

1 A comparison of Space Weather analysis techniques used to predict the arrival
2 of the Earth-directed CME and its shockwave launched on 8 April 2010

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15
16 **Abstract**

17 The Earth-directed Coronal Mass Ejection (CME) of 8 April 2010 provided an opportunity for
18 space-weather predictions from both established and developmental techniques to be
19 made from near-real time data received from the SOHO and STEREO spacecraft; the STEREO
20 spacecraft provide a unique view of Earth-directed events from outside the Sun-Earth line.
21 Although the near-real time data transmitted by the STEREO Space Weather Beacon are
22 significantly poorer in quality than the subsequently down-linked science data, the use of
23 these data has the advantage that near-real time analysis is possible, allowing actual
24 forecasts to be made. The fact that such forecasts cannot be biased by any prior knowledge
25 of the actual arrival time at Earth provides an opportunity for an unbiased comparison
26 between several established and developmental forecasting techniques. We conclude that
27 for forecasts based on the STEREO coronagraph data, it is important to take account of the
28 subsequent acceleration/deceleration of each CME through interaction with the solar wind,
29 while predictions based on measurements of CMEs made by the STEREO Heliospheric
30 Imagers would benefit from higher temporal and spatial resolution. Space weather
31 forecasting tools must work with near-real time data; such data, when provided by science
32 missions, is usually highly compressed and/or reduced in temporal/spatial resolution and
33 may also have significant gaps in coverage, making such forecasts more challenging.

34 Introduction

35 An Earth-directed Coronal Mass Ejection (CME) was launched on 8 April 2010. This CME
36 provided an excellent opportunity for the comparison of space-weather predictions, from
37 both well established and developmental techniques, to be made using the near-real time
38 data received from SOHO (Fleck, Domingo and Poland, 1995) and STEREO (Russell, 2008).
39 For SOHO, CME characteristics were determined from the LASCO C2 and C3 coronagraphs
40 (with fields of view from $1.5-6 R_{\text{sun}}$ and $3.8-32 R_{\text{sun}}$ respectively) (Brueckner et al., 1995). For
41 STEREO, data from the SECCHI (Sun Earth Connection Coronal and Heliospheric
42 Investigation) suite of instruments (Howard et al., 2008) were used. SECCHI comprises five
43 telescopes, which together image the solar corona from the solar disk to beyond 1 AU.
44 These telescopes are: an extreme ultraviolet imager (EUVI: $1-1.7R_{\text{sun}}$), two traditional Lyot
45 coronagraphs (COR1: $1.5-4R_{\text{sun}}$ and COR2: $2.5-15R_{\text{sun}}$), and two Heliospheric Imagers (HI-1:
46 $15-84R_{\text{sun}}$ or $4^{\circ}-24^{\circ}$ elongation and HI-2: $66-318R_{\text{sun}}$ or $18^{\circ}-88^{\circ}$ elongation). Detailed
47 observations of this event were also made by the Solar Dynamic Observatory but these
48 were not used in the near real-time forecasts described in this paper.

49 A CME observed in the interplanetary medium is often referred to as an Interplanetary CME
50 or ICME. While the terms CME and ICME are often used when referring to the same event,
51 the distinction can be useful since a CME (usually observed in coronagraph data) may have
52 undergone some evolution in structure by the time it is observed in the interplanetary
53 medium. Likewise, a subset of ICMEs is referred to as magnetic clouds (MCs). These are
54 characterized as having an enhanced magnetic field, a magnetic field vector that rotates
55 through a large angle, a low proton temperature and a low plasma β (a measure of the
56 plasma pressure normalized to the magnetic field strength). While the event in this study is,
57 in general, referred to here as a CME, the terms ICME and MC are used when referring to
58 the event at later times in its evolution.

59 The near-real time data transmitted by the STEREO Space Weather Beacon (Biesecker et al.,
60 2008) are significantly degraded compared with the subsequently down-linked science data,
61 and contain more gaps due to the challenges of receiving a continuous data stream from
62 such distant spacecraft. While the beacon data are lower in both spatial and temporal
63 resolution, and more highly compressed, using these near-real time data has the advantage
64 of allowing actual forecasts to be made. The fact that such forecasts cannot be biased by
65 any prior knowledge of the actual arrival time at Earth (as determined for example with in-
66 situ instrumentation), provides an opportunity for an unbiased comparison of forecasting
67 techniques. This paper outlines several of these techniques and compares the forecasts
68 made by each of them in advance of the arrival at Earth of the 8 April CME.

