter.

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delays actually occur for the average CMEs, reaching about 25 hours despite only being a 27% change but having a long transit time.

Figure 2c and 2f show the contours of change in transit time extrapolated from the 345 spline fits. Little change is observed for all strengths near the nose, only near the flanks 346 does the transit time begin to change rapidly. For most masses, a change in position of 347 10° near the flanks can easily produce a 10 hour change in arrival time. Changes cor-348 responding to the grey shaded region in Figure 2c and 2f correspond to no impact oc-349 curring as one has moved beyond the extent of the CME. These factors make the CME 350 351 position a very odd parameter when it comes to determining arrival time. For most cases the results are insensitive but for a small subset the arrival time is extremely sensitive 352 and it can even lead to uncertainty in whether or not impact actually occurs. 353

Since our control cases impacts at the CME nose, changes in position, either poloidal or toroidal, can only result in a delay in transit time. Note that for predictions, if the impact was expected toward the flanks then the uncertainty in position bring the impact closer to the flank or the nose, respectively leading to either a delay or early arrival.

3.2 CME Parameters

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In this section we consider changes in the CME speed, mass, and angular width. These are the same three parameters that change with our CME strength. Here, the control cases have the values corresponding to that strength, then an individual parameter is varied while the other two remain constant. All given values of log(KE) correspond to the seed values and do not reflect any changes from the parameter space explorations.

3.2.1 CME Velocity

In the top row of Figure 3 we look at the sensitivity to the CME velocity. Note that the behavior of Eq. 1 changes when the CME velocity drops below that of the background solar wind, causing an acceleration instead of a deceleration. All but our weakest control cases have CME velocities greater than the background solar wind speed, but when we consider decreases in the CME velocity many ensemble members drop below it.

Changes to the CME velocity are the only changes we consider that affect the "dragfree nose impact" transit time T_0 . An increase in velocity will decrease this time, but will simultaneously also increase the drag force due to the larger difference from the background solar wind. The balance between these two effects determines the sensitivity to the CME velocity.

For all but the weakest few cases (below a log(KE) of 30.6), we see similar behavior for different strength CMEs with a slight increase in sensitivity toward higher strengths. If we look at changes in terms of hours, these effects again tend to balance out with CMEs with strengths above a log(KE) of 30.6 having a delay of 20 hours for a 50% decrease in CME velocity and an early arrival of 5 hours for a 50% increase in CME velocity.

The weakest cases show a rapid change in arrival time for small changes but then the profiles flatten as the CME velocity approaches and ultimately falls below that of the background solar wind and the drag begins accelerating the CMEs.

Figure 3c shows contours for changes in the CME velocity. The spline plots suggest that and within the range shown in Figure 3c the contours do saturate at ± 10 hours for all strengths. The transit time is more sensitive to decreases in the CME velocity, which result in delayed arrival times. For the weakest CMEs, a change of less than 100 km/s causes a 10 hour change in the arrival time. This critical velocity increases with CME strength with a change of about 600 km/s (300 km/s) corresponding to an early (late) arrival of 10 hours for a log(KE) of 31.2 (10¹⁶ g) CME.



Figure 3. Same as Figure 2 but for the CME velocity (top row), mass (middle), and angular width (bottom).

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3.2.2 CME Mass

The middle row Figure 3 shows results for changes in the CME mass. While this does not factor into the calculation of the drag force, it does determine the extent to which that force accelerates or decelerates the CME. As expected, as the mass increases the drag force becomes less effective and the transit time decreases.

For the weakest CMEs the velocities are essentially at the solar wind speed so the drag force is small and changes in the mass have a negligible effect. As the CME strength increases, so does the drag force and the transit time becomes more sensitive to changes the CME mass. For the most powerful CMEs a decrease of 50% in the mass corresponds to a 25% delay and and increase of 50% corresponds to an early arrival by 10%. However, since these CMEs have the shortest transit times these changes only correspond to a delay of 9 hours and an early arrival of 4 hours.

