

INFLUENCE OF THE AMBIENT SOLAR WIND FLOW ON THE PROPAGATION BEHAVIOR OF INTERPLANETARY CORONAL MASS EJECTIONS

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ABSTRACT

We study three coronal mass ejection (CME)/interplanetary coronal mass ejection (ICME) events (2008 June 1–6, 2009 February 13–18, and 2010 April 3–5) tracked from Sun to 1 AU in remote-sensing observations of *Solar Terrestrial Relations Observatory* Heliospheric Imagers and in situ plasma and magnetic field measurements. We focus on the ICME propagation in interplanetary (IP) space that is governed by two forces: the propelling Lorentz force and the drag force. We address the question: which heliospheric distance range does the drag become dominant and the CME adjust to the solar wind flow. To this end, we analyze speed differences between ICMEs and the ambient solar wind flow as a function of distance. The evolution of the ambient solar wind flow is derived from ENLIL three-dimensional MHD model runs using different solar wind models, namely, Wang–Sheeley–Arge and MHD-Around-A-Sphere. Comparing the measured CME kinematics with the solar wind models, we find that the CME speed becomes adjusted to the solar wind speed at very different heliospheric distances in the three events under study: from below $30 R_{\odot}$, to beyond 1 AU, depending on the CME and ambient solar wind characteristics. ENLIL can be used to derive important information about the overall structure of the background solar wind, providing more reliable results during times of low solar activity than during times of high solar activity. The results from this study enable us to obtain greater insight into the forces acting on CMEs over the IP space distance range, which is an important prerequisite for predicting their 1 AU transit times.

Key words: solar wind – Sun: activity – Sun: coronal mass ejections (CMEs)

Online-only material: color figures

1. INTRODUCTION

The evolution of coronal mass ejections (CMEs) is mainly governed by the Lorentz and the aerodynamic drag force. Initially, the CME is launched and driven by the Lorentz force, whereas the drag force becomes dominant in the later phase of the evolution as the CME propagates into interplanetary (IP) space (Chen 1989; Cargill et al. 1996; Tappin 2006; Howard et al. 2007). In the first approximation the unit-length Lorentz force can be written as $F_L = I \times B$, where I is the electric current within the erupting loop and B is the magnetic field. The electric current and size of the current-carrying structure are related to the erupting magnetic flux. Assuming that the magnetic flux is preserved during the eruption due to the frozen-in condition (ideal-MHD), the electric current decreases when the structure enlarges, i.e., moves away from the Sun, which in turn decreases F_L as well as the free magnetic energy contained in the system (e.g., Jackson 1998; Chen 1996; Kliem & Török 2006; Subramanian & Vourlidis 2007). A prolonged magnetic reconnection below the eruption adds poloidal flux to the erupting structure sustaining the outward directed Lorentz force (Chen 1996) which powers and prolongs the CME acceleration (Lin & Forbes 2000; Vršnak & Cliver 2008). As soon as the drag force becomes dominant, the CME speed will decrease until it becomes adjusted to the ambient solar wind speed (Chen 1996; Gopalswamy et al. 2000; Vršnak et al. 2004; Cargill 2004, and references therein). In its simplest form, the drag acceleration can be expressed as $a_D = \pm \gamma |v - w|^\alpha$ with $\alpha = [1, 2]$, w the solar wind speed, v the CME speed, and γ the drag parameter (cf. Vršnak & Gopalswamy 2002), the acceleration being positive if $v > w$ and negative for $v < w$.

From coronagraphic observations, it is obtained that a significant fraction of fast CMEs begins to decelerate in the high corona (St. Cyr et al. 1999; Vršnak et al. 2004; Davis et al. 2010). IP scintillation was one of the earliest techniques to investigate the solar wind in the inner heliosphere (see, e.g., Hewish et al. 1964; Houminer & Hewish 1972). The first insight into the heliospheric distance range with respect to CMEs was derived from the *HELIOS* spacecraft (e.g., Jackson 1985). Studies using radio and scintillation measurements could gain deeper knowledge on the evolution of IP CMEs, the so-called ICMEs (e.g., Manoharan et al. 2000; Manoharan 2006; Reiner et al. 2007, and references therein). Since 2003, data from *Coriolis*/SMEI using IP scintillation methods reveal more details on ICMEs (e.g., Webb et al. 2006). Since 2006 the *Solar Terrestrial Relations Observatory* (*STEREO*) mission has enabled us to follow CMEs using direct imaging for the entire propagation distance from Sun to Earth, and to systematically study ICMEs. The first studies on the solar wind drag using *STEREO* data were made by Byrne et al. (2010) and Maloney & Gallagher (2010). However, the heliospheric distance at which the drag force finally prevails over the magnetic driving force is still unknown, mainly due to the unknown solar wind speed distribution in IP space. The determination of the drag force is crucial in order to reliably represent the evolution of CMEs in the heliosphere and to predict its transit time to 1 AU and, thus, its possible impact at Earth (e.g., Vršnak & Gopalswamy 2002; Owens & Cargill 2004; Vršnak & Žic 2007; Morrill et al. 2009; Webb et al. 2009; Vršnak et al. 2010).

The main parameters determining the drag force a_D are speed, mass, and size of ICMEs as well as speed and density of the ambient solar wind flow (see, e.g., Vršnak et al. 2004, 2010).

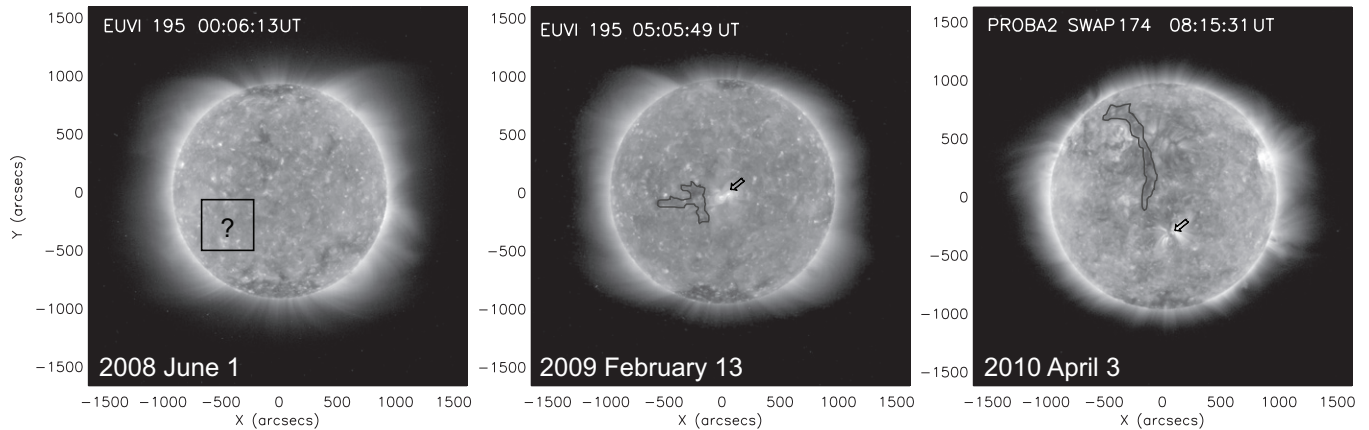


Figure 1. EUV observations from *STEREO-B*/EUVI in the wavelength range 195 Å and *PROBA2*/SWAP in 174 Å showing the solar corona at launch date for each event under study. Coronal holes in the vicinity of the source region of the CME event are outlined by solid gray lines. For Event 1, no solar surface signature is observed; the CME most likely started from the southeast quadrant as derived in the study by Robbrecht et al. (2009).

Based on the simple expression for a_D , we focus in this study on the speed differences between the ICME and the ambient solar wind flow. As we would like to know at which distance range from the Sun the CME adjusts to the solar wind flow and how this affects the observed CME propagation, we need to derive the solar wind speed distribution as a function of distance and time. The ambient solar wind properties are usually estimated from in situ measurements at 1 AU, however, this does not reflect their spatial distribution in IP space. As an empirical approximation of how the solar wind is structured, the relation between coronal hole areas/location on the Sun and solar wind speed can be used (Temmer et al. 2007; Vršnak et al. 2007). Again, we face the problem that only the behavior of the solar wind at the boundaries, Sun and Earth, are known but not its distribution in between. Applying numerical MHD modeling, we may overcome this limitation. Significant progress has been made in current tools like ENLIL (Odstrčil & Pizzo 1999; Odstrčil 2003) which allows the simulation of the solar wind conditions for the entire Sun–Earth distance based on photospheric magnetogram input and potential field source surface extrapolation. Thus, ENLIL enables us to infer the distribution of solar wind parameters in IP space and will be used to study the environmental conditions in which the CME is embedded.