69 Observations

70 Five predictions were made of the arrival time of this CME and its shock at Earth, using a
71 variety of methods. STEREO coronagraph data (Howard et al., 2008) were used to estimate

72 the speed and direction of the CME, as were data from the STEREO Heliospheric Imagers
73 (Eyles et al., 2009). Another prediction was made from the STEREO coronagraph data but
74 with the application of a correction for any modulation of the CME speed by the ambient
75 solar wind. Furthermore, STEREO and SOHO coronagraph data were used as inputs to two
76 separate runs of the Enlil Heliospheric model (Odstrcil and Pizzo, 1999a,b). A separate
77 prediction of the CME's shockwave arrival at Earth was made with the Shock Time of Arrival
78 model (STOA, Dryer and Smart, 1984) based on classical blast wave theory (Sedov, 1959).
79 These techniques are discussed in more detail in the following sections and their predictions
80 are summarized in Tables I and II.

81 A B3.7 long duration flare occurred in NOAA Active Region 11060 at N25°E16° (as viewed
82 from Earth) starting on 8 April at 02:30 UT. It was associated with an erupting filament, a
83 coronal wave and double dimming areas as observed in EUV. The surface event was
84 observed with both STEREO-A (on the northeast limb) and STEREO-B (just on the northwest
85 disk) with initial activity at 02:40. A later eruptive prominence on the solar limb (EPL) was
86 noted in EUVI images from STEREO-A (EUVI-A) at 304 Å starting at 04:06 from the same
87 northeast region. At the time of these observations, STEREO-A was positioned 84.4°
88 longitude ahead of the Earth and STEREO-B was positioned 82.6° longitude behind the Earth
89 (in Heliocentric Earth Ecliptic, HEE, coordinates).

90 The SOHO/LASCO C2 coronagraph observed a bright CME rising over the northeast limb
91 (Position Angle, PA, ~70°) at 03:30 followed by a ragged front over the southwest limb (PA
92 ~245°) starting at 04:30. The event quickly developed into a full halo that was first seen in
93 LASCO C3 images starting at 06:18. A speed of 286 kms⁻¹ at PA 240° was determined from
94 the LASCO data (see EIT and LASCO data at:
95 <http://umbra.nascom.nasa.gov/lasco/observations/halo/100408/>). The STEREO/COR
96 instruments observed a relatively typical yet bright CME with a width of 50 to 60°. The COR1
97 instruments on both STEREO spacecraft first observed material associated with this CME at
98 03:15 and COR2-A first observed it at 04:08. Considering all the SOHO and STEREO
99 observations, it seems that the two bright structures that were separated by nearly 180° in
100 LASCO were part of the single halo event directed Earthward.

101 Properties of the source region on the Sun were also discussed prior to the arrival of the
102 ICME at Earth, with respect to forecasting the orientation and handedness of the possible
103 resulting magnetic cloud (MC). The orientation of the MC is linked to the length and
104 strength of the southward ($-B_z$) magnetic field interval at Earth (e.g. Zhao and Hoeksema,
105 1998).

106 Figure 1 shows a collage of images which were used in real time to determine several
107 parameters which have been found to influence the orientation of the MC (see, for
108 example, the summary in Yurchyshyn et al., 2001). For the sake of simplicity, we just discuss
109 the orientation and not the detailed structure of the source region (for example, the
110 possible MC chirality and axial field direction), because later at Earth an ICME with no clear

111 internal magnetic field rotation was observed (see below). In figure 1a, the active region
112 neutral line lies along a northwest – southeast axis, tilted by approximately 40° to the solar
113 equator when measured to the solar west. Figure 1b shows the coronal neutral line (GONG
114 PFSS model, <http://gong.nso.edu>) being approximately perpendicular to the active region
115 neutral line with an inclination of approximately -50° . In figure 1c, it is seen that the halo
116 CME observed by LASCO (http://lasco-www.nrl.navy.mil/daily_mpg/) was between these
117 two extremes, with an inclination of around -20° . With such a wide variety of orientations, it
118 was not straightforward to predict the orientation of the resulting magnetic cloud
119 associated with the CME. However, the point is that the tilt of the coronal neutral line made
120 it likely that the magnetic cloud would also be tilted with respect to the solar equator or the
121 ecliptic (Yurchyshyn, 2008), and it was therefore possible that the CME, if Earth directed,
122 would produce a reasonably sustained period of negative B_z and a small geomagnetic
123 disturbance.