Figure 3f shows contours of the change in transit time for changes in mass up to 402 10^{16} g. Increases in mass cause early arrivals of less than 5 hours over the range of pa-403 rameters considered. An increase in mass decreases the deceleration from the drag force 404 so the CMEs gradually approach the drag-free transit time. We find slightly stronger sen-405 sitivities to decreases in mass with values approaching a delay of 8 hours at the grey bound-406 ary. We note that while we choose not to extrapolate in this region, it already is very 407 close to the fundamental limit of a 100% decrease in the CME mass. Accordingly, we 408 suggest that in most cases the CME mass is one of the least essential factors in deter-409 mining accurate transit times. 410

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3.2.3 CME Angular Width

The final CME parameter we consider is the angular width, shown in the bottom 412 row Figure 3, which determines the cross-sectional area of the CME. Changes in the an-413 gular width cause the largest variation in transit time with early arrivals up to 30% of 414 the total transit time for a decrease of 50% in the angular width and delays up to 150%415 for a 50% increase. The average CMEs again show little sensitivity due to the weak drag 416 but we see extreme delays for the most powerful CMEs. These CMEs have the largest 417 differential speed from the background and in the ANTEATR model the area used in 418 the drag force is roughly proportional to the square of the tangent of the angular width. 419 The tangent rapidly increases as the angular width approaches 90° and since the drag 420 force depends on the square of it it can very effectively decelerate the CME and cause 421 a large delay of 50 hours if the angular width is underestimated by 30° . 422

In the contours in Figure 3i, we again see that the results are more sensitive to delays (larger angular width) than early arrivals (smaller angular width). Above a log(KE) of 31.1 an increase of 15° in the angular width causes a delay of 10 hours. In comparison, an early arrival of 10 hours typically requires a change in the angular width greater than 20°. For the weakest strengths any change in the angular width causes a change of less than ± 2 hours in the transit time.

3.3 Solar Wind Parameters

The previous parameters were all related to the CME itself but the properties of
the background solar wind may also play an important role in determining the transit
time. For ANTEATR, and similar to many other drag-based models, we use a simple
solar wind that is fully determined by the velocity and number density at 1 AU. In this
section we consider the effects of changes in the solar wind velocity, number density, and
drag coefficient.





Figure 4. Same as Figure 2 but for the solar wind velocity (top row), number density (middle), and drag coefficient (bottom).

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3.3.1 Solar Wind Velocity

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The top row of Figure 4 shows that the sensitivity to the solar wind speed tends 437 to increase as the CME strength decreases. For the average CMEs, where the CME ve-438 locity is comparable to the seed solar wind velocity, the trend breaks down as some of 439 the ensemble members experience accelerations instead of decelerations. We found a sim-440 ilar breakdown for the weakest CMEs with the CME velocity in Figure 3a and b. For 441 a CME with a $\log(KE)$ of 30.6, a decrease of 25% in the background solar wind speed 442 causes a delay of 12 hours and an increase of 25% in the solar wind speed causes an early 443 444 arrival of 7 hours.

Figure 4c shows that an accurate background solar wind speed is most critical for 445 $\overline{\text{CME}}$ strength below a log(KE) of 30.9. For these smaller CMEs a change of 100 km/s 446 in the solar wind speed can cause an early arrival up to 8 hours or a delay up to 10 hours. 447 The white strip in the top left corner of Figure 4c is where the solar wind speed is roughly 448 equivalent to the CME speed and the sensitivity greatly decreases. The results are not 449 particularly sensitive for large masses as a change of 100 km/s in the background speed 450 represents a much smaller fractional change in the differential speed, and therefore less 451 change to the drag force. 452

3.3.2 Solar Wind Number Density

The middle row of Figure 4 shows the change in transit time for changes in the solar wind number density. We expect the solar wind density to be less certain than its velocity so we consider a wider range of percent changes. For a given percentage change, the results tend to be less sensitive to the solar wind density than the solar wind speed. As for many parameters, the weakest CMEs show the least sensitivity because they undergo very little deceleration or acceleration since they begin propagation near the background solar wind speed.

The results are less sensitive to the density than for the solar wind velocity with a change of $\pm 25\%$ only causing changes of ± 2 -3 hours, but A decrease of 75% in the density causes a early arrival of 10 hours for moderate strength CMEs (around a log(KE) of 31.3). An increase of 75% causes an delay of 6-7 hours for similar strength CMEs .

The most sensitive region shifts towards higher masses for the background solar wind density, shown in Figure 4f. The majority of parameter space, however, corresponds to changes less than 6 hours. The largest changes are for a decreases of order 5 cm^{-3} at a log(KE) of 31.8, but our background solar wind model has a density of 6.9 cm⁻³ at 1 AU, so this corresponds to nearly depleting the entire density and a rather extreme uncertainty in background conditions.

