

1 Forecasting the *Dst* index during corotating interaction region 2 events using synthesized solar wind parameters

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5 [1] Observations from SOHO, STEREO, and ACE during the declining phase of the solar
6 cycle toward the deep minimum in 2008 are analyzed to establish the timing of corotating
7 interaction region (CIR) activity. This analysis is then employed to synthesize signals
8 of the z component of the interplanetary magnetic field (IMF) B_z , solar wind radial velocity
9 v_x , and solar wind proton density N_p at 1 AU. The synthesized signals are used as a substitute
10 for ACE measurements to represent solar wind forcing due to coronal hole driven CIR
11 events occurring during multiple Bartel rotations (BR 2381 to BR 2393). The signals drive
12 a low-order physics-based model of the magnetosphere called WINDMI, one of whose
13 outputs is the ground-based measurement of the *Dst* index. Estimating the arrival of CIR
14 events for future rotations using ACE and SOHO data during BR 2381 produced what we
15 refer to as an uncalibrated yearly forecast. We next generated a video-calibrated estimate
16 of the arrival times of CIR events in addition to information from BR 2381 using SOHO and
17 STEREO images of the Sun in order to produce a simulated 3.5 day ahead forecast of
18 possible geomagnetic activity. The time of arrival of CIR events is taken to be the travel time
19 of density compressions as seen in a noninertial frame according to a radial solar wind speed
20 of 500 km/s and a distance of 1 AU. We were able to forecast the timing of CIR-induced
21 geomagnetic activity to within 12 h for 17 out of 28 events by using the expected recurrence
22 of the events through multiple Bartel rotations together with SOHO and STEREO coronal
23 hole sightings made 3.5 days before every event. The uncertainty in the IMF B_z led to a
24 forecast of levels of geomagnetic activity on an ensemble basis, yielding a distribution of
25 different possible *Dst* signatures. We used a 10-sample ensemble and a 50-sample ensemble
26 to obtain typical representations of geomagnetic activity. Depending on the periodicity
27 and intensity of fluctuations in B_z , we obtained higher or lower levels of activity and shorter
28 or longer times for the recovery of the *Dst* to quiet levels.

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31 1. Introduction

32 [2] Solar wind properties are closely associated with the
33 phase of solar cycle. During solar maximum, the solar wind
34 carries transient bursty events like coronal mass ejections
35 (CMEs) while during a minimum, most of interplanetary
36 space is filled with recurrent fast and slow solar wind sectors
37 [Zhang *et al.*, 2008; Richardson *et al.*, 2000]. It is well
38 known that the fast solar wind emanates from open field lines
39 with foot points in coronal holes [Nolte *et al.*, 1976; Gosling
40 and Pizzo, 1999]. The fast wind is quite stable with speeds
41 ranging from 650 to 800 km/s [McComas *et al.*, 2002]. At or
42 close to maximum, the coronal holes mainly occupy the high

latitudes around the poles with most of the low latitudes 43
covered with active regions. During the declining phase of 44
the solar cycle, these holes can extend to latitudes close to the 45
ecliptic plane [Burlaga *et al.*, 1978] owing to interchange 46
reconnection with closed field lines of the neighboring active 47
regions [Edmondson *et al.*, 2010]. 48

[3] As a consequence of spatial variability in the coronal 49
expansion and solar rotation, solar wind flows of different 50
speeds become radially aligned [Gosling and Pizzo, 1999]. 51
Compressive interaction regions are produced when high- 52
speed streams catch up with slower plasmas [Schwenn, 53
1990]. When the flow pattern is roughly time stationary, 54
these compression regions form spirals in the solar equatorial 55
plane that corotate with the Sun. These regions are called 56
corotating interaction regions (CIRs). 57

[4] Within a CIR region, the magnetized plasma is 58
compressed and therefore amplifies the magnetic field fluc- 59
tuations [Tsurutani *et al.*, 1995]. These fluctuations are 60
nonlinear ($\delta B/B \approx 1-2$) and cause mild geomagnetic storms 61
($Dst \geq -100$ nT) with long recovery times which can last for 62
one solar rotation (≈ 27 days). Since the geoeffectiveness 63

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64 of solar wind structures are related closely to the amplitude
 65 of the z component (B_z) of the interplanetary magnetic field
 66 (IMF), any southward turning of the magnetic field compo-
 67 nent B_z in the GSM coordinate system leads to the onset of a
 68 geomagnetic storm when a CIR disturbance arrives at 1 AU.
 69 The recovery from geomagnetic activity due to a CIR is
 70 delayed by the Alfvénic fluctuations and turbulence in the
 71 high-speed stream (HSS) following the CIR [Turner *et al.*,
 72 2006], leading to considerable amounts of energy being
 73 transferred into the magnetosphere. Although the energy
 74 input into the magnetosphere during an interplanetary CME
 75 (ICME) is larger than during a CIR event, the average energy
 76 over the solar minimum can be greater than during solar
 77 maximum [Sheeley *et al.*, 1977; Tsurutani *et al.*, 1995].

78 [5] CIR events have been studied from both theoretical and
 79 simulation perspectives. Carovillano and Siscoe [1969]
 80 derived the solar wind parameters at 1 AU on the basis of a
 81 hydrodynamic approximation with an assumed source sur-
 82 face velocity perturbation. Siscoe and Finley [1970, 1972]
 83 extended this work to include asymmetry and arbitrary per-
 84 turbations. Lee [2000] derived the solar wind flow param-
 85 eters by considering the evolution of forward and backward
 86 traveling pressure waves into shocks which generally form
 87 after 1 AU. Three dimensional simulations were done by
 88 Pizzo [1980] to see the evolution of a hydrodynamic stream
 89 between a source surface and 1 AU and to study the fast
 90 stream slow stream interaction in greater detail. Pizzo [1991]
 91 also simulated the CIR evolution with an MHD formulation
 92 and examined the effect of tilted dipole fronts. Recently,
 93 McGregor *et al.* [2011] used the ENLIL-WSA model to
 94 study the interaction of fast and slow streams in order to
 95 interpret the composition measured at 1 AU.

96 [6] CIRs are the main sources of geomagnetic storms
 97 during solar minimum. These storms have weak main phases
 98 but prolonged recovery phases because of rapid Alfvénic
 99 fluctuations inside the HSS. The solar wind magnetosphere
 100 coupling occurs mainly through flux transfer events leading
 101 to weak injection of particles into the ring current and hence
 102 weak geoeffectiveness. Apart from the Alfvénic fluctua-
 103 tions, the magnetic field also has another feature which can
 104 affect the dynamics of the magnetosphere. Magnetic holes
 105 (MH) and magnetic decreases (MD) [Turner *et al.*, 1977]
 106 are pressure balance structures that are found interspersed
 107 with CIR events. Tsurutani *et al.* [2002] indicate that such
 108 decreases are due to perpendicular particle acceleration by
 109 the ponderomotive force on phase steepened edges of Alfvén
 110 waves. The heated particles decrease the total magnetic field
 111 through the diamagnetic effect. Since the total magnetic field
 112 decreases (or magnetic pressure reduces), the plasma beta
 113 (ratio of thermal to magnetic pressure) increases and so
 114 does the number density. These density or dynamic pressure
 115 enhancements compress the magnetopause.

116 [7] Inside the magnetosphere and the magnetotail, relativ-
 117 istic electrons are also detected during the passage of a HSS.
 118 Ultralow frequency (ULF) oscillations which are frequencies
 119 that range between 1 mHz to 1 Hz [Jacobs *et al.*, 1964] (i.e.,
 120 from the lowest-order mode that a magnetospheric cavity
 121 can support up to various ion gyro frequencies) in the Pc5
 122 (2–7 mHz) range [O’Brien *et al.*, 2001; Mann *et al.*, 2004]
 123 and resonant interaction of cyclotron with electromagnetic
 124 chorus [Meredith *et al.*, 2003] have been theorized as the
 125 acceleration mechanisms behind these electrons.

[8] To build a forecasting model using the fact that coronal
 holes are sources of fast winds, Robbins *et al.* [2006] used
 solar images from the Kitt peak telescope to determine the
 size and location of coronal holes to have a 8.5 day ahead
 prediction of solar wind velocity (included period of max-
 ima). Luo *et al.* [2008] used the brightness of the SOHO/EIT
 28.4 nm wavelength images to create a new forecasting
 parameter and correlated it to the solar wind speed. Vrsnak
et al. [2007a, 2007b] calculated the fractional coronal hole
 area in a longitudinal slice and related it to solar wind
 parameters and *Dst* index measured on ground. Solar wind
 parameters (v_r , $|B|$, N_p) were calculated on the basis of time
 offsets after the alignment of a coronal hole in the central
 meridian slice (-10° to 10°) in the GOES X-ray (SXI)
 images.

[9] In this work, we construct a set of synthetic signals to
 estimate the solar wind parameters measured at 1 AU (the
 location of ACE) on the basis of the detection of coronal
 holes in SOHO images (EIT 19.5 nm wavelength). The
 synthetic signals are fed to the Solar Wind–Magnetosphere–
 Ionosphere (WINDMI) model which produces a sample
 forecast *Dst* signal which is compared against actual *Dst*
 measured on the ground and also against the *Dst* that would
 be produced if the actual satellite data were used as input into
 the model. The model is available for runs on request
 at the Community Coordinated Modeling Center (CCMC)
 Web site (<http://ccmc.gsfc.nasa.gov/models/modelinfo.php?model=WINDMI>). The motivation was not only to test
 the forecasting capability of the WINDMI model, but also to
 constrain the ring current energy levels during CIR events for
 later comparison against CME driven events. We also wanted
 to examine the role and importance of each solar wind
 parameter in the development of CIR driven storms.