The subject of the current study is to infer the heliospheric distance at which the drag force begins to prevail over the driving force, both acting on the ICME until the speed of the ICME finally adjusted to the speed of the ambient solar wind flow. To this end, we study the evolution of three well observed CME/ICME events tracked all the way from Sun to 1 AU from *STEREO*/SECCHI remote-sensing observations. In combination with in situ measurements at 1 AU, we are able to determine the direction and speed of a CME. A possible driving is derived by measuring the kinematics of the front (sheath) of the CME under the assumption that if the body of the CME is accelerated (by one of the two main drivers) the sheath will respond and will also move faster. To what extent the Lorentz force might contribute to driving is derived from solar surface observations (growing post-flare loops due to ongoing magnetic reconnection processes adding poloidal flux to the magnetic structure of the CME body). The contribution to driving due to high-speed solar wind streams (HSSs) is derived from comparison of the CME/ICME speed and the solar wind speed derived from ENLIL (NASA/CCMC) model

runs for the ambient solar wind flow (both as a function of distance along the CME propagation direction). In contrast to previous studies analyzing the effect of the ambient solar wind by simulating the propagation of CMEs/ICMEs with MHD models (e.g., Webb et al. 2009; Case et al. 2008), we approach this issue by comparing the numerically calculated background solar wind speed from ENLIL model runs with observed CME kinematics in IP space. This study is aimed to be a step in gaining deeper insight into the effect of the drag force influencing the CME/ICME propagation.

2. DATA AND METHODS

All three CME/ICME events are observed with the *STEREO* (*STEREO-A* and *STEREO-B*) SECCHI instrument suite (Howard et al. 2008). SECCHI consists of two coronagraphs, COR1 and COR2, covering a plane-of-sky (POS) distance range up to $\sim 15 R_\odot$ and the heliospheric imagers (HIs), HI1 and HI2, for distances $> 15 R_\odot$. This instrument combination allows us to track CME/ICME events in the inner heliosphere from $\sim 2 R_\odot$ to 1 AU. All events were remotely observed from *STEREO-A* and appeared under this vantage point quite close to the solar limb.⁵ In situ signatures of the ejecta at 1 AU from which we can deduce its arrival time and speed are derived from solar wind magnetic field and plasma data as measured with *STEREO-B*/IMPACT (Acuña et al. 2008; Luhmann et al. 2008), *STEREO-B*/PLASTIC (Galvin et al. 2008), and *Wind*/SWE/MFI (Ogilvie et al. 1995; Lepping et al. 1995). The arrival time of the ICME at 1 AU is obtained from the sharp increase in density in front of the identified flux rope or magnetic cloud signature (e.g., Klein & Burlaga 1982; Bothmer & Schwenn 1998). For context information on low-coronal signatures of CMEs as well as on the solar surface conditions and ongoing magnetic reconnection processes (growing post-flare loops), we use observations in the EUV wavelength range from *STEREO*/EUVI (Wuelser et al. 2004) and *PROBA2*/SWAP (Berghmans et al. 2006). All heliographic coordinates mentioned in the paper refer to Earth view.

Figure 1 shows the condition of the solar corona for each of the three events under study. In particular, the location and area of coronal holes in the vicinity of the launch site of the CME is of interest, since coronal holes are known to be

⁵ Therefore, we can neglect projection effects in *STEREO-A* COR1 and COR2 measurements.

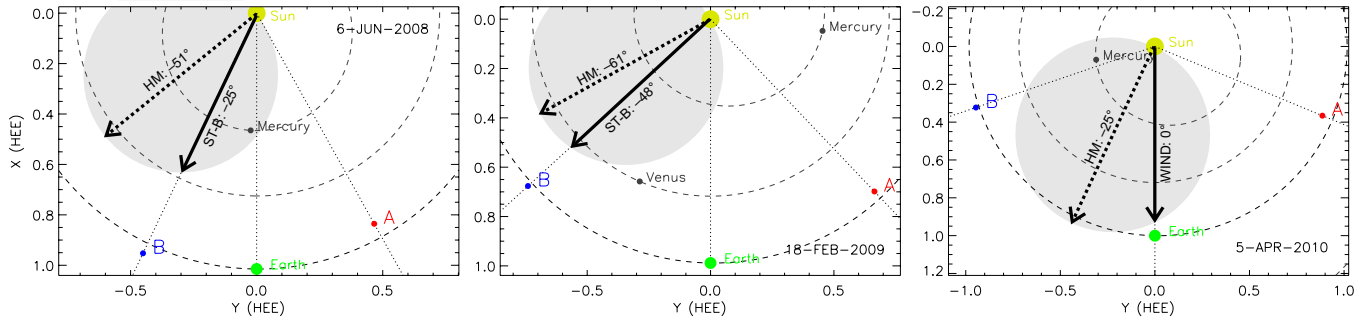


Figure 2. Location of the *STEREO-A* and *STEREO-B* spacecraft with respect to Earth for the three events under study. Assuming the CME is a circle (gray shaded) attached to the Sun, the apex of the CME (dashed arrow) is at a different distance than the flanks (solid arrow) directed toward the in situ spacecraft. The “corrected” ICME kinematics we discuss with respect to the background solar wind are all extracted along the Sun-spacecraft lines.

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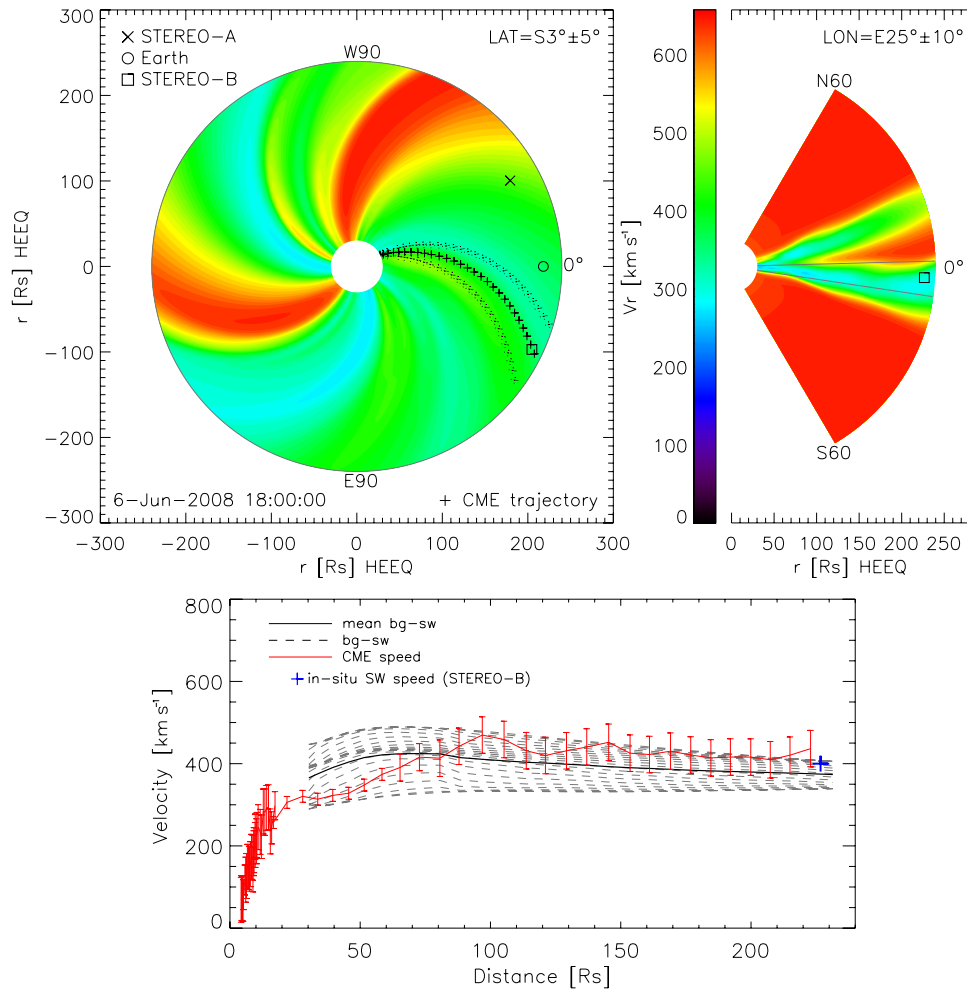


Figure 3. Event 1—top left: ecliptic cut (latitude S3 in HEEQ coordinates) for the background solar wind speed derived from MAS+ENLIL for CR 2070. The measured trajectory of the CME along a fixed angle of propagation at E25 (directed toward *STEREO-B*) is marked by black plus signs with dashed plus signs indicating a longitudinal sector of $\pm 10^\circ$. Top right: meridional cut along the direction of motion of E25. The gray lines indicate a latitudinal sector of $\pm 5^\circ$. Bottom: CME speed and errors as derived from COR and HI measurements, compared to the extracted background solar wind (bg-sw) speed from MAS+ENLIL for $\pm 10^\circ$ (averaged over the latitudinal range of $\pm 5^\circ$) along the CME trajectory and to the in situ measured impact speed of the ICME from *STEREO-B* (blue cross).