A realistic solar wind background would likely have regions of different speeds and
densities along the CMEs path, which is not currently incorporated into our model. This
may suggest that the values found in this section should be considered lower limits on
the uncertainty. If average values are chosen for the speed and density, however, the integrated effects from over- and under-estimates may average out in terms of the net transit time. A better understanding of these intricacies would require study beyond the scope
of this paper.

3.3.3 Drag Coefficient

The final parameter we consider is the drag coefficient. ANTEATR and other dragbased models use the standard form of drag used in hydrodynamics to describe the motion of a CME through a magnetized background, which was shown to be reasonable by the simulations of Cargill et al. (1996) and Cargill (2004). The drag coefficient is taken to be something near unity, similar to hydrodynamics, but this constant incorporates much of the subtleties of the actual physics involved and the precise value is poorly understood.
Kay and Gopalswamy (2018) found optimal arrival times using ANTEATR with a drag
coefficient of 0.8, but only considered six CMEs.

Here we assume a control case with $C_d = 1$ and explore values between 0 and 2. The bottom row of Figure 4 shows these results. As expected, the weakest CMEs are insensitive to C_d due to their minimal drag. For the strongest CMEs we find that this causes a decrease of 30% in the transit time or an increase of 25%. The largest early arrivals of 15 hours occurs for a CME mass near a log(KE) of 31.4 and the strongest CMEs have a delay of 9 hours.

Figure 4i shows the sensitivity to the background drag coefficient. The transit times 493 are more sensitive to decreases in the drag coefficient. For CMEs with a $\log(KE)$ greater 494 than about 30.5, the drag free cases ($\Delta C_{\rm d}$ of -1) have transit times differing from the 495 control by more than 10 hours. An increase of one in the drag coefficient causes delays 496 of 4-10 hours for similar strength CMEs. It is difficult to address the importance of un-497 certainty in the drag coefficient because we do not have a good measure of the actual 498 range of that uncertainty. If we assume the traditional "near one" means between 0.5 499 and 1.5 then the uncertainty in the arrival time will be within ± 4 hours. However, the 500 sensitivity will greatly increase as the range of $C_{\rm d}$ expands. 501

4 Comparison with Other Models

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We have suggested that these sensitivities should be representative for other dragbased models and we test this using the DBM model (Vršnak & Žic, 2007), which is available for runs on demand in an online web application². The online tool allows for some specification of CME and background parameters but differs slightly from ANTEATR in the input parameters. The DBM drag acceleration is calculated the same as in ANTEATR but the drag coefficient C_d , CME area, solar wind density, and CME mass are combined into a single drag parameter Γ .

$$\Gamma = C_{\rm d} \frac{A\rho_{\rm SW}}{M_{\rm SW}} \ 10^7 \rm km^{-1} \tag{4}$$

The DBM default Γ of 2×10^{-8} km⁻¹ is comparable to an ANTEATR CME of 5×10^{15} 503 g (llog(KE) of 31.3 and near the orange/purple transition in our color scheme and where 504 the circle outlines switch from black to white in the spline plots). The comparison is not 505 exact though as the CME shapes are prescribed differently with ANTEATR using a torus 506 as compared to the DBM's cone shape with a rounded front. Where possible, we run DBM 507 simulations using the equivalent changes in input parameters and show the results in Fig-508 ures 2-4 with light blue circles. The DBM application restricts Γ to a minimum value 509 of 0.1, which limits the range of our comparison in some cases. Note that while these fig-510 ures show the similarity between the models in the change in transit time, the actual tran-511 sit times for the control cases differ by about 6 hours between ANTEATR and DBM. 512 We emphasize this comparison between models is only for the sensitivities to input pa-513 rameters not the actual transit time values. 514

For the CME velocity (Figure 3a-b), mass (Figure 3d-e), angular width (Figure 3gh), solar wind density (Figure 4(a-b), and drag coefficient (Figure 4g-h), the DBM points fall directly on the equivalent ANTEATR results (orange/purple transition). Since the models use the same form of the drag equation, they scale similarly with input parameters.