[10] This paper is organized as follows. Section 2 describes
 the sources of data and images along with the models
 and CME prediction software used to examine the events.
 Section 3 provides a brief summary of previous works which
 were used to construct the synthetic flow parameters (v_x , B_z ,
 N_p) to replace the ACE parameters. Section 4 explains the
 WINDMI model that is used to forecast the geoeffective-
 ness of the simulated storms by examining the *Dst* profiles
 against measured ground *Dst*. In section 5, the results from
 pre and post video calibration is discussed and some issues
 regarding the forecasting process are highlighted in section 6.
 The paper ends with a summary of the work done and pos-
 sible future work in section 7.

2. CIR Data

[11] The period under investigation was the year 2008
 corresponding to Bartel rotations (BR) 2381–2393. During
 the early part of 2008, there were two coronal holes extend-
 ing toward lower latitudes leading to alternate high- and
 slow-speed winds in the ecliptic plane in a four sector
 structure [Carovillano and Siscoe, 1969]. This is part of
 a period of an extended solar minimum from 2006 to 2009
 [de Toma, 2010]. Because of low CME activity, a structured
 solar wind, and the presence of coronal holes near the ecliptic
 [de Toma, 2010], this period was well suited for the study of
 CIR events. Following a 1.3 year periodicity [Richardson
et al., 1994], the mean solar wind speed also reduced from
 500 km/s to around 350 km/s toward the end of 2008.

[12] We used solar wind data from the Advanced Com-
 position Explorer (ACE) satellite located at the L1 point
 between the Sun and the Earth. Measurements from the Solar
 Wind Parameters (SWEPAM) instrument were used for solar
 wind velocity v_x and proton density N_p while the Interplan-
 etary Magnetic Field Parameters (MAG) instrument gave
 IMF B_z . Hourly averaged data was used for all three param-
 eters. Whenever corrupted data points were encountered,
 they were replaced by their previous values. We used the
 hourly averaged data over each 27 day solar rotation period
 called the Bartel rotation. SOHO 19.5 nm images from the
 Extreme ultraviolet Imaging Telescope (EIT) from the Solar
 Weather Browser (SWB) were used for the sighting of cor-
 onal holes. The SWB is an image analyzing tool developed
 by the Royal Observatory of Belgium which includes a
 database of images from in situ and ground-based observa-
 tions. These images can be overlaid with spherical grids
 along with detected active regions provided by NOAA or
 CME source regions. STEREO B satellite images from the
 Sun Earth Connection Coronal and Heliospheric Investiga-
 tion (SECCHI) instrument's Extreme Ultraviolet Imager
 (EUVI; 19.5 nm wavelength) were used in the absence of
 SOHO data. STEREO A was not chosen for coronal hole
 images since it was located ahead of the Earth ($\approx 21^\circ$ to $\approx 43^\circ$)
 during the period of analysis. According to the Parker spiral,
 a CIR would already have been detected at ACE (1 AU) by
 the time a coronal hole was seen at STEREO A.

[13] Synoptic maps of photosphere and subearth loca-
 tion relative to the heliospheric current sheet were examined
 to determine the current sheet crossings by ACE. The
 maps are provided at the CCMC STEREO support Web site
 (http://ccmc.gsfc.nasa.gov/stereo_support.php). Fast flows
 and CMEs during the year were checked using the Com-
 puter Aided CME Tracking (CACTus) [Robbrecht and
 Berghmans, 2004] software available on <http://sidc.oma.be/cactus/>
 along with in situ particle and field signatures as
 mentioned by Zurbuchen and Richardson [2006].

[14] The magnetospheric response to solar wind dis-
 turbances is measured by the Dst , AL , AU , and $sym-H$ indices.
 For comparison against the synthetic Dst produced by the
 WINDMI model, we used the Dst index. It is based on
 average values of the horizontal component of the Earth's
 low-latitude magnetic field. Hourly values of the measured
 Dst were taken from the World Data Center for Geomagnetism
 (WDC) Kyoto Web site (<http://wdc.kugi.kyoto-u.ac.jp/>).

3. Synthetic Signal Profiles

[15] Since the coronal holes are sources of the fast solar
 wind, the number of equatorial coronal holes will determine
 the fast and slow wind sector structure in the solar ecliptic
 plane. A four sector structure corresponds to two periods of
 fast and two periods of slow wind in the velocity profile.
 Using this correspondence, we created a radial flow velocity
 profile.

[16] The fast variations were superimposed on a 1.3 year
 cycle, as found by Richardson *et al.* [1994], and a slower
 11 year trend due to the solar activity cycle. Carovillano and
 Siscoe [1969] solved for the plasma parameters that would be
 measured at 1 AU using a hydrodynamic formulation without
 latitudinal dependence. The solutions were found by using a
 sinusoidally varying profile for radial and azimuthal velocity

along with density. They found that the calculated peak in
 density lead the peak in radial velocity by a phase of $\pi/2$.
 This implied that the density reached a maximum on the
 rising edge of the radial velocity. Compressions occur when
 the fast wind catches up with the slow wind which also leads
 to compressions of the magnetic field. Sector crossings
 accompany the transition from slow to fast wind.

[17] The density enhancements (heliospheric current sheet
 crossings) are directly correlated to the arrival time of the
 CIR stream interface at 1 AU. With the solar wind propaga-
 tion speed taken as 500 km/s, we calculated an approximate
 travel time of 3.5 days from the solar surface to 1 AU. We
 subtracted 3.5 days from the ACE satellite time of density
 enhancements to identify the coronal holes in SOHO/EIT
 images for BR 2381. These coronal holes were then used as a
 reference. To simulate density enhancements, we used the
 technique of Wood *et al.* [2010] to model the compression as
 a Gaussian pulse. The width of the Gaussian pulse was
 determined from data during BR 2381. The amplitudes were
 sinusoidally modulated with a 1.3 year variation.

[18] The IMF B_z was generated on the basis of a 60–68 min
 Alfvénic fluctuation [Hviuzova *et al.*, 2007], superposed on a
 normally distributed random signal based on the work by
 Padhye *et al.* [2001]. A mean field strength of 2 nT was
 assumed. For the amplification of the magnetic field pertur-
 bations in the CIR, proton density Gaussian profiles and
 bandwidths were used to modulate the magnetic field profile,
 but the compressions were delayed by 1 day as statistically
 found by Vrsnak *et al.* [2007a].

[19] In sections 3.1–3.3, we separately employ two
 schemes to time the density compressions since it was found
 that the density compressions measured at ACE occurred at
 slightly different times during different rotations. The two
 schemes were termed “uncalibrated” and “video calibrated.”
 The uncalibrated results were generated by using the density
 enhancement timings from BR 2381 repeated throughout the
 year as shown in Figure 1. These timings were based on a
 fixed periodicity of occurrence of each CIR event, assuming
 the flow structures to be stationary in the Sun's rotating
 frame. In section 5.1, we apply a correction to the assumed
 periodic appearance of a coronal hole using images of cor-
 onal holes seen during each rotation during 2008. If a cor-
 onal hole was sighted earlier than the usual periodicity indicated,
 we moved the density compression back in time to com-
 pensate for the discrepancy. We moved a density compres-
 sion forward if the coronal hole was sighted later. We refer
 to these corrected timings as the video-calibrated results.

3.1. Radial Velocity Profile

[20] The velocity profile is based on a faster 14 day varia-
 tion superimposed on a slower 40 day variation. The two
 periods are the sum and difference of 13 and 27 days (roughly
 a half and a full Bartel rotation). The mean solar wind speed
 is chosen as 500 km/s. Apart from these shorter time varia-
 tions, longer variations based on a 1.3 year solar cycle and the
 11 year solar cycle are also added. The amplitudes of the
 profiles are chosen to be approximately the solar wind speeds
 observed during the first rotation. The profile is written as

$$v_x = v_{mean} + v_2 \cos \theta_4 + v_3 |\sin \theta_3| + v_4 [\cos(\theta_1 - \theta_2) + \cos(\theta_1 + \theta_2)], \quad (1)$$

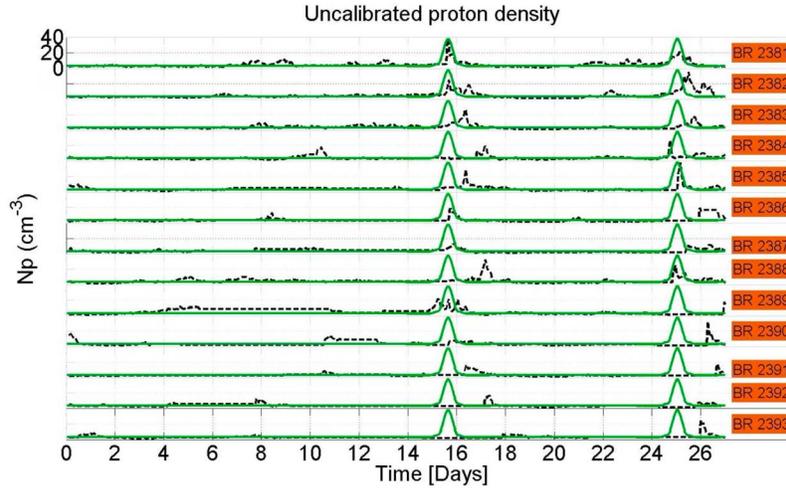


Figure 1. The measured solar wind proton density time series from ACE (dashed black line) and the synthetic solar wind proton density (solid green line) assuming a periodic repetition starting in BR 2381.