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sources of HSSs which may influence the propagation of CMEs (Schwenn 2006; Gopalswamy et al. 2009).

In the event of 2008 June 1 (hereinafter Event 1), the CME left the Sun at ~ 21 UT and arrived on 2008 June 6 at $\sim 22:30$ at the spacecraft *STEREO-B* which measured clear signatures of a large-scale magnetic flux rope (we refer here to a flux rope rather than a magnetic cloud since not all parameters according to the

definition of a magnetic cloud by Burlaga et al. (1981) could be observed) with the IMPACT and PLASTIC instruments. In front of the flux rope structure, a sharp increase in density is observed which can be related to the leading edge of the CME as observed in remote-sensing images. The impact speed of the ICME is derived as the average speed in the ICME sheath region and is ~ 400 km s $^{-1}$. The event was first analyzed by Robbrecht et al.

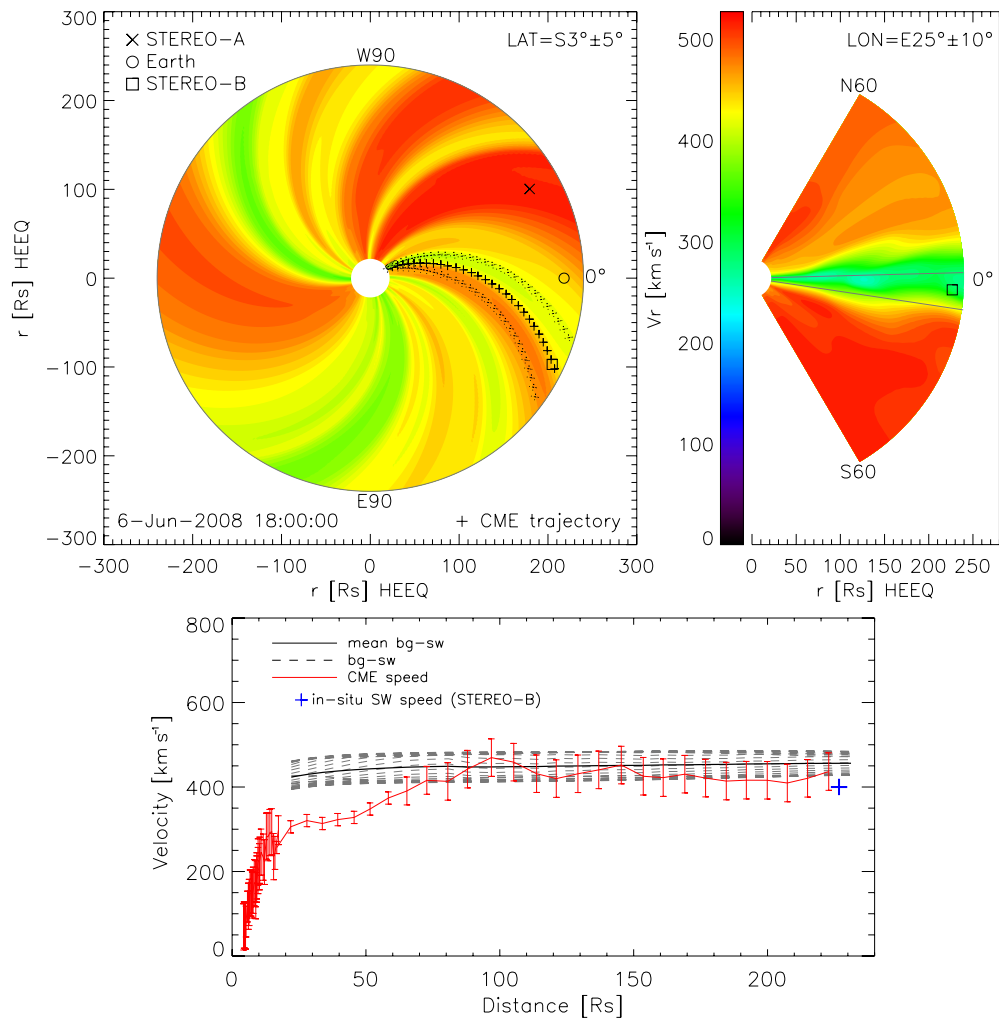


Figure 4. Same as Figure 3 but for WSA+ENLIL.

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(2009) who classified it as “stealth CME” having no obvious signatures of associated low-coronal activity (filament eruption, flare, dimming, EUV wave) on the Sun. The relation between white light images from HI and in situ plasma and magnetic field measurements at 1 AU was analyzed in Möstl et al. (2009). The three-dimensional morphology and the kinematics of the CME are studied by Wood et al. (2010). A comprehensive analysis of that event including the solar surface condition is presented by Lynch et al. (2010).

In the event of 2009 February 13 (hereinafter Event 2), the CME left the Sun at ~ 6 UT and could be detected by *STEREO-B* with IMPACT and PLASTIC which registered an ICME starting 2009 February 18 at ~ 10 UT. The impact speed of the ICME is derived as the average speed of the density enhancement in front of the flux rope and is ~ 360 km s $^{-1}$. A detailed study on the ICME using the *STEREO-A*/HI observations along with the in situ measurements from *STEREO-B* and Venus Express, which measured the magnetic field of the ICME at 0.72 AU, is given in Möstl et al. (2011). The event was also associated with a global coronal wave observed in EUV (Cohen et al. 2009; Kienreich et al. 2009; Patsourakos & Vourlidas 2009).

In the event of 2010 April 3 (hereinafter Event 3), the CME left the Sun at ~ 9 UT. On 2010 April 5 at ~ 8 UT, a sharp increase in density related to an IP shock was detected by in situ measurements at the *Wind* spacecraft, followed by signatures of

a fast ICME event. From this, the impact speed of the ICME is derived as the average speed in the ICME sheath region and is ~ 720 km s $^{-1}$. This was the first fast CME/ICME event of solar cycle 24 with an average speed over the Sun–Earth distance range of ~ 800 km s $^{-1}$ (Möstl et al. 2010; Liu et al. 2011; Wood et al. 2011).