² http://oh.geof.unizg.hr/DBM/dbm.php

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For the CME position we take the change in position along the toroidal axis to be 520 more comparable to the changes for the DBM's axial symmetric shape. Figure 2d-e show 521 the results for the change in position. We find that the results are similar, but the DBM 522 tends to be slightly more sensitive, tending to exceed the ANTEATR sensitivity by about 523 5% or about 2 hours. This results from our toroidal direction having slightly flatter cur-524 vature than the DBM shape. We note that we get better agreement for the angular width 525 than the position because we assume that the area and therefore Γ scales the same as 526 the ANTEATR area. 527

We also find that ANTEATR is just slightly more sensitive to the background solar wind speed. For the DBM background model the solar wind velocity and density are intrinsically coupled by assuming a constant mass flux. The solar wind velocity is the only parameter that can explicitly be modified, not the density or mass flux so we ac-531 count for changes in the density in Figure 4a-b by adjusting Γ . An increase in the DBM 532 solar wind velocity causes a decrease in the density, which will decrease the transit time, 533 nullifying some of the increase from the velocity increase. We emphasize that the difference in sensitivity between the two models is small, a decrease of 20% in the velocity corresponds to less than an hour difference between the delays of ANTEATR and DBM.

Finally, we comment on different combinations of variables used by other drag mod-537 els, in particular, the sensitivity to Γ . Looking at Equation 4, we find that we have es-538 sentially already explore the sensitivity to each of the individual components that factor into it. Changing either $n_{\rm SW}$ or $C_{\rm d}$ by a certain percentage is the same as changing Γ by that same percentage. Looking at Figure 4d and g, a change of -50% in either $n_{\rm SW}$ 541 or $C_{\rm d}$ causes a 10% decrease in the transit time for the log(KE) of 31.3 and the DBM 542 results. This corresponds to a change of 10^{-8} km⁻¹ in Γ . The same information can be 543 inferred from the mass or angular width, only with marginally more complicated math. 544 Figure 4i can be most easily used to understand the expected sensitivity to Γ by sim-545 ply multiplying the v-axis values by 2×10^{-8} km⁻¹. 546

Discussion 5 547

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We wish to use the information from the previous sections to develop suggestions 548 on where the community should focus its efforts to improve arrival time predictions. We 549 wish to find the accuracy with which parameters would need to be known for an accu-550 racy of 5 hours. While this is a somewhat arbitrary value, it represents a factor of two 551 improvement in from the current mean absolute error. Table 2 shows these values for 552 each parameter. For each size CME the top row represents values that lead to an 5 hour 553 early arrival and the bottom row represents values that lead to a 5 hours delay. A dash 554 indicates that no values within the range we consider can produce a 5 hour change - that 555 the transit time is not particularly sensitive to these parameters. We include values for 556 Γ as well by scaling it from the results for $C_{\rm d}$. 557

For an average CME (log(KE) 30.0, mass 10¹⁵ g, speed 425 km/s, half-width 27°), 558 we find the most critical parameter is the CME velocity, requiring an accuracy around 559 30 km/s or less. The solar wind velocity is also important, as can be the CME position 560 if the impact is located near the flanks. 561

On the other hand, an extreme CME depends (log(KE) 32.8, mass 5×10^{16} g, speed 562 1550 km/s, half-width 60°) most strongly on the angular width, requiring an accuracy 563 of $5-10^{\circ}$. Our extreme corresponds to a very large CME with a kinetic energy only a fac-564 tor of 2.5 smaller than that estimated for the Carrington event (e.g. Riley, 2012; Cliver 565 & Dietrich, 2013). We do not expect such an extreme event to occur as frequently as the 566 average and fast cases. However, when an extreme CME does occur an accurate mea-567 surement of the angular width is absolutely necessary. This will require coronagraph ob-568 servations at a viewing angle off the Sun-Earth line, such as L4 or L5. We see that these 569

CME Size	Pol. Pos. (°)	Tor. Pos (°)	$rac{\mathrm{v}_{\mathrm{CME}}}{\mathrm{(km/s)}}$	$\begin{array}{c} M_{\rm CME} \\ (10^{15} \ {\rm g}) \end{array}$	AW (°)	$ m v_{SW}$ $ m (km/s)$	$\stackrel{n_{SW}}{(\mathrm{cm}^{-3})}$	C_d	$\frac{\Gamma}{(10^{-8} \mathrm{km}^{-1})}$
Average	24.5	15.6	29	_	_	64	_	_	_
	8.0	3.5	-36	_	_	-80	_	_	
Fast	41.5	31.5	275	7.7	-7.3	110	-2.8	-0.40	-0.8
	13.5	7.5	-198	-3.8	6.0	-80	3.6	0.52	1.04
Extreme	53.0	50.0	400	_	-9.0	_	-3.4	-0.49	-0.98
	14.0	10.5	-283	_	5.0	_	3.8	0.55	1.10

Table 2. Minimum Accuracy Needed for 5 Hour Arrival Time Accuracy

extreme events will also be sensitive to the CME velocity, solar wind density, and drag coefficient. In the case of flank encounters the position is again important.