304 where $v_{mean} = 500$ km/s, $\theta_1 = \omega_1 t$, $\theta_2 = \omega_2 t$, $\theta_3 = \omega_3 t$, $\theta_4 = \omega_4 t$,
 305 and $\omega_1 = 2\pi/T_1$, $\omega_2 = 2\pi/T_2$, $\omega_3 = 2\pi/T_3$, and $\omega_4 = 2\pi/T_4$ with
 306 periods $T_1 = 13$ days, $T_2 = 27$ days, $T_3 = 1.3$ years, and $T_4 =$
 307 11 years, respectively. Here the coefficients $v_2 = 100$ km/s,
 308 $v_3 = 5$ km/s, and $v_4 = 50$ km/s are estimated by analyzing the
 309 velocity data during BR 2381.

310 3.2. Proton Density Profile

311 [21] The density profile is generated on the basis of the
 312 satellite data for the first rotation. Similar to *Wood et al.*
 313 [2010], we assume that a CIR compression region is a
 314 Gaussian shaped wave as seen by HI instrument on board
 315 STEREO A and B [*Wood et al.*, 2010]. The width of the
 316 Gaussian is chosen to correspond to the width of the density
 317 enhancements that appear in the first rotation. The amplitude
 318 of the Gaussian profile was modulated with a cosine function
 319 with a 1.3 year variation. The density profile is given by

$$N_p = N_{mean} + a \left[U(t - T_i^n) e^{-\frac{(t - T_i^n)^2}{2\sigma^2}} \right] \cos\theta_n, \quad (2)$$

320 where N_{mean} is the mean proton density of 3 cm^{-3} and a is the
 321 amplitude of density enhancement in the compression
 322 regions, taken to be 50 cm^{-3} on the basis of looking at first
 323 rotation, $\theta_n = \omega_n t$, T_i^n is the day when the i^{th} coronal hole
 324 aligned with the central meridian (0°) in the SOHO image
 325 time advanced by 3.5 days to account for propagation of the
 326 disturbance to 1 AU, $U(t - T_i^n)$ is a box function to account
 327 for a time limited Gaussian pulse, width of pulse $\sigma \approx 1$ day
 328 centered at T_i^n , and ω_n corresponds to a 1.3 year period.

329 [22] The arrival time T_i^n of the proton density compressions
 330 is on days 15.6 and 25 of BR 2381 for the uncalibrated signal
 331 as shown in Figure 1 (i.e., $T_i^n = 15.6$ and $T_2^n = 25$ in the
 332 synthetic density profile). These timings were then used
 333 throughout the year to generate an uncalibrated synthetic
 334 density profile (Figure 1).

335 [23] In the video-calibrated scheme, the arrival times were
 336 corrected on the basis of the appearance of coronal holes
 337 using SOHO and STEREO B images. Between BR 2381 to
 338 BR 2393, STEREO B was located $\approx 24^\circ - 31^\circ$ west (at a

distance of 0.4–0.6 AU, in heliocentric Earth ecliptic (HEE) 339
 coordinates) of the Earth and the density compression arri- 340
 vals were corrected accordingly using the same propagation 341
 speed of 500 km/s. 342

343 3.3. North-South Magnetic Field Profile

[24] *Dungey* [1961] pointed out that geomagnetic activity 344
 is strongly correlated to the north-south component of the 345
 interplanetary magnetic field (IMF). Fluctuations in IMF B_z 346
 therefore play a significant part in driving geomagnetic 347
 storms. Producing the IMF B_z signal is key to accurately 348
 forecasting the CIR driven storm. 349

[25] Because of processes near the solar surface and flow 350
 interactions in interplanetary space, the IMF B_z has turbulent 351
 Alfvénic fluctuations around 68 min [*Hviuzova et al.*, 2007]. 352
 These Alfvénic fluctuations are also normally distributed 353
 [*Padhye et al.*, 2001]. In the compression region (velocity 354
 transition from slow to fast), these fluctuations are amplified 355
 in the same way as the density signal. Moderate *Dst* values 356
 during such storms indicate that energy input during the main 357
 phase of the storm is low. Also, following the compression 358
 in the HSS, the IMF B_z periodically turns southward which 359
 causes a delayed *Dst* recovery. This may precondition the 360
 magnetosphere before the next storm onset [*Richardson* 361
et al., 2006]. 362

[26] We construct IMF B_z as a random fluctuation modu- 363
 lated by a Gaussian profile that occurs during every density 364
 enhancement,

$$B_z = p \left[B_{mean} + B_{amp} U(t - T_i^b) e^{-\frac{(t - T_i^b)^2}{2\sigma^2}} \right] \cos\theta_b, \quad (3)$$

where θ_b is the Alfvénic period of 68 min [*Hviuzova et al.*, 365
 2007], p is a randomly generated number chosen from a 366
 normal distribution with values between 0 and 1 over a length 367
 of time t , and B_{mean} and B_{amp} are the mean field and perturbed 368
 amplitudes (2 and 7 nT, respectively). The time shifts T_i^b are 369
 the days when a coronal hole was seen in the SOHO image 370
 advanced by 3.5 days (propagation time of the disturbance to 371
 1 AU) with an additional 1 day according to *Vrsnak et al.* 372

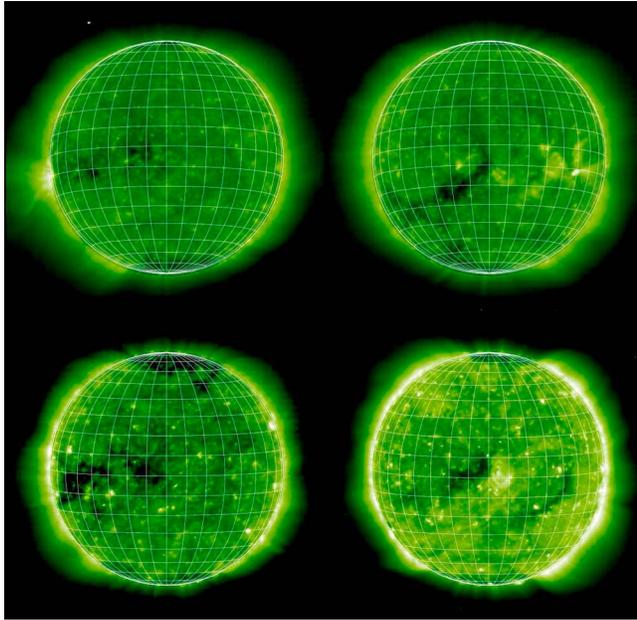


Figure 2. SOHO Extreme ultraviolet Imaging Telescope (EIT) and STEREO B Extreme Ultraviolet Imager ((EUVI) bottom right) images taken in 19.4 nm wavelength that were used for timing the synthetic compressions at 1 AU. (top) The two reference coronal holes observed during BR 2381. (bottom) The coronal holes during BR 2387 that was used in the video calibration process. The start time for CIR travel is determined to be when the leading edge is aligned with the central meridian.

373 [2007a] (i.e., $T_i^b = T_i^n + 1$), and $U(t - T_i^b)$ being a box func-
 374 tion and σ the width as before.

375 4. WINDMI Model of the Magnetosphere

376 [27] The plasma physics-based WINDMI model uses the
 377 solar wind dynamo voltage, V_{sw} , generated by a particular
 378 solar wind-magnetosphere coupling function to drive eight
 379 ordinary differential equations describing the transfer of
 380 power through the geomagnetic tail, the ionosphere and the
 381 ring current.

382 [28] The major current systems that are considered to
 383 contribute to the total Dst in the magnetosphere are (1) the
 384 magnetopause currents shielding Earth's dipolar magnetic
 385 field, (2) the symmetric ring current, (3) the partial ring
 386 current, and (4) the cross-tail current along with the closure
 387 currents on the magnetopause. All these currents cause
 388 magnetic perturbations on the Earth's surface.

389 [29] The model is available on the CCMC Web site. The
 390 output of the model are the AL and Dst indices. The simulated
 391 Dst index is given by

$$Dst = Dst_{rc} + Dst_{mp} + Dst_t, \quad (4)$$

392 where Dst_{mp} is the perturbation due to the magnetopause
 393 currents, Dst_t is the magnetic field contribution from the tail
 394 current and Dst_{rc} is the magnetic field due to the ring current.