The elongation of the leading edge of a CME is measured following the intensity enhancements from jmaps constructed from sequences of *STEREO-A*/HI1 and HI2 difference images (Davies et al. 2009). The tracking of each CME is carried out in the ecliptic plane averaging over a latitudinal range of ± 16 pixels (which corresponds to $\pm 0.3^\circ$ for HI1 and $\pm 1.0^\circ$ for HI2). For each event, the measurements were repeated five times in order to derive the mean value and standard deviation. Applying this procedure, the elongation errors are found to be in the range ± 0.1 – 0.3° for HI1 and ± 0.3 – 0.4° for HI2. Since not all events can be tracked equally well in the constructed jmaps, the errors differ from event to event. Usually, the conversion from elongation into radial distance from the Sun is accomplished by applying different methods assuming the CME to be either a small-scale or a very wide ejection. Using the fixed- ϕ (FP) method, the CME is approximated as point-like source propagating radially along a fixed trajectory of angle ϕ (Sheeley et al. 1999, 2008a, 2008b; Rouillard et al. 2008). Using the harmonic mean (HM) method, the CME front is approximated as circle which is attached to

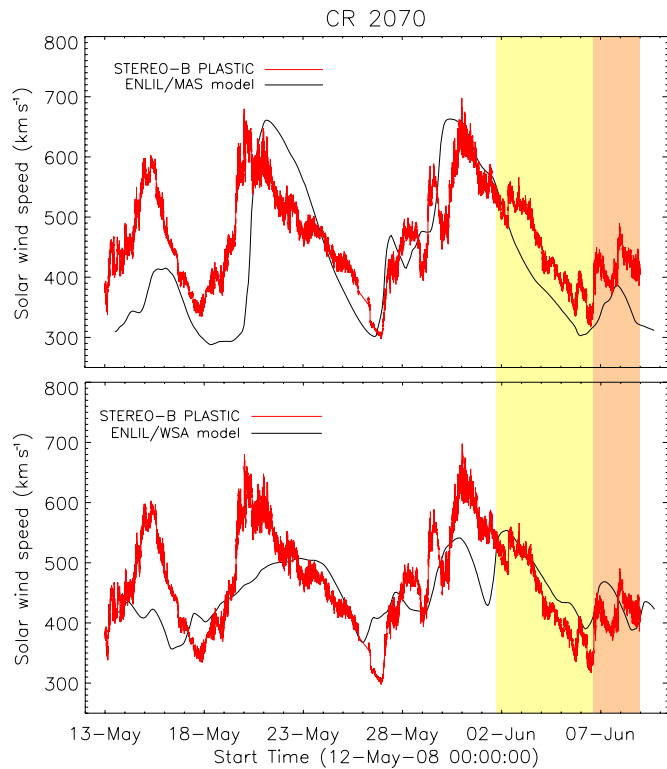


Figure 5. Comparison between in situ measured solar wind speed from *STEREO-B*/PLASTIC and background solar wind speed extracted from ENLIL using different coupling models for CR 2070. At the spacecraft position of *STEREO-B* (indicated in Figure 3), the background solar wind speed is extracted from MAS+ENLIL (top panel) and WSA+ENLIL (bottom panel) over a full CR. The yellow shaded bar marks the time range of the CME event from its launch at the Sun until its in situ arrival at 1 AU. The orange shaded bar starts at the in situ arrival time of the ICME plus few days later.

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the Sun (i.e., assuming it to be a very wide object) with its apex propagating along the angle ϕ (Lugaz et al. 2009; Howard & Tappin 2009). Hence, by varying the propagation angle ϕ different results for radial distance and speed of the ICME are derived.

For our study, we use the propagation directions and kinematics derived by using the “corrected” HM conversion method which is described and applied to the same CME/ICME events under study in the paper by Rollett et al. (2011), and shortly summarized in the following. By measuring corresponding in situ signatures of ICMEs at 1 AU, an additional data point in the distance–time as well as in the velocity–time regime is obtained. The in situ data point presents a boundary condition that restricts the range of suitable propagation angles ϕ used for converting elongation into radial distance (cf. Möstl et al. 2009, 2010). The propagation angle ϕ used as input for HM to derive radial distances and speeds of an ICME from the measured elongations, that match best the observed arrival time of the CME and the speed of the ICME measured at the location of the in situ spacecraft, gives the most probable value of the direction of motion of the CME/ICME within the geometrical assumptions we use. Applying the usual HM method (Lugaz et al. 2009; Howard & Tappin 2009) delivers kinematics corresponding to the apex of the CME since it assumes that the apex of the CME hits the in situ spacecraft which is not necessarily correct and, thus, makes a comparison with in situ signatures geometrically inconsistent. The “corrected” HM method derives the kinematics for that

segment of the CME along the assumed circular structure that best matches the in situ spacecraft measurements at a distance of 1 AU (comparison between timing and speed). “Corrected” HM is therefore a first-order approach assuming simple geometry which tackles the issue in determining which part of the CME hits the in situ spacecraft.

For the direction toward the location of the in situ spacecraft, we calculate the speed of each CME by performing numerical differentiation of the radial distance–time data using three-point Lagrangian interpolation. This simply results in lower speeds as would be derived for the calculated apex direction of the CME, applying the usual HM method, but provides a more reliable comparison with the in situ measured speed of the CME. Figure 2 shows for each CME under study the derived propagation direction for the apex as well as the direction toward the in situ spacecraft applying the “corrected” HM method. We would like to note that “corrected” HM is an alternative to other existing methods and we do not claim it to be more reliable than other methods.

From the elongation-time errors as listed above, we deduce the errors for the CME speed which are of the order of ± 30 – 150 km s $^{-1}$. Furthermore, we have to take into account an error owing to the uncertainty in the direction of motion when converting the elongation into radial distance. The best match between remote observations and in situ data has to fulfill the criteria that the arrival time and speed of the remotely observed CME at 1 AU need to be as close as possible to the impact time and speed of the ICME as derived from in situ data. Since both criteria are not fulfilled at the same time, we derive an uncertainty in the deduced direction of motion. These errors lie in the range of $\pm 3^\circ$ – 10° which leads to errors for the derived CME speeds of the order of ± 25 – 100 km s $^{-1}$ (see Rollett et al. 2011). The errors from the conversion method are of the same order as the measurement errors. Thus, the error bars indicated in the plots show the errors resulting from the manual tracking of the CME/ICME front. We would like to note that the uncertainties from model assumptions (geometry and linear propagation) are not included in the presented errors since they are not known. However, the reliability of the ICME kinematics as derived by using the above described method is cross-checked at 1 AU with the in situ measured impact speed of the ICME as well as with the derived CME speed from COR2 observations close to the Sun.

The distribution of the background solar wind speed for the time range during which the CME propagates from Sun to 1 AU is derived using the numerical MHD modeling code ENLIL for the inner heliosphere (Odstrčil & Pizzo 1999; Odstrčil 2003) coupled with the coronal model MHD-Around-A-Sphere (MAS; Linker et al. 1999; Mikić et al. 1999; Riley et al. 2001) and the combined empirical and physics-based model Wang–Sheeley–Arge (WSA; Arge & Pizzo 2000), respectively. This allows the simulation of the solar wind conditions up to 1 AU based on full-rotation (over an entire Carrington rotation (CR)) synoptic magnetograms from National Solar Observatory (NSO)/Kitt Peak with WSA+ENLIL starting from $\sim 20 R_\odot$ and MAS+ENLIL from $\sim 30 R_\odot$. For clarity we would like to stress that we did not use the ENLIL+cone model which simulates the evolution of a CME. We only use the ENLIL solar wind model for our study to simulate the three-dimensional distribution of the background solar wind in order to infer the characteristics of the environment through which the CME propagates.

From the ENLIL numerical modeling, we extract the background solar wind speed along the obtained trajectories of the