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We would expect to see CMEs comparable to the fast CME more frequently than 572 the extreme case, particularly during high solar activity. Unfortunately, the accurate de-573 termination of the transit time of these CMEs seems to combine all the difficulties seen 574 for the slower, average CMEs and more rare, extreme CMEs. Each of the parameters 575 considered in this work can produce a 5 hour change in arrival time. Our instinct is that 576 the angular width will likely be the limiting parameter for these CMEs as an accuracy 577 below 7.5° should require stereoscopic measurements. These are the only CMEs for which 578 the actual CME mass is a limiting factor, but we find that the required accuracy is roughly 579 the same magnitude as the actual CME mass and reconstruction techniques tend to re-580 produce the mass within a factor of two (Vourlidas et al., 2010). Accurate estimations 581 of the mass of Earth-directed CMEs again require a coronagraph with the Sun-Earth line 582 near the plane of the sky, though recent work shows promise in determining CME mass 583 from EUV dimming (Mason et al., 2016; Dissauer et al., 2019; López et al., 2019). 584

For the position, we show the change in the location of impact along either the poloidal 585 or toroidal direction that would be required to cause a 5 hour delay in the arrival time. 586 For each mass CME the top number is the critical value near the nose and the bottom 587 is the critical value near the flank. For all masses and both toroidal and poloidal direc-588 tions the results are much more sensitive near the flanks. The critical value near the nose 589 tends to be 3-4 times larger than that near the flank. Both Mays et al. (2015) and Möstl 590 et al. (2015) study the 2014 January 7 CME, a large CME that erupted near disk cen-591 ter and was expected to cause a large geomagnetic storm at Earth but ended up arriv-592 ing 13 hours later and much weaker than expected. In hindsight, this CME was found 593 to deflect away from disk center, moving the impact toward the flanks. This case study 594 shows that, while not typically the largest source of error, the direction of propagation 595 can certainly be important in individual events. 596

Pizzo et al. (2015) find similar behavior for ENLIL arrival time simulations. Weak
to moderate CMEs tend to be the most sensitive to the CME velocity whereas stronger
CMEs are most sensitive to the angular width. Pizzo et al. (2015) also found that the
sensitivity to CME parameters increased as the impact move away from the nose and
toward the flanks, something we have not considered in this work. As such, we expect
that the numbers in Table 2 could represent lower limits to the critical values needed for
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Finally, we emphasize that the entirety of this work has considered the effects of varying a single parameter at a time. While this is useful for identifying the key parameters to focus on for immediate improvement in predictions, further study must be done to understand how uncertainty in multiple parameters compounds. In this work we have

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scratched the surface showing how the sensitivity changes for different "strength" CMEs, but this is only a first step as we assume uniform scaling of mass, speed, and size.

610 6 Conclusion

We have used the simple arrival time model ANTEATR to better understand how arrival time changes with various input parameters. We select a range representative of our current observational uncertainty in each input parameter and determine the corresponding range in arrival times. This information allows us identify to identify the most critical parameters for accurate arrival time predictions.

We produce results for CMEs of different "strengths," simultaneously varying the CME size, speed, and mass. For an average CME, we find the CME velocity is the most important parameter whereas the angular width is most important for an extreme event. The transit time of a more common fast CME is affected by both the angular width, and to a lesser extent, the CME velocity. The CME position can have a strong influence on the transit time for all strength CMEs when impact occurs near the flanks. The position can also influence whether or not impact is expected to occur.

The background solar wind model is marginally important for all strength CMEs with the solar wind velocity tending to be more important for weaker CMEs and the solar wind density more important for faster CMEs. The effects from these solar wind parameters, however, tends not to be as large as those from the CME properties.

We compared the ANTEATR results with those from another drag based model and find excellent agreement between the two. The actual transit time differs by a several hours between the two models but the sensitivity to input parameters is nearly identical. The largest difference in sensitivity is for changes in CME position, which results from slight differences in the CME shape between models. This suggests that the sensitivities derived in this work can be reliably extended to other drag-based arrival time models.

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- ⁶³⁸ The ANTEATR model and the code used to generate the ensembles are available at github

⁶³⁹ .com/ckay314/ANTEATR/releases/tag/v1.0 and are archived through Zenodo as doi:10.5281/zenodo.3533363.

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Figure 1.



Toroidal Axis (Dashed Line)









Flank



Orientation



Orientation



Orientation







Figure 2.











Figure 3.









Figure 4.