The contributions from the magnetopause and tail current 395
 systems are given by 396

$$Dst_{mp} = a\sqrt{P_{dyn}}, \quad (5)$$

$$Dst_t = \alpha I(t). \quad (6)$$

The tail current $I(t)$ is modeled by WINDMI as I , the geotail 397
 lobe current in the northern hemisphere. P_{dyn} is the dynamic 398
 pressure exerted by the solar wind on the Earth's magne- 399
 topause. For Dst_{mp} and Dst_t in nT, a and α are defined in 400
 units of nT nPa^{-1/2} and nT A⁻¹. In this work we used the 401
 WINDMI model with nominal physical parameters. We refer 402
 the reader to *Patra et al.* [2011] for values of a , α , and other 403
 details of the model. 404

[30] The solar wind dynamo voltage V_{Bs} used to drive the 405
 model is generated using the Rectified IMF Driver [*Reiff* 406
and Luhmann, 1986] coupling function ($E_{sw} = vB_s$) which 407
 is modified to give 408

$$V_{Bs} = 40(kV) + v_{sw}B_sL_v^{eff}(kV), \quad (7)$$

where v_{sw} is the x -directed component of the solar wind 409
 velocity in GSM coordinates, B_s is the southward IMF 410
 component and L_v^{eff} is the effective cross tail width over 411
 which the dynamo voltage is produced. For northward or 412
 zero IMF B_z , a base viscous voltage of 40 kV is used to 413
 drive the system. The rectified $v_{sw}B_s$ was preferred over other 414
 coupling functions as it has been shown to be a more robust 415
 driver compared to other coupling functions, while main- 416
 taining reasonably good feature reproduction capability 417
 [*Spencer et al.*, 2007]. Since we use the rectified $v_{sw}B_s$ driver, 418
 seasonal and dipole tilt effects are not taken into account in 419
 the analysis. 420

[31] The Dst_{rc} signal is obtained from the plasma energy 421
 stored in the ring current W_{rc} calculated by the WINDMI 422
 model. It is given by the Dessler-Parker-Sckopke (DPS) 423
 relation [*Dessler and Parker*, 1959; *Sckopke*, 1966] 424

$$Dst_{rc} = \frac{\mu_0 W_{rc}(t)}{2\pi B_E R_E^3}, \quad (8)$$

where B_E is the Earth's surface magnetic field along the 425
 equator. The ring current energy in the model is assumed to 426
 be lost by particles drifting out of orbit or by charge exchange 427
 processes at a rate proportional to τ_{rc} . 428

429 5. Results

[32] Solar wind plasma and magnetic field data measured 430
 by ACE satellite located upstream of the Earth at L1 point 431
 were used to ascertain the arrival times of CIR disturbance. 432
 With an average solar wind speed of 500 km/s, the travel time 433
 for the disturbance is approximately 3.5 days. After sub- 434
 tracting 3.5 days from the time of measurement of a particular 435
 CIR compression, we obtained the images shown in Figure 2 436
 (top) for BR 2381. The leading edge of the equatorial coronal 437
 hole was aligned very well with the central meridian in a 438
 -10° to 0° longitude slice. Note that later during the video 439
 calibration stage we start the propagation of CIR transients 440

tl.1 **Table 1.** Timing of Density Compressions Before Video
tl.2 Calibration^a

tl.4	BR	T_1^n	T_2^n	ME
tl.5	2381	0	0	N
tl.6	2382	0	+	N
tl.7	2383	+	+	N
tl.8	2384	+	-	Y
tl.9	2385	+	+	N
tl.10	2386	0	+	Y
tl.11	2387	0	+	N
tl.12	2388	+	0	N
tl.13	2389	0	+	N
tl.14	2390	0	+	N
tl.15	2391	+	+	N
tl.16	2392	+	+	Y
tl.17	2393	+	+	Y

tl.18 ^aCodes and abbreviations are as follows: 0, arrival of a synthetically
tl.19 generated disturbance on time; +, arrival of a disturbance before detection
tl.20 by ACE; -, arrival after detection by ACE; BR, Bartel rotation; ME,
tl.21 missed event.

441 for every rotation when the leading edge of a coronal hole is
442 aligned with the central meridian.

443 [33] Table 1 summarizes the timings of the disturbances
444 before video calibration was done. In Table 1, a plus sign
445 indicates that the recurrent CIR transient measured at ACE
446 occurred later than expected from the alignment procedure. A
447 minus sign indicates that the CIR transient measured at ACE
448 occurred earlier than expected. We also mention that the
449 missed events (ME) noted in Table 1 are events that appear in
450 the ACE measurements but are not accounted for in the
451 expected recurrence of coronal holes. We expected this to
452 improve when video calibration was performed, as will be
453 discussed in section 5.1.

454 [34] As seen in Figure 1, the CIRs arrived at 1 AU on day
455 15.6 and day 25 for the first rotation. These compressions
456 were a result of fast streams emanating from the coronal
457 holes seen 3.5 days earlier (Figure 2, top) that caught up with
458 the slow stream ahead. Using the timings of compression
459 from the first rotation, a synthetic proton density was gener-
460 ated for the whole year using equation 3.2. The resulting

profile is shown in Figure 1. As seen in Figure 1, the differ- 461
ence between the simulated and measured arrival times 462
varied from 0.5 to 1.7 days with variable compression 463
amplitudes and widths over the remaining rotations. 464

[35] Radial IMF (B_r (GSE)) polarity changed from negative 465
(Southern coronal hole) to positive (Northern coronal hole) 466
during the first compression and then back again to negative 467
during the next (25th day) compression, which indicated that 468
we were indeed looking at the right coronal holes. ACE data 469
was then replaced with synthetic profiles of the proton density 470
and the IMF B_z . Using the WINDMI model, we then 471
produced a forecast of geomagnetic activity for the year 472
by repeating the occurrence of the density and IMF B_z 473
enhancements. Figure 1 also shows that a third compression 474
happened on day 10 of BR 2384 which occurred intermit- 475
tently in the following rotations. Apart from these, there were 476
instances when a compression happened in both the proton 477
density and magnetic field but the velocity profile did not 478
show an increase. Since these were not classical CIR sig- 479
natures, we did not consider them as events. We found that 480
the compression in B_z was delayed by a day as given by 481
Vrsnak et al. [2007a] with respect to the density enhance- 482
ments owing to compression and subsequent current sheet 483
crossings. 484

[36] The forecasted Dst is shown in Figure 3. A quick look 485
at Figure 4 (which is the same as Figure 3 but plotted for the 486
whole of 2008) shows that the timings of these storms is 487
captured to within -12 to $+12$ h. However, the main phase is 488
overemphasized in many instances going down to as low as 489
 -100 nT when the ground Dst was only around -70 nT at 490
most. This is in part due to the Dst_{mp} which is directly related 491
to the density enhancement, while the storm main phase is 492
more related to IMF B_z (equation (4)). Any error in timing 493
may result in a large error in the forecasted Dst . Sometimes 494
the amplitudes in the Dst profile are overemphasized because 495
of the random nature of B_z and a higher simulated compared 496
to measured value of N_p . 497

[37] In section 5.1, using SOHO and STEREO images, we 498
determined the time for CIR propagation as starting when the 499
leading edge of the coronal hole is aligned with the central 500

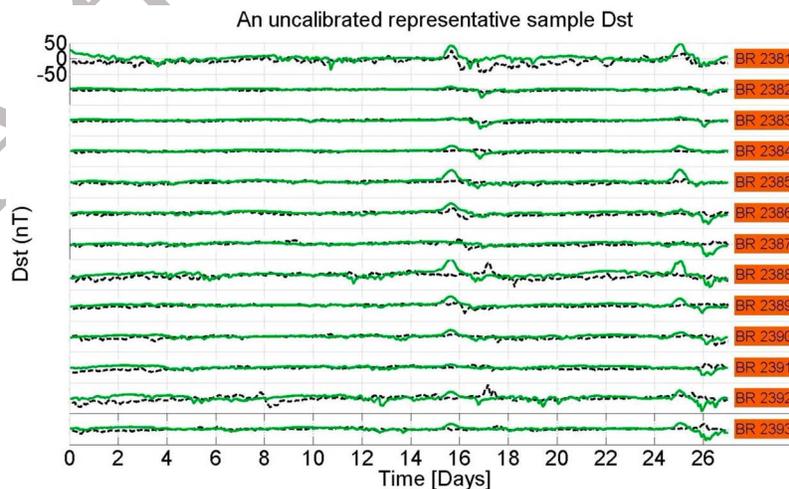


Figure 3. Measured Dst index (dashed black line) compared to a synthetic Dst index (solid green line) with assumed periodic repetition of compressions, prior to video calibration. Note that this represents one of many possibilities depending on the B_z signal.

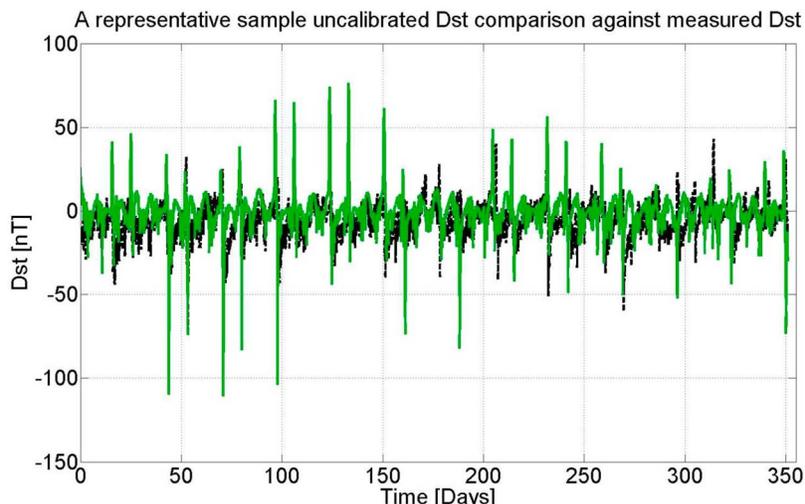


Figure 4. Yearly measured *Dst* (dashed black line) compared to synthetic *Dst* (solid green line) data with assumed periodic repetition of compressions.

501 meridian. Figure 2 (bottom) shows sample images from BR
502 2387 to illustrate the procedure.