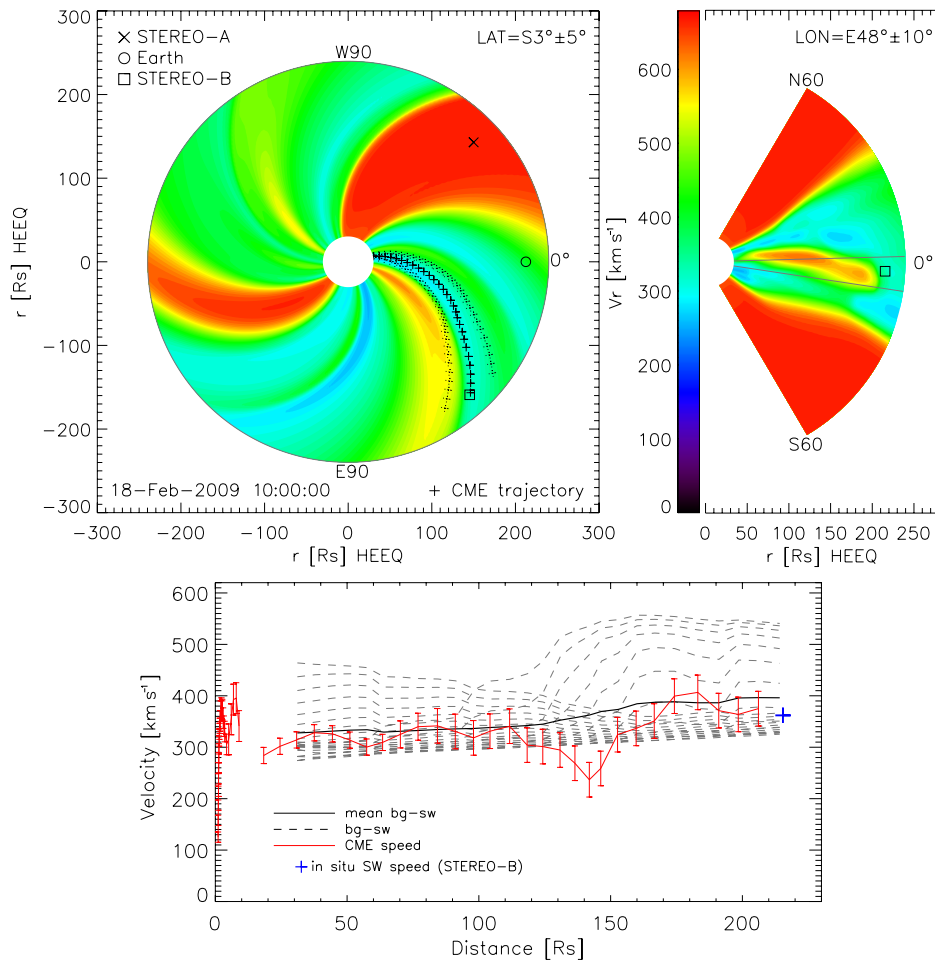


Figure 6. Event 2—top left: ecliptic cut (latitude S3 in HEEQ coordinates) for the background solar wind speed derived from MAS+ENLIL for CR 2080. The measured trajectory of the CME along a fixed angle of propagation at E48 (directed toward *STEREO-B*) is marked by black plus signs with dashed plus signs indicating a longitudinal sector of $\pm 10^\circ$. Top right: meridional cut along the direction of motion of E48. The gray lines indicate a latitudinal sector of $\pm 5^\circ$. Bottom: CME speed and errors as derived from COR and HI measurements, together with the extracted background solar wind (bg-sw) speed parameter from MAS+ENLIL (averaged over the latitudinal range of $\pm 5^\circ$) along the CME trajectory and the in situ measured impact speed of the ICME from *STEREO-B*.

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CMEs (assuming constant direction). The CME trajectory derived from remote-sensing measurements in the ecliptic plane displays only a small part of the actually extended CME/ICME structure. We make no assumption about the actual size of the CME since we are interested in the local variations of the solar wind along the tracked segment of the extended CME structure. In order to take into account local variations in the ambient solar wind flow that may be just as likely to affect the CME evolution as those along the obtained trajectory, we bin the extracted solar wind speed over a sector of $\pm 10^\circ$ in longitude and $\pm 5^\circ$ in latitude along the obtained trajectory. Applying this size of binning, we believe to cover the ambient solar wind flow that is most strongly affecting that part of the CME on which we derive the CME kinematics. Binning over larger areas showed that spatial variations in the solar wind are smoothed out.

The model runs are performed at the NASA/CCMC and are available on request under <http://ccmc.gsfc.nasa.gov/>. The following CCMC model runs are used in the study: Manuela_Temmer_032910_SH_1 (CR 2070; MAS), Manuela_Temmer_050211_SH_2 (CR 2070; WSA), Manuela_Temmer_012710_SH_1 (CR 2080; MAS), Manuela_Temmer_050211_SH_1 (CR 2080; WSA), Manuela_Temmer_121510_SH_2 (CR 2095; MAS), and Manuela_Temmer_121510_SH_1 (CR 2095; WSA).

3. RESULTS

3.1. Event 1: 2008 June 1–6

Event 1 is a slow CME observed from Sun up to a distance of 1 AU with a mean speed in the COR2 field of view (FoV) of $\sim 350 \text{ km s}^{-1}$. The event is studied in detail by Möstl et al. (2009) who find, for the direction of motion of the CME, an angle of $\sim E35 \pm 10$ (if not stated otherwise, heliographic coordinates refer to Earth view) by using the FP method as well as other reconstruction techniques which cover different distance regimes. The “corrected” HM method combined with in situ IMPACT and PLASTIC data from *STEREO-B* located at E25, gives a propagation direction of $E51 \pm 6$ for the apex of the CME (cf. left panel of Figure 2). Figures 3 and 4 (top panels)⁶ show the output from MAS+ENLIL and WSA+ENLIL model runs, respectively, for CR 2070. A cut through the ecliptic as well as along the meridional plane of E25 gives information on how the solar wind speed is structured in IP space along the flank

⁶ We present the trajectory of the CME with respect to the background solar wind in such a way that the background solar wind system is kept inertial during the outward motion of the CME. Hence, we are a non-inertial observer with respect to the CME (i.e., positioned on the Sun) which introduces a fictitious force (Coriolis force). This causes a deviation from the expected radial motion of the CME.

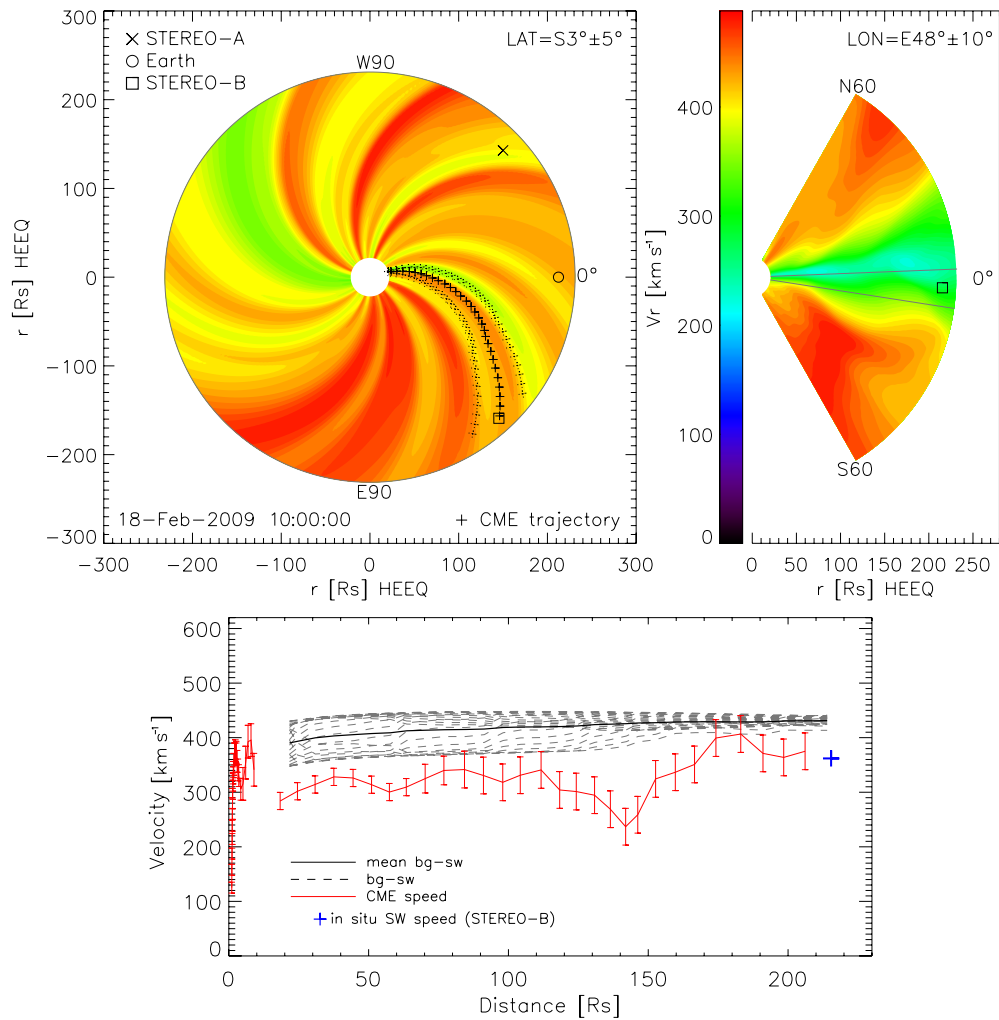


Figure 7. Same as Figure 6 but for WSA+ENLIL.

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of the CME that hits *STEREO-B*. For this direction, we derive the speed of the CME and extract the background solar wind speed profile from ENLIL. In the bottom panels of Figures 3 and 4, we compare the observed ICME speed with the background solar wind speed from MAS+ENLIL and WSA+ENLIL, respectively, as well as with the in situ measured impact speed of the ICME at the position of the *STEREO-B* spacecraft. The speed of the CME derived from white light observations in the POS up to a distance of $\sim 15 R_{\odot}$ can be perfectly connected to the HI speed for the distance range $> 15 R_{\odot}$. Using the MAS+ENLIL model combination, the CME speed seems to be adjusted to the solar wind flow from its early evolution on whereas from WSA+ENLIL we obtain that the CME becomes adjusted to the solar wind at a distance of $\sim 70\text{--}80 R_{\odot}$. As pointed out by Robbrecht et al. (2009), there were no signatures of magnetic reconnection in this event and concluded that the CME was not magnetically driven but rather pulled out by the solar wind. In this scenario, it is not expected that the CME speed exceeds the solar wind speed in which it is embedded in. Both model results support this general picture but do not give a clear answer at which distance the CME speed gets finally adjusted to the solar wind speed.

In order to correctly interpret the results, we need to evaluate the quality of the simulated background solar wind. Figure 5 gives a comparison between the in situ measured solar wind

speed from *STEREO-B* and the numerically calculated solar wind speed at that location. We classify the corresponding ENLIL run as reliable for our purpose if a good match is obtained between observed and numerically calculated solar wind speed during the time range covering the period between the CME launch and the in situ arrival time of the ICME plus few days later. Both models, MAS+ENLIL and WSA+ENLIL, deliver a solar wind speed which is in good agreement with the observed in situ solar wind speed (differences lie in the range of $\pm 50 \text{ km s}^{-1}$).

3.2. Event 2: 2009 February 13–18

Event 2 is a slow CME with a mean speed in the COR2 FoV of $\sim 350 \text{ km s}^{-1}$. Applying “corrected” HM, the propagation direction for the apex of the CME is obtained at $E61 \pm 3$. This value is used to derive the speed for the direction toward *STEREO-B* at E48. Figures 6 and 7 (top panels) show the output from MAS+ENLIL and WSA+ENLIL model runs, respectively, for CR 2080 with the solar wind distribution in the ecliptic plane as well as for a meridional cut along the CME trajectory at E48. The bottom panels of Figures 6 and 7 present the results for the extracted background solar wind speed along E48 compared to the CME speed derived from COR1+COR2 observations in the POS, from HI with “corrected” HM, and the in

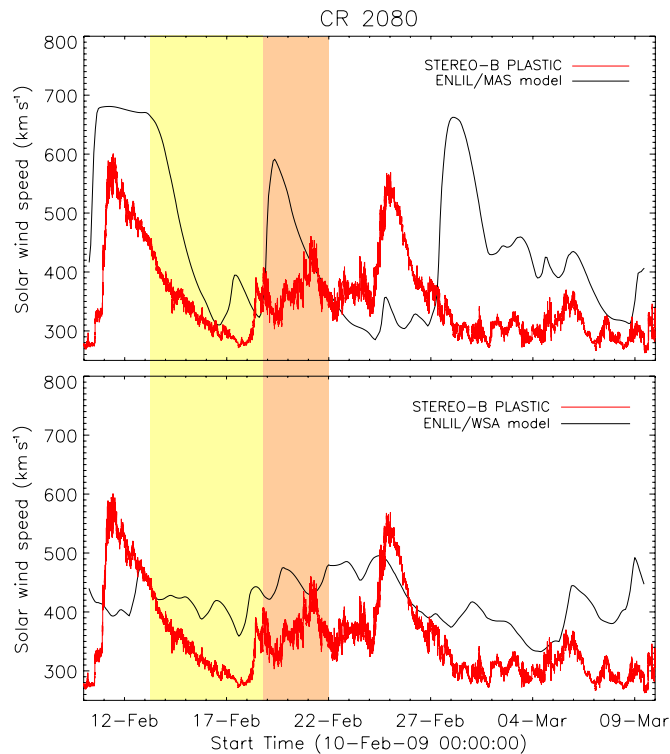


Figure 8. Same as Figure 5 but for Event 2.

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situ impact speed measured from *STEREO-B*. The CME soon becomes faint in COR2 and is tricky to follow, causing a gap between COR2 and HI1 measurements that leads to a difference in the derived speeds of $\sim 50 \text{ km s}^{-1}$.

Comparing the derived CME speed to the background solar wind speed, we find from MAS+ENLIL that the CME is adjusted to the solar wind clearly below $30 R_{\odot}$. From WSA+ENLIL, we derive that the CME speed is smaller than the ambient medium during almost the entire propagation way from Sun to 1 AU. At a distance of $\sim 150\text{--}180 R_{\odot}$ (corresponding in time to 2009 February 17 and 18), the observed kinematics reveals that the CME accelerates. For the time after 2009 February 17, we observe no signatures of growing post-flare loops from EUVI images, hence, no signatures of a propelling force which still accelerates the CME at this heliospheric distance. In contrast, active region (AR) 11012 from which the CME was launched decays after 2009 February 14. Analyzing the distribution of the solar wind speed, both models, MAS+ENLIL and WSA+ENLIL, show an increase in the solar wind speed for that distance range. These findings suggest that the increase in the CME speed at a distance range of $\sim 150\text{--}180 R_{\odot}$ is due to the increase in the ambient solar wind speed acting on the CME. Looking at the solar surface condition for that event (middle panel of Figure 1), a small coronal hole is located close to the CME source region. However, the interpretation of a high-speed stream from MAS+ENLIL results, extracted at the location of the *STEREO-B* spacecraft, is not supported by *STEREO-B* in situ measurements, and differences between model and observational data are of the order of $\sim 200 \text{ km s}^{-1}$ (top panel of Figure 8). Comparing model results at *STEREO-B* location and *STEREO-B* in situ measurements for the time after the arrival of the CME, WSA+ENLIL shows a better match than MAS+ENLIL (bottom panel of Figure 8).

3.3. Event 3: 2010 April 3–5

Event 3 is a fast CME observed in situ with *Wind* with an average speed over the Sun–Earth distance range of $\sim 800 \text{ km s}^{-1}$. We note that the derived trajectory of the apex of the CME of $E25 \pm 10$ differs by $10^{\circ}\text{--}30^{\circ}$ from the results given in Möstl et al. (2010), who used the usual HM method. Figures 9 and 10 (top panels) present the output from MAS+ENLIL and WSA+ENLIL for CR 2095, respectively, in the ecliptic and the meridional plane directed toward Earth. The bottom panels of Figures 9 and 10 show the background solar wind speed extracted along the Sun–Earth line and the derived CME speed from COR1+COR2 POS observations together with the resulting HI speed of the CME using “corrected” HM and the in situ data point measured from *Wind*. The kinematics of the CME reveals a particular evolution. The CME reaches a maximum speed of $\sim 1100 \text{ km s}^{-1}$ within the FoV of COR2 and then decelerates strongly already below $20 R_{\odot}$ down to $\sim 750 \text{ km s}^{-1}$. The final CME speed in the COR2 FoV matches the CME speed as derived from HI1 observations, from which we conclude that the strong deceleration is real. As the CME propagates within the HI2 FoV it accelerates again up to $\sim 1000 \text{ km s}^{-1}$ and drops to a final speed of roughly 800 km s^{-1} at a distance of $\sim 150 R_{\odot}$. The solar wind speed derived from both model runs, MAS+ENLIL and WSA+ENLIL, is lower than the observed ICME speed over the entire propagation path from Sun to Earth. Figure 11 compares in situ measured and numerically calculated background solar wind speed at the location of the in situ spacecraft, revealing that none of the models reflect the solar wind speed at 1 AU for the time range of interest with differences in the range of $150\text{--}200 \text{ km s}^{-1}$.

Several CME events with linear speeds higher than 500 km s^{-1} occurred on 2010 April 2 as listed in the CDAW catalog (Yashiro et al. 2004). Coronagraphic data of the *Solar and Heliospheric Observatory (SOHO)* Large Angle Spectroscopic Coronagraph (LASCO; Brueckner et al. 1995) as well as imagery of the low corona in the EUV wavelength range from *STEREO* and *SOHO*, showed that all CMEs with speeds $>500 \text{ km s}^{-1}$, were launched from different AR(s) (northern hemisphere) than the CME under study. In addition, we checked the *Geostationary Operational Environmental Satellite (GOES)* soft X-ray flux and found no enhancement in the $1\text{--}8 \text{ \AA}$ channel for 2010 April 2. Therefore, we believe that the propagation of the CME under study was not significantly affected by prior events.