503 **5.1. Video-Calibrated Timing**

504 [38] To correct the arrival time at 1 AU, the timing of the
505 density compressions was deduced using images from the
506 Extreme Ultraviolet Imaging Telescope (EIT 19.5 nm) on
507 board the SOHO satellite found on SWB. We apply a cor-
508 rection to the assumed periodic appearance of a coronal hole
509 using images of coronal holes seen during each rotation
510 during 2008. If a coronal hole was sighted earlier than the
511 usual periodicity indicated, we moved the density compres-
512 sion back in time to compensate for the discrepancy. We
513 moved a density compression forward if the coronal hole was
514 sighted later. This video-calibrated synthetic signal was used
515 to forecast a three day advanced *Dst*.

516 [39] When a coronal hole is detected, we advance the video
517 to the instant t_{ref}^{VC} in time when the leading edge of the hole
518 boundary coincides with the central meridian of the Sun,

519 which is directly aligned with the SOHO and ACE spacecraft
520 at L1. We then use the average velocity of the solar wind to
521 calculate the time when a CIR event will be detected at ACE
522 if it begins propagating out at t_{ref}^{VC} . This information is then
523 used to correct the assumed periodic arrival time of CIR
524 events at L1 that was produced with the uncalibrated scheme.

525 [40] Using this method, we found that for the year, coronal
526 holes in the -10° to 0° longitude and -30° to 30° latitude
527 slice correlated well with the compression regions at 1 AU.
528 Figure 5 shows the density profile for the thirteen rotations
529 using the images to time the arrival of a CIR disturbance at
530 1 AU. Table 2 shows the result of using solar images to time
531 the compression arrivals at 1 AU.

532 [41] There are instances (e.g., the second compression in
533 BR 2383) when there was a coronal hole but it did not pro-
534 duce a CIR. In addition, there were some missed events for
535 example on day 26 of BR 2387 and BR 2388. The missed
536 CIRs (e.g., in BR 2387) were added from the uncalibrated
537 signal assuming that the coronal holes were stable. These

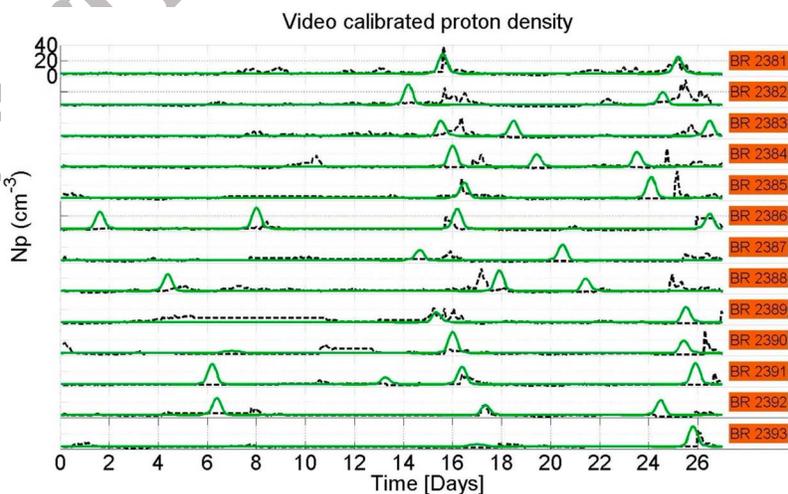


Figure 5. Proton density measured by ACE (dashed black line) compared to synthetic proton density (solid green line) after video-corrected arrival times at 1 AU.

Table 2. Timing of Density Compressions After Video Calibration^a

BR	T_1^n	T_2^n	T_3^n	T_4^n
2381	0	0	n/a	n/a
2382	+	+	n/a	n/a
2383	+	FA	-	n/a
2384	ME	+	FA	+
2385	0	+	n/a	n/a
2386	FA	+	-	0
2387	+	FA	ME	n/a
2388	FA	-	FA	ME
2389	0	+	n/a	n/a
2390	FA	0	+	n/a
2391	FA	FA	0	+
2392	+	0	+	n/a
2393	ME	+	+	n/a

^aFA stands for false alarm, and n/a indicates not applicable. Other notation is as in Table 1.

added compressions appear in blue in the density plots of BR 2387 and 2388 in Figure 6. We added these compressions although they do not appear in the images to emphasize that the assumed periodicity and stability of coronal holes can sometimes help with the forecast. There are also false alarms mentioned in Table 2 that were checked in the ENLIL runs provided on the CCMC Web site.

[42] Figure 6 is a summary plot of proton density. We used STEREO B for timing when images from SOHO were unavailable due to CCD bakeout. This is shown in magenta in Figure 6. We found two compressions during BR 2383 and BR 2388 that arrived earlier than expected. The reason for the early arrival times are not clear. The Dst output from the WINDMI model is shown in Figure 7.

6. Discussion

[43] Since the storm onset is related to the polarity of IMF B_z , the quality of the forecast depends on how good an estimate of B_z we can generate. The signal is turbulent with amplifications occurring inside the compression region. Some ambiguity exists as to the time when B_z turns

southward owing to the signal being random. Therefore, the onset of the storm as seen in the “simulated Dst ” (Figure 3) will be delayed or advanced accordingly even if the two compressions, either in satellite or synthetic data, occurred at the same time. The negative peak of the “synthetic Dst ” can be over or underemphasized owing to the signal being a random number multiplied by B_{amp} . The magnetopause current contribution comes from Dst_{mp} and it depends directly on the dynamic pressure and in turn on proton density. Since $Dst_{mp} \geq 0$, it pushes the Dst to positive values. Therefore, any simulated compressions that do not show up in the ACE data, will nevertheless raise the simulated Dst curve. Twenty-six out of the 30 CIR events were forecasted within a time span of -12 to $+12$ h of occurrence of the peak of the measured Dst for each event during the uncalibrated analysis.

[44] The false alarms (FA) in Table 2 occur when coronal holes were seen but there was no disturbance registered at ACE. To cross-check these events, synoptic maps from CCMC’s STEREO support page were examined for any current sheet crossings. We discuss this further for each rotation in sections 6.1–6.13.

[45] Knowing that the amplification of B_z occurs inside the CIR, which in turn occurs approximately a day after the density enhancement [Vrsnak et al., 2007a], we needed to carefully analyze the quality of the synthetic Dst during each event. The randomness of B_z caused the main phase peak of the simulated storm to occur at times different from the data. Therefore, we proceeded to obtain an average of the simulated Dst from WINDMI over 10 representative samples and also of 50 representative samples (to see if the timings are consistent in terms of being forecasted before or after being measured as compared with 10 representative samples). This was compared against the timing of the storm time negative peaks in the ground Dst .

[46] In the next few subsections, we discuss each storm to assess the quality of the forecasts after video calibration. Table 3 represents a summary of the timings for 10 and 50 representative samples compared against ground Dst . The samples are called representative since they represent one out of many possible outcomes from a normally distributed

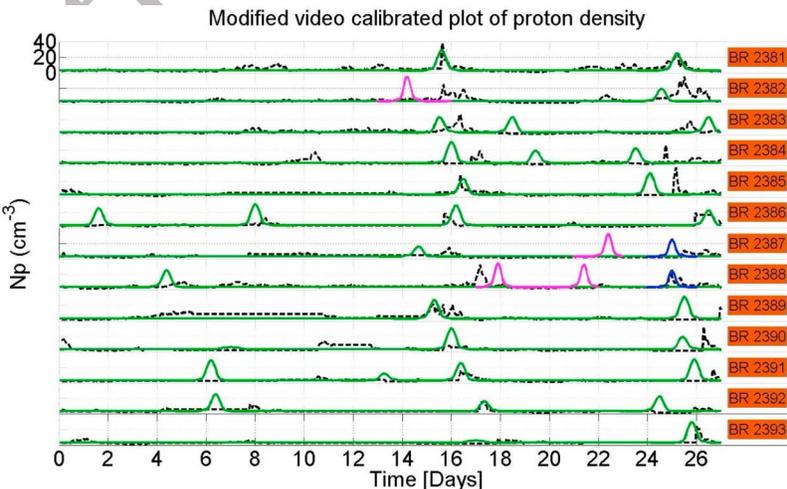


Figure 6. Plot of modified video-corrected proton density profile with ACE data (dashed black line), synthetic signal (solid green line), uncalibrated periodic density compressions (blue line), and coronal holes sighted by STEREO B (magenta line).

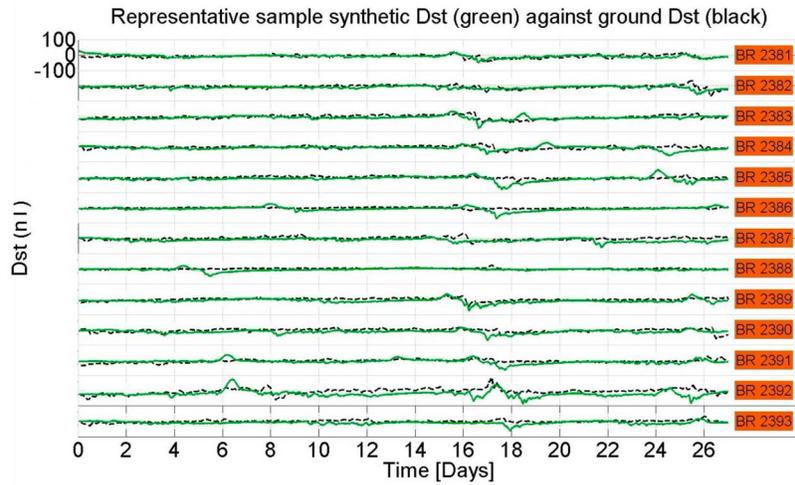


Figure 7. A representative sample *Dst* output from WINDMI (solid green line) after video corrections compared to *Dst* from ground measurements (dashed black line).