The derived CME kinematics vary strongly during the evolution in IP space. A coronal hole, though quite narrow, located close to the AR 11059 from which the CME is expelled, may be the source of an HSS. Most probably, the CME crosses the HSS which influences the evolution of the CME especially of its eastern part. From both model runs an HSS is revealed but due to numerical reasons with maximum speeds of $\sim 650 \text{ km s}^{-1}$ (for details, see Lee et al. 2009). We further note that EUVI observations from *STEREO-A/B* reveal growing post-flare loops of AR 1059 until 2010 April 4. Thus, the CME might be still driven up to a distance of more than $\sim 100 R_{\odot}$ by the induced Lorentz force due to ongoing magnetic reconnection.

4. DISCUSSION AND CONCLUSION

Observations of three CME/ICME events tracked during their propagation from Sun to 1 AU are studied with respect to their kinematical evolution in IP space and effects resulting from the ambient solar wind. For future studies on the aerodynamic

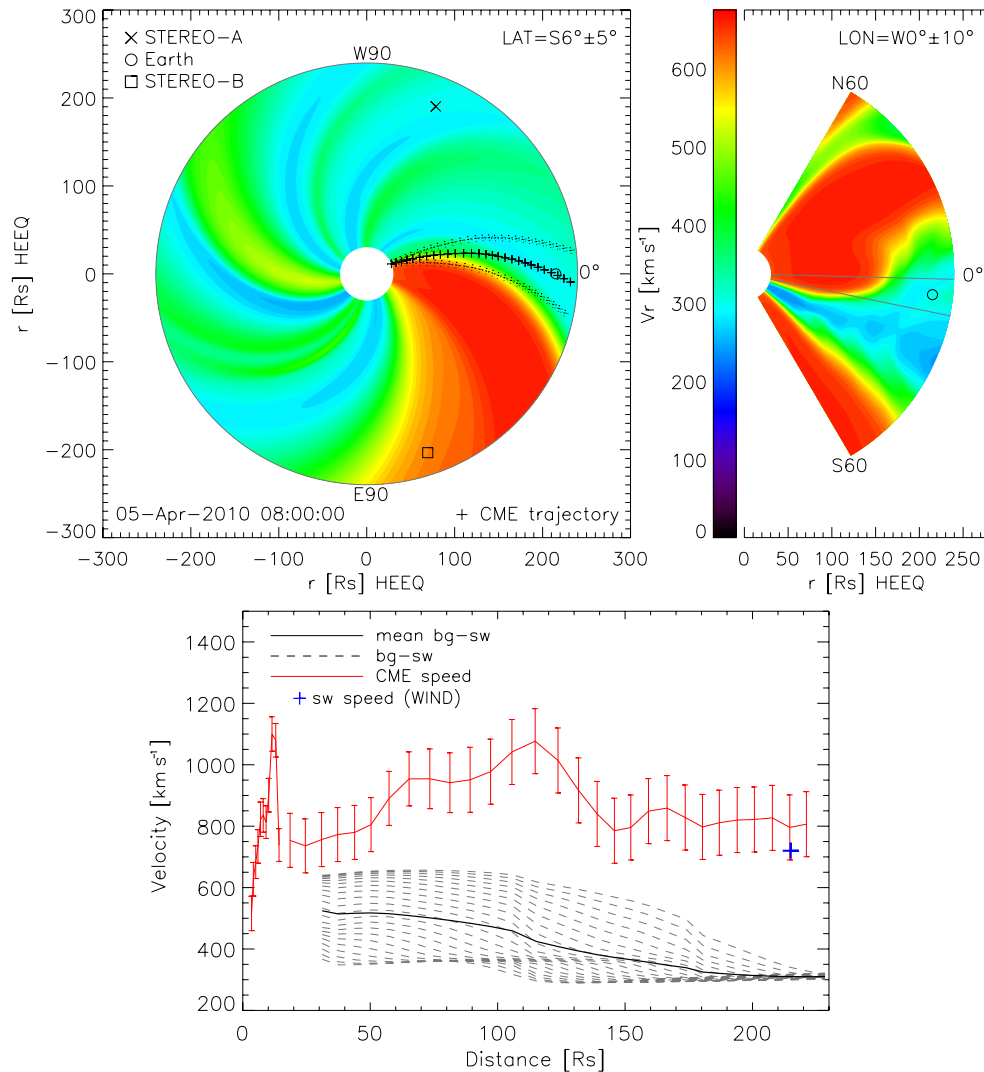


Figure 9. Event 3—top left: ecliptic cut (latitude S6 in HEEQ coordinates) for the background solar wind speed derived from MAS+ENLIL for CR 2095. The measured trajectory of the CME along a fixed angle of propagation at 0° (directed toward *Wind* and Earth, respectively) is marked by black plus signs with dashed plus signs indicating a longitudinal sector of $\pm 10^\circ$. Top right: meridional cut along the direction of motion of 0° . The gray lines indicate a latitudinal sector of $\pm 5^\circ$. Bottom: CME speed and errors as derived from COR and HI measurements, compared to the extracted background solar wind (bg-sw) speed parameter from MAS+ENLIL (averaged over the latitudinal range of $\pm 5^\circ$) along the CME trajectory and to the in situ measured impact speed of the ICME from *Wind*.

(A color version of this figure is available in the online journal.)

drag force owing to the solar wind, it is of special interest to know the distance at which the CME speed becomes adjusted to the ambient solar wind flow. To this end, we applied the three-dimensional MHD model ENLIL to simulate the steady background solar wind outflow for the inner heliosphere and compare it with the CME speed evolution in IP space derived from *STEREO-A*/COR and HI observations.

In general, for each of the events under study the outcome from MAS+ENLIL and WSA+ENLIL models reveals differences in the resulting distribution of the solar wind speed over the Sun–Earth distance range, though based on the same input magnetograms (NSO/Kitt Peak). By comparing in situ measurements of the solar wind speed with the simulated solar wind speed at that location, we find that the model runs can be used to obtain a general view of the situation in IP space. For times of high solar activity, both model runs give less reliable results since the occurrence of fast ejecta affecting the solar wind flow is not taken into account in the ENLIL background solar wind modeling. Most likely, we find such a situation during CR 2095 covering Event 3. In a systematic comparison between model

results (MAS+ENLIL and WSA+ENLIL) and in situ measurements of the solar wind parameters at 1 AU over a time range of several months, Lee et al. (2009) found that the overall shape and trends of the low- and high-density structures, the low- and high-speed wind streams, as well as the magnetic sector structures are replicated well within a few days. For our purpose, differences of a few days are too large since the travel time of CMEs to 1 AU is of the same order (~ 2 –5 days).

We derive the direction of motion and speed for each CME under study by exploiting the power of combining remote-sensing and in situ observations, since for all events their arrival time and plasma characteristics at 1 AU could be measured (see Möstl et al. 2009). By using a “corrected” HM method, we infer the speed–distance information for that part of the CME that actually hits the spacecraft (cf. Rollett et al. 2011). For each CME, we extract the numerically calculated background solar wind speed along its trajectory and compare it to the derived CME evolution. Two out of three events (Events 1 and 2) are slow CMEs with speeds of the order of 350 km s^{-1} occurring during low solar activity. Depending