Table 3. *Dst* Timing and Peak Negative Amplitude Comparison Against Ground *Dst* After Averaging 10 and 50 Representative Samples of Synthetic *Dst*

t3.4	Bartel Rotation	Storm ^a	<i>Dst_{avg}</i> for 10 Samples		<i>Dst_{avg}</i> for 50 Samples		<i>Dst_{grd}</i> Magnitude (nT)
			Timing	Magnitude (nT)	Timing	Magnitude (nT)	
t3.6	2381	1	+	-30	+	-34	-44
t3.7		2	-	-23	-	-21	-28
t3.8	2382	3	+	-33	+	-34	-45
t3.9		4	+	-40	+	-38	-72
t3.10		5	+	-54	+	-62	-43
t3.11	2383		FA	n/a	n/a	n/a	n/a
t3.12		6	-	-20	-	-18	-29
t3.13			ME				-19
t3.14		7	+	-50	+	-39	-43
t3.15	2384		FA	n/a	n/a	n/a	n/a
t3.16		8	+	-36	+	-36	-22
t3.17	2385	9	+	-41	+	-39	-33
t3.18		10	+	-68	+	-58	-20
t3.19			FA	n/a	n/a	n/a	n/a
t3.20	2386	11	-	-62	-	-41	-16
t3.21		12	-	-58	-	-61	-40
t3.22		13	-	-19	-	-18	-25
t3.23		14	+	-28	+	-32	-40
t3.24	2387		FA	n/a	n/a	n/a	n/a
t3.25		15	ME(+)	-28	ME(+)	-25	-24
t3.26			FA	n/a	n/a	n/a	n/a
t3.27	2388	16	-	-30	-	-28	-40
t3.28			FA	n/a	n/a	n/a	n/a
t3.29		17	ME(+)	-35	ME(+)	-33	-26
t3.30	2389	18	-	-35	-	-46	-51
t3.31		19	+	-15	+	-17	-29
t3.32			FA	n/a	n/a	n/a	n/a
t3.33	2390	20	+	-41	+	-38	-37
t3.34		21	+	-22	+	-20	-60
t3.35			FA	n/a	n/a	n/a	n/a
t3.36			FA	n/a	n/a	n/a	n/a
t3.37	2391	22	-	-50	-	-45	-24
t3.38		23	+	-22	+	-21	-30
t3.39		24	+	-11	+	-19	-31
t3.40	2392	25	-	-55	-	-52	-11
t3.41		26	+	-33	+	-31	-32
t3.42			ME				-18
t3.43	2393	27	+	-41	+	-37	-15
t3.44		28	-	-16	-	-16	-14

^aSee Figure 8.

598 randomly generated IMF B_z . We also give a short account of
 599 the compressions that occurred during each Bartel rotation,
 600 whether or not they were correctly captured through our
 601 forecasting technique, and whether the forecasted timing was
 602 before or after the measured timing. The word “forecasting”
 603 used in the description should be understood to mean that we
 604 analyzed SOHO and STEREO B image data during every
 605 Bartel rotation, identified coronal holes in the images at
 606 particular times, and then used the timing information to
 607 generate synthetic signals of CIR disturbances that would be
 608 measured at 1 AU 3.5 days later.

609 [47] We refer to measured data as the actual data recorded
 610 by the ACE spacecraft and ground-based Dst index stations.

611 [48] For reference, if we mention a code 0, this means an
 612 event that was forecasted and measured to occur at roughly
 613 the same time. If we mention a code +, this means the event
 614 was forecasted to occur at a particular time but actually
 615 occurred later according to ACE. If we mention a code −, this
 616 means the event was forecasted to occur at a particular time
 617 but actually occurred earlier according to ACE. The code FA
 618 indicates a false alarm, and the code ME indicates a missed
 619 event. These codes also appear in Table 2.

620 **6.1. BR 2381 (Starting 16 January 2008)**

621 [49] The density compressions during the first rotation
 622 were timed using the ACE satellite data. Because the com-
 623 pressions during this rotation were used as reference, each
 624 was forecasted accurately. This can be seen by the 0 in
 625 Tables 1 and 2.

626 [50] The amplified IMF B_z in the compression region
 627 caused the measured Dst index to reach a storm time peak of
 628 -44 nT on day 17 (00:00 UT) of BR 2381. In contrast, for a
 629 10-sample ensemble average, the simulated Dst storm peak
 630 occurred around 22:00 UT of day 16. The peak happened
 631 around 21:00 UT of day 16 for the 50 sample ensemble
 632 average.

633 [51] During the second storm, the HSS solar wind speed
 634 peaked to around 700 km/s with the IMF reaching a peak
 635 value of approximately 20 nT. The measured Dst peaked
 636 to -28 nT on 00:00 UT of day 26. This storm peaked at
 637 08:00 UT of day 26 for a 10-sample ensemble average. With
 638 a 50 sample ensemble average, the simulated Dst peaked
 639 on 23:59 UT of day 26.

640 **6.2. BR 2382 (Starting 12 February 2008)**

641 [52] The first compression in density was measured around
 642 00:00 UT of day 16 but was forecasted to happen earlier as
 643 seen in Table 2. The compressions in IMF B_z which followed
 644 the plasma compression caused the measured Dst to peak
 645 around 23:00 UT of day 16. With a 10-sample ensemble
 646 average, the simulated Dst peaked at 11:00 UT of day 15.
 647 This was also the case with the 50-sample ensemble average
 648 which peaked an hour later, around 12:00 UT of day 15.

649 [53] The second density compression that preceded the
 650 storm was strong with density values peaking to 40 particles
 651 cm^{-3} . The strong compression was accounted for by a very
 652 large positive Dst of 32 nT. The storm peak Dst had a value
 653 of -72 nT on 06:00 UT of day 26. With the 10-sample
 654 ensemble average, the simulated peak storm time was found
 655 at 15:00 UT of day 25. The timing got closer to the measured
 656 peak time for the 50-sample ensemble average with a nega-
 657 tive maximum occurring around 19:00 UT of day 25.

6.3. BR 2383 (Starting 10 March 2008)

658

[54] The first storm was measured on 22:00 UT of day 17
 with a peak Dst of -43 nT. It was forecasted to happen ear-
 lier as shown in Table 2. With the 10-sample ensemble
 average, the peak storm simulated Dst was timed around
 19:00 UT of day 16. With the 50-sample ensemble average
 the peak occurred at 20:00 UT of day 16.

[55] A second storm was measured on 07:00 UT of the first
 day of BR 2384 on the ground. The delay caused in timing
 the density compression caused the simulated storm peak
 to occur around 22:00 UT of day 3 of BR 2384 for the
 10-sample ensemble average. The simulated storm peak
 was forecasted to be at 20:00 UT of day 3 of BR 2384 for
 the 50-sample ensemble average.

[56] As seen in Table 2, between these two storm events,
 another compression was forecasted which was a false alarm
 (FA). The coronal hole seen in the SOHO images did not
 produce any transients at ACE.

6.4. BR 2384 (Starting 6 April 2008)

676

[57] The first storm in this rotation had a typical CIR sig-
 nature with compressions occurring ahead of the HSS (with a
 speed peaking to around 600 km/s). As seen in Figure 6, a
 compression happened on 11:00 UT of day 10. No coronal
 holes were seen in the EIT images so this event was con-
 sidered a missed event (ME) in Table 2.

[58] The second compression was forecasted before it was
 measured at ACE as seen in Table 2. The IMF B_z enhance-
 ment that followed the proton density compression resulted
 in a geomagnetic storm that peaked to a minimum of -43 nT
 around 19:00 UT of day 17. With the 10-sample ensemble
 average, the simulated storm peak occurred on 06:00 UT of
 day 17. With the 50-sample ensemble average, the peak
 occurred on 07:00 UT of day 17.

[59] The last storm was measured with a peak Dst of
 -22 nT on 15:00 UT of the day 26. This event was con-
 sistentlly forecasted before the actual ground measurement
 as seen in Figure 8 (event 8 on the x axis). With the 10-sample
 ensemble average, the simulated Dst peaked on 21:00 UT of
 day 24. With the 50-sample ensemble average, the peak
 occurred on 19:00 UT of day 24.

[60] Table 2 indicates that a third compression was fore-
 casted between the two CIR storms. This compression was
 a FA because the fast stream that emanated from the coronal
 hole seen in SOHO/EIT 194 nm images produced no dis-
 turbance at ACE.

6.5. BR 2385 (Starting 3 May 2008)

703

[61] Table 2 indicates that two coronal holes were observed
 and that two associated CIR compressions were measured by
 ACE.

[62] The first storm is event 9 in Table 3 and Figure 8. This
 storm was forecasted on time with regard to the proton den-
 sity enhancement (see Table 2). The measured Dst peaked to
 a value of -33 nT around 05:00 UT of day 18. The simulated
 Dst from both the 10- and 50-sample ensemble averages
 was forecasted earlier than when it was measured. For the
 10-sample ensemble, the storm peak occurred on 21:00 UT
 of day 17. The storm peaked on 17:00 UT of day 17 for the
 50-sample ensemble average. This result is due to the ran-
 domness in the IMF B_z signal because the first amplified

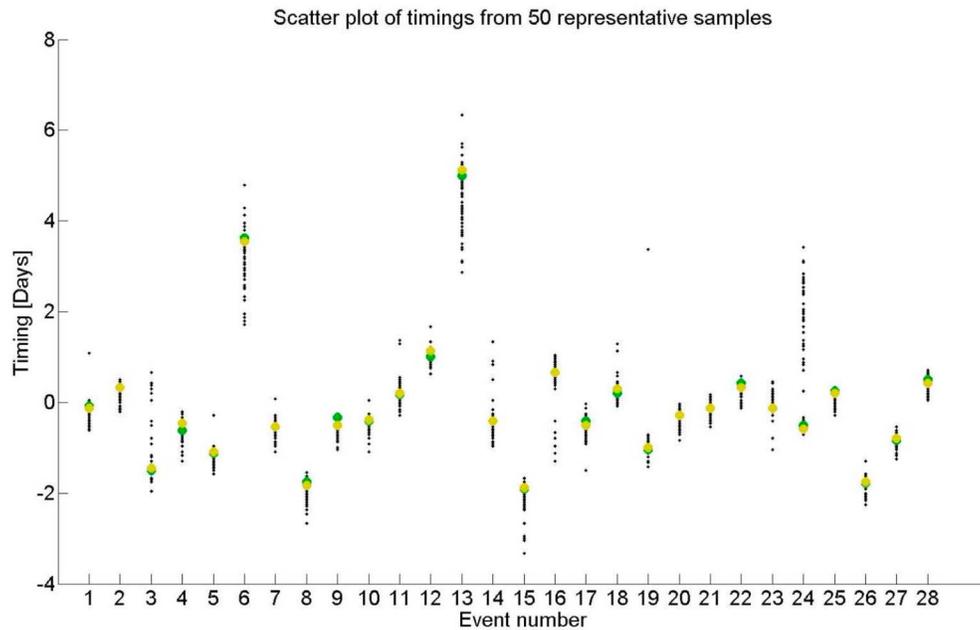


Figure 8. Scatterplot of the times when the *Dst* samples peaked to storm time values compared to peaks in the measured *Dst*. The 0 on the y axis indicates that the forecasted peak coincides with the measured peak. The 10-sample average is indicated with solid green circles, and the 50-sample average is shown with yellow circles.

717 southward turning can occur anywhere inside the compression region.

719 [63] The second CIR had a strong compression with a measured value of approximately 40 particles cm^{-3} on the rising edge of solar wind speed which transitioned from around 350 km/s to a HSS of 600 km/s. This storm was forecasted earlier but measured later by ACE. The storm induced by this CIR was only weakly geoeffective with a measured peak magnitude of -20 nT on 18:00 UT of day 25. With the 10-sample ensemble average, the storm peak occurred around 08:00 UT of day 25. There was a slight improvement of 1 h with the 50-sample ensemble average, with the peak occurring on 09:00 UT of day 25.

730 **6.6. BR 2386 (Starting 30 May 2008)**

731 [64] Four compressions were forecasted during BR 2386 with one of them being a FA. The first compression was forecasted early but was measured later at ACE. The second density amplification was forecasted later than actually measured by ACE. The third compression was forecasted on time. All three compressions were CIR disturbances.

737 [65] The first storm had a measured peak of *Dst* -16 nT around 01:00 UT of day 9. As shown in Table 3, this storm peak occurred later at around 05:00 UT for an ensemble of 10 simulated samples. For the 50-sample average, the timing improved to 04:00 UT of day 9.

742 [66] The compressions accompanying the second storm produced moderate driving with a compressed IMF $B_z = -10$ nT. The geomagnetic activity caused by this amplification peaked around 08:00 UT of day 16 with a measured peak magnitude of -40 nT. The storm peak occurred around 08:00 UT of day 17 for the 10-sample average. With the 50-sample average, the simulated *Dst* peaked on 11:00 UT of day 17.

[67] The last storm measured in the rotation was around 05:00 UT of day 26 with a peak intensity of -25 nT. This storm occurred on 05:00 UT of day 5 of the next rotation (BR 2387) for the 10 simulated signal samples average. There was not much improvement with the 50-sample average, the storm peak occurred on 08:00 UT of day 5 of BR 2387. As seen in Figure 8, this event (event 13) has a variance of $+1$ to -1 days around a mean delay time of 5 days.

[68] The first density compression was a FA as seen in Table 2. Again, there was a coronal hole seen in the SOHO images but no disturbance was recorded at ACE.

6.7. BR 2387 (Starting 26 June 2008)

[69] From Table 2, there were two compressions measured in this rotation by ACE.

[70] The first compression was measured later relative to the forecast. During this event, the solar wind speed in the HSS was measured around 700 km/s with an IMF compression of 15 nT. The peak geomagnetic activity was measured on the ground at 09:00 UT of day 16. For the simulated *Dst*, the peak with the 10-sample average was around 23:40 UT of day 15. The same activity peaked around 22:00 UT of day 15 for the 50-sample average.

[71] The compression labeled ME in Table 2 for this rotation was in fact added from the uncalibrated analysis. The relatively stable coronal hole present during the previous Bartel rotations was not visible in the images in this instance, but we added the compression because it was directly correlated with the event. This last storm had a very large density enhancement duration which was reflected in the *Dst* being positive for the same period. The measured negative *Dst* peak time occurred around 01:00 UT of the first day of BR 2388 (the next rotation) with a moderate value of -24 nT as seen in Table 3. With the 10-sample average, the simulated storm

783 peak time occurred around 03:00 UT of day 26. The timing
784 did not change much for the 50-sample average which gave
785 a storm peak around 04:00 UT of day 26. This is event 15 of
786 Figure 8.

787 [72] There was also a FA (second compression in Table 2).
788 A coronal hole was seen in the SOHO images but no trans-
789 sients were measured by the ACE satellite.

790 **6.8. BR 2388 (Starting 23 July 2008)**

791 [73] From Table 2, we see three compressions after video
792 calibration. These three compressions are shown in Figure 5.
793 However, only the second compression was an actual CIR
794 event. This indicated that the coronal holes seen in the EIT
795 images were not geoeffective. A fourth compression on the
796 25th day was a ME according to video calibration. Here,
797 similar to BR 2387, we used knowledge from the uncali-
798 brated analysis to add the compression into the proton den-
799 sity profile.

800 [74] The first true CIR event on day 17 was forecasted later
801 than was actually measured at ACE. The second true event on
802 day 25 was forecasted on time. This can be seen in Figure 7.

803 [75] The first storm peak (event 16 in Table 3) was mea-
804 sured on 06:00 UT of day 18 with a peak *Dst* of -40 nT. The
805 peak intensification in geoactivity occurred around 22:00 UT
806 of day 18 for the 10-sample average. The simulated peak
807 for the 50-sample average was recorded around 21:00 UT of
808 day 18. This can be seen in Figure 8, where for event 16, the
809 sample timings are clustered around 12 h after the measured
810 negative *Dst* peak.

811 [76] The second storm peaked on 15:00 UT of day 26. As
812 seen in Table 3, the peak negative simulated *Dst* derived from
813 WINDMI model occurred around 05:00 UT of day 26 for the
814 10-sample average. The 50-sample average produced a peak
815 around 03:00 UT of day 26. As seen in Figure 8, this event
816 (event 17) had all the sample timings occurring before the
817 measured *Dst*.

818 [77] Table 2 shows that the other two events are FAs
819 (days 5 and 21). There was an increase in the measured
820 proton density around the time when these compressions
821 were forecasted (as seen in Figure 7), but they lacked other
822 signatures of CIRs like increase in solar wind speed. This
823 increase was due to heliospheric current sheet (HCS) cross-
824 ing which we concluded through examining the synoptic
825 map during the time of interest.

826 **6.9. BR 2389 (Starting 19 August 2008)**

827 [78] From Table 2 we observe that two compressions were
828 forecasted and were also measured by ACE with CIR sig-
829 natures. The first compression was forecasted on time while
830 the second was forecasted much earlier than it was measured
831 at ACE.

832 [79] The first compression seen in the density plot (Figure 6),
833 was made up of three simultaneous compressions around
834 03:00 UT, 15:00 UT of day 15, and 00:00 UT of day 16. The
835 IMF compressions that accompanied the plasma compression
836 caused a geomagnetic storm peak of -51 nT on 05:00 UT of
837 day 16. The 10-sample average produced a simulated peak
838 storm time on 10:00 UT of day 16. The 50-sample average
839 produced a storm peak around 12:00 UT of day 16.