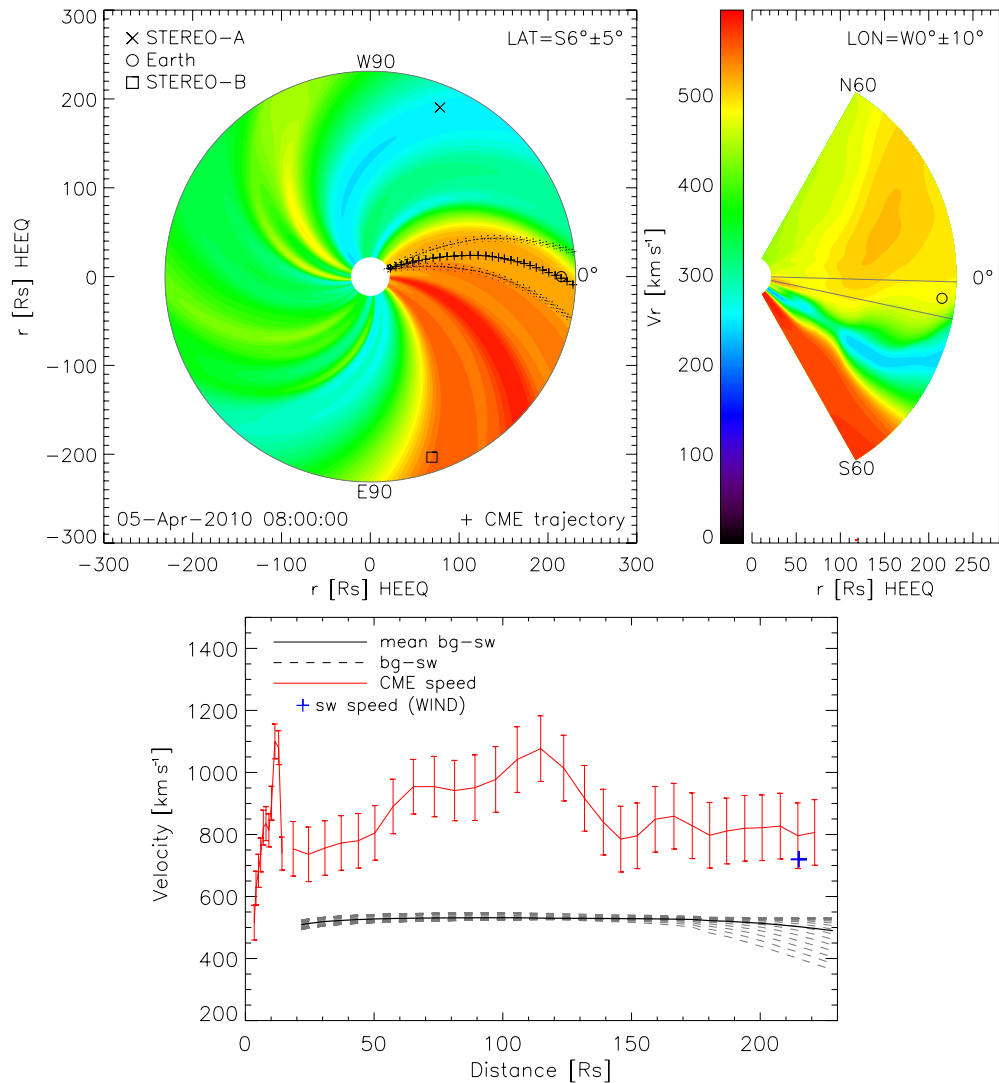


Figure 10. Same as Figure 9 but for WSA+ENLIL.
(A color version of this figure is available in the online journal.)

on the model used, MAS+ENLIL or WSA+ENLIL, we obtain quite different distance ranges at which the CME speed is adjusted to the ambient solar wind flow. Applying results from MAS+ENLIL for Event 1, the CME would reach the solar wind speed below $30 R_{\odot}$, whereas from WSA+ENLIL at $\sim 70 R_{\odot}$. According to the study of Event 1 by Robbrecht et al. (2009), there are no signatures of magnetic reconnection even during the very early phase of CME evolution close to the Sun. This implies that no driving forces are acting on this particular CME and that it is pulled out by the solar wind from starting from the low corona. This interpretation is supported by observations revealing a continuous increase in CME speed within the COR1+COR2 FoV matching the speed derived in the HI1 distance range. However, the inertia of the CME may cause a delay in the final adjustment. Taking into account the uncertainties in the extracted solar wind speed from WSA+ENLIL and MAS+ENLIL, the CME reaches the same speed as the ambient solar wind flow at a distance range $20\text{--}70 R_{\odot}$.

From observations within the COR1+COR2 FoV, the CME speed of Event 2 clearly decelerates below $30 R_{\odot}$. This can be interpreted as evidence for a strongly acting drag force over

that distance range (see also Davis et al. 2010). Results from MAS+ENLIL support this interpretation and the CME speed is most likely adjusted to the background solar wind before entering the HI1 FoV. The increase of the CME speed at a distance of $\sim 150\text{--}180 R_{\odot}$ seems to be related to an increase in the background solar wind speed beyond $\sim 100\text{--}140 R_{\odot}$ revealed from both model runs (MAS+ENLIL and WSA+ENLIL) rather than due to a propelling Lorentz force. This provides further evidence that the CME is well embedded in the ambient solar wind flow during its propagation in IP space.

Event 3 is the first fast CME event of cycle 24 occurring during a period of enhanced solar activity. Therefore, it is more difficult to interpret from the observational as well as from the model side. The CME speed reveals a significant deceleration from $\sim 1100 \text{ km s}^{-1}$ down to $\sim 750 \text{ km s}^{-1}$ within the COR2 FoV and accelerates again up to $\sim 1000 \text{ km s}^{-1}$ at a distance of $\sim 110 R_{\odot}$. To explain this behavior, we propose a scenario where the CME runs into strong overlying magnetic fields acting as obstacle which drastically slows down the CME already close to the Sun. Taking into account the distribution of the ambient solar wind flow on a qualitative basis, ENLIL shows that the CME crosses an HSS. Most likely, we observe a very weak

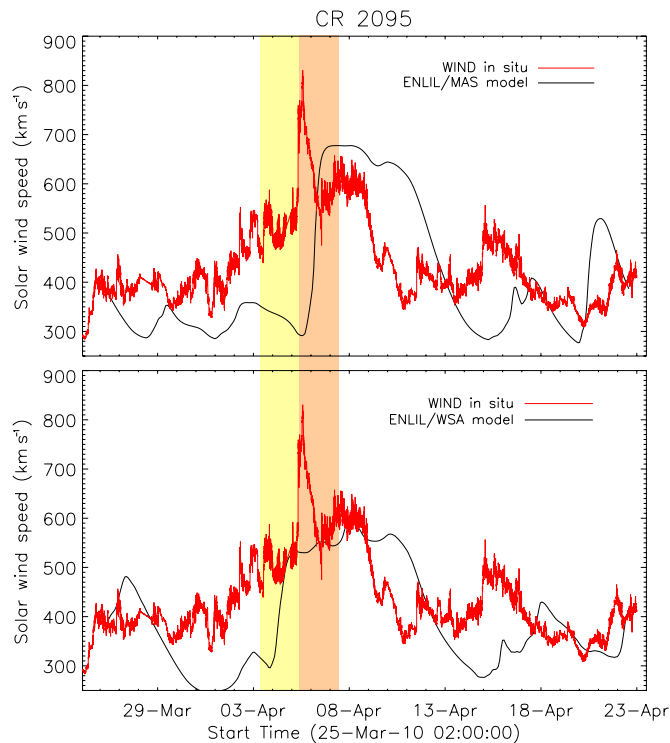


Figure 11. Same as Figure 5 but for Event 3 and in situ measurements from *Wind*.

(A color version of this figure is available in the online journal.)

drag in the low-density/high-speed flow of the HSS where the Lorentz force which is still driving the CME is more effective, leading to an increase in CME speed at large distances from the Sun. As soon as the Lorentz force weakens the drag force controls the further evolution of the CME. At 1 AU, the CME has a final speed of $\sim 800 \text{ km s}^{-1}$ which is of the order of the maximum speed reported for HSSs (Schwenn 1990). In this particular event, the final adjustment of the CME speed to the ambient solar wind flow appears to happen beyond 1 AU.

The various existing conversion methods which are used to derive radial distances from elongations all have limitations, and we have to consider artifacts that might arise in the resulting CME kinematics. Especially for the late propagation phase, hence for large elongations, the methods may reveal an (artificial) enhancement in speed (see also Wood et al. 2009; Lugaz 2010). However, we stress that an acceleration of a slow CME far in IP space may as well be a physical effect, caused by an increase in the ambient solar wind flow (e.g., due to solar wind high-speed streams). Hence, the general assumption that CMEs show constant speed at large distances from the Sun may not be correct and depends on the characteristics of the CME and the background solar wind speed. This finding should be taken into account when using fitting routines for the conversion from elongation into radial distance which are based on the assumption of constant speed over the entire Sun to 1 AU range.

In combination with observations, ENLIL gives valuable information about the general structure of the background solar wind which enables us to interpret the observed CME kinematics over the IP space distance range. However, the uncertainty from the model outputs limits the significance of the results which would be needed for more accurate and quantitative studies (e.g., Lorentz versus drag force studies and refined prediction of 1 AU transit times).

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