840 [80] The second storm produced moderate driving with
841 an IMF $|B| \approx 13$ nT, HSS speed peaking around 600 km/s,
842 and a proton density compression of 20 particles cm^{-3} . The

measured geomagnetic activity peaked on 12:00 UT of the 843
first day of the next rotation (BR 2390). This storm is labeled 844
event 19 in Figure 8. The simulated storm peak occurred 845
around 11:00 UT of day 26 for the 10-sample average. 846
The storm peak occurred around 12:00 UT of day 26 with 847
the 50-sample average. 848

6.10. **BR 2390 (Starting 15 September 2008)** 849

[81] Three coronal holes were seen during this rotation 850
which implied that three compressions were forecasted in the 851
synthetic proton density. Only two compressions were CIRs 852
with the first amplification being a FA. The first CIR com- 853
pression was forecasted on time while the second compres- 854
sion was forecasted before the actual ACE measurement. 855

[82] The first compression was a CIR with the solar wind 856
speed inside the HSS peaking to 700 km/s. The measured *Dst* 857
peaked around 13:00 UT of day 17. The storm peak was 858
captured in the simulated *Dst* on 06:00 UT of day 17 for both 859
10- and 50-sample averages. 860

[83] The next CIR was the second most geoeffective dur- 861
ing the year 2008 in terms of peak negative *Dst* which went 862
to -60 nT on 12:00 UT of day 26. The peak occurred on 863
09:00 UT of day 26 for the 10 simulated samples average. 864
The same peak occurred half an hour before around 08:30 UT 865
of day 26 for the 50 simulated samples average. This can also 866
be seen in the scatterplot for this event (labeled event 21 in 867
Figure 8) where timings were forecasted consistently before 868
being measured. 869

[84] The compression on day 7 (Figure 6) was a false alarm 870
(FA), as mentioned in Table 2. Because of a 1.3 year mod- 871
ulation of the compressions, the FA is hardly evident in 872
Figure 6. Since there were no classical CIR signatures like 873
increase in speed after the compression, this event was con- 874
sidered a FA. Synoptic maps during the time showed a cur- 875
rent sheet crossing which could be the reason for this density 876
enhancement. 877

6.11. **BR 2391 (Starting 12 October 2008)** 878

[85] As in BR 2388, this rotation also had four coronal 879
holes appearing in the central meridian slice. Two were 880
actual CIRs with the other two being FAs. The first CIR 881
compression was forecasted on time while the second was 882
forecasted before actually measured by ACE. 883

[86] The first measured storm was fairly weak with a peak 884
negative *Dst* of -24 nT on 07:00 UT of day 17 as indicated 885
by event 22 in Table 3. The peak timing from an average of 886
10 simulated samples was 17:00 UT of day 17. On the other 887
hand, the same storm peaked at around 15:00 UT of day 17, 888
for the 50-sample average. Note from Table 2 that although 889
the density compression occurred on time, the magneto- 890
spheric response simulated by the WINDMI model occurred 891
later because of IMF B_z being a random signal. 892

[87] The last storm peak in this rotation was measured 893
on 10:00 UT of day 26. This is event 23 shown in Table 3. 894
The storm peaked on 07:00 UT of day 26 with an average of 895
10 simulated signals. With the 50-sample average the storm 896
peak occurred around 06:55 UT of day 26. 897

[88] The first two simulated compressions as shown in 898
Figure 6 and Table 2 were false alarms. However, using 899
the synoptic maps, we concluded that the second FA (around 900
day 13) had an increase in measured proton density because 901
of current sheet crossing. 902

903 **6.12. BR 2392 (Starting 8 November 2008)**

904 [89] Three coronal holes were seen in the EIT images
905 which indicated three density compressions. When the syn-
906 thetic signal was compared against measured ACE data, all
907 three corresponded well with CIR type signatures. As seen in
908 Table 2, all the three compressions were forecasted either
909 before or on time relative to ACE satellite measurements.

910 [90] For the first storm, the solar wind conditions as mea-
911 sured by ACE were not very strong, with slow stream speeds
912 around 300 km/s and HSS value around 500 km/s. The first
913 storm measured in the ground *Dst* peaked to a negative
914 maximum of -31 nT around 05:00 UT of day 8. The 10
915 simulated *Dst* samples average produced a peak around
916 17:00 UT of day 7. The 50 simulated *Dst* samples average
917 produced a peak on 15:00 UT of day 7.

918 [91] The second storm was very weakly geoeffective as
919 seen in Table 3 with a peak negative value of -11 nT on
920 08:00 UT of the day 18. The 10-sample average produced
921 a peak on 14:00 UT of day 18. The 50-sample average
922 produced a peak around 13:00 UT of day 18. This is evident
923 in Figure 8 where this storm is tagged event 25 and had
924 forecasts clustered around 0 (on time) with a variance of
925 -0.3 to $+0.3$ days (-7 to 7 h).

926 [92] The last compression was a result of the interaction
927 between slow wind (speed around 300 km/s) and HSS (speed
928 around 600 km/s), with a strong compression in the IMF
929 (around 20 nT mainly contributed by IMF B_y). An IMF B_z
930 peak negative magnitude of -13 nT resulted in geomagnetic
931 activity which peaked on 10:00 UT of day 1 of BR 2393.
932 However, the 10 simulated *Dst* samples average produced a
933 peak on 15:00 UT on day 25 of BR 2392. The 50-sample
934 average produced a peak on 16:00 UT of day 25. This feature
935 is evident from Figure 8 where this is event 26.

936 **6.13. BR 2393 (Starting 5 December 2008)**

937 [93] As indicated in Table 2, the first event, termed a mis-
938 sed event, was weakly geoeffective with a peak value in the
939 measured *Dst* of -11 nT. The next two storms were also very
940 weak with peak measured *Dst* of -15 and -14 nT. The
941 compressions related to these CIRs were forecasted before
942 they were actually measured at ACE.

943 [94] For the ME, no coronal holes could be seen in
944 the central slice of SOHO images and so no compressions
945 and hence no geomagnetic activity was captured in the sim-
946 ulated *Dst*.

947 [95] The next storm peaked at 00:00 UT of day 19 with
948 a negative *Dst* value of -15 nT as given in Table 3. The
949 10-sample average produced a peak on 04:00 UT of day 18.
950 The 50 simulated samples average produced the storm peak
951 on 05:00 UT of day 18. This is also evident in Figure 8 where
952 this event, event 27, was consistently forecasted early.

953 [96] The last CIR event was measured with a peak *Dst* of
954 -14 nT on 07:00 UT of day 26. This storm peak was cap-
955 tured around 19:00 UT of day 26 with the 10-sample average.
956 The event peak was forecasted around 17:00 UT of day 26
957 with the 50-sample average.

958 **6.14. Events Summary**

959 [97] The above discussion, Table 3, and Figure 8 indicate
960 that the timings obtained from 10- to 50-sample averages
961 were consistent in terms of forecasting the storm before or

after its actual occurrence. Both the 10- and 50-sample 962
ensembles show a consistent pattern with regards to the 963
forecast of timing a particular CIR driven storm. The worst 964
case timing forecast for when the storm was measured before 965
it was forecasted was around 3 days (variance of -1 to 966
 $+2$ days) later for the last storm in BR 2383 (event 6 in 967
Table 3 and Figure 8), 4 days (with a variance of -1 to 968
 $+1$ day) later for the last storm of BR 2386 (event 13 in 969
Table 3 and Figure 8), and 2 days (variance -1 to $+1$ day) 970
later for the first storm in BR 2392 (event 24 in Table 3 and 971
Figure 8). Since the representative samples are averaged, the 972
short-time features are lost, but the main phase peak timings 973
(which is the goal of this study) are roughly preserved. The 974
plots for averages of 10 and 50 representative samples com- 975
pared to the ground *Dst* are included in the auxiliary material 976
as is the *Dst* output from the WINDMI model for actual 977
ACE flow and IMF parameters.¹ Last, as previously asserted, 978
accurately timing the density enhancement will not guarantee 979
an on time storm peak (e.g., both storms in BR 2381) owing 980
to the random nature of the IMF B_z with southward turning 981
occurring somewhere inside the compression. 982

983 **7. Conclusions and Future Work**

[98] In this work we used SOHO/EIT and STEREO 984
B/EUVI 19.5 nm images, together with ACE solar wind data, 985
to construct a set of synthetic signals of v_x, B_z, N_p as inputs to 986
the WINDMI model. The aim of the study was first to time 987
the CIR event and secondly to generate the expected profiles 988
of solar wind parameters at 1 AU. With a radial velocity 989
assumed at 500 km/s and ballistic propagation from the 990
Sun to 1 AU, we simulated 3.5 day ahead forecasts for these 991
events. We did this during a solar minimum since at other 992
times the presence of bursty events like CMEs or accelerated 993
flows (as in BR 2383) may affect the arrival times of each 994
disturbance. 995

[99] The density and velocity profiles were adequately 996
represented by Gaussian and sinusoidal variations with the 997
timings being taken from images and the signal construction 998
methods taken in part from previous works. In the case of the 999
IMF B_z , we found that a randomly generated signal was more 1000
useful than a periodic signal to account for any prestorm or 1001
poststorm B_z fluctuations that might precondition and delay 1002
the recovery of the *Dst*. This randomness in B_z produced a 1003
distribution of possible *Dst* signatures. We have generated 10 1004
samples of simulated IMF B_z signals and incorporated the 1005
corresponding simulated *Dst* forecasts into a movie that is 1006
included with the auxiliary material. These different possi- 1007
bilities result in slightly different onset times, and levels of 1008
geomagnetic activity. 1009

[100] In future work, we will optimize the parameters in the 1010
WINDMI model to predict the ring current characteristic 1011
recovery times during a CIR storm. This might vary from 1012
storm to storm depending on how far or close the next 1013
compression is and the amplitude of B_z in the high-speed 1014
stream. Automation of coronal hole detection will be useful 1015
for estimating the arrival times. To this end we intend to 1016
use image processing techniques to remove the transient 1017

¹Auxiliary materials are available in the HTML. doi:10.1029/2011JA017018.

1018 dimming. We will also compare the ring current dynamics
 1019 during the CIR events against strong CME-type events.

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