124 At L1 a shock was detected by both the ACE (Stone et al., 1998) and WIND spacecraft
125 (Ogilvie and Parks, 1996). While the WIND spacecraft has not always been positioned at L1,
126 it was at the time of these observations. Data from the SWEPAM instrument (McComas et
127 al., 1998) onboard the ACE spacecraft showed the arrival of an ICME shock front at 12:14 on
128 11 April (indicated by the vertical line in figure 2). The passage of the ICME can be inferred
129 from an increase in solar wind proton number density from 2 to 8 cm^{-3} accompanied by a
130 rotation of the magnetic field vector and an increase in magnetic field strength indicating a
131 possible magnetic cloud. Over the same time interval, the solar wind bulk speed increased
132 from approximately 380 kms^{-1} to 450 kms^{-1} . Using the latter as an indicator of the ICME
133 speed suggests that the shock arrival time at Earth would be almost exactly an hour later
134 than at ACE. The geomagnetic field underwent a sudden impulse at 13:05 on the same day
135 caused by the arrival of the shock at Earth. The accompanying southward field resulted in a
136 small geomagnetic storm; K_p reached 6 and D_sT -66 nT early on 12 April.

137 Plasma and magnetic field observations from the SWE (Ogilvie et al., 1995) and the MFI
138 (Lepping et al., 1995) instruments on Wind are shown in Figure 3 for the time interval 12 UT,
139 10 April to 12 UT, 13 April. The data are at $\sim 95 \text{ s}$ temporal resolution. From top to bottom
140 are plotted the proton number density, temperature, bulk speed, dynamic pressure, total
141 field strength and components of the magnetic field in GSE coordinates, the proton beta (in
142 red: the Alfvén Mach number) and the pressures (red: magnetic; blue: proton thermal;
143 black: their sum). The red trace in panel 2 is the expected proton temperature for normal
144 solar wind expansion after the statistical analysis of Lopez (1987).

145 The shock is denoted by 'S' at the first vertical line in figure 3. The interval 22 UT, 11 April, to
146 14 UT, 12 April, bracketed by the second and third vertical guidelines, is characterized by
147 signatures of an ICME: (i) low proton temperature (compared with the expected ones) and
148 beta (Gosling et al., 1973; Richardson and Cane, 1995; (ii) higher-than-average magnetic
149 field strengths; and (iii) low Alfvén Mach numbers (average = 5.3) (Farrugia et al., 1995;

150 Lavraud and Borovsky, 2008). A large and smooth rotation of the magnetic field is absent, so
151 this structure is not likely to be a magnetic cloud with a typical flux rope structure.

152 Thus the orientation of the resulting ICME could not be determined nor related to the
153 orientation parameters close to the Sun as discussed above. It is interesting to note that the
154 sheath region behind the shock of the ICME was mainly responsible for the long negative B_z
155 interval leading to the geomagnetic storm, a relationship that has been discussed by
156 previous authors (e.g. Gosling and McComas, 1987).

157 Figure 4 shows the pitch angle distribution of suprathermal electrons (Ogilvie et al., 1971;
158 Pilipp et al., 1987) centered on $E = 193.4$ eV during the ICME interval. The data are from the
159 SWE/electron instrument and are plotted at 12 s resolution. It is seen that the strahl
160 electrons, which carry the heat flux from the Sun, are generally unidirectional: Electrons are
161 flowing against the field (large PA) until ~ 1 UT, 12 April, and then are flowing along the field
162 (small PA). Inspection of the B_x component of the magnetic field (positive towards the Sun),
163 reveals a switch from positive to negative B_x at around 1 UT, indicating that these electrons
164 are flowing out from the Sun throughout this period. Thus we may conclude that the "feet"
165 of the field lines are connected to the Sun at only one end. There is, however, a brief
166 interval centered on 4 UT, 12 April, when the electrons are omnidirectional located in the
167 ICME front part.

168 **Data used in the forecasts**

169 The data used in the various forecasting techniques came from the two solar missions,
170 SOHO and STEREO, and the operational satellite from the NOAA Geostationary Operational
171 Environment Satellite (GOES) program.

172 The STEREO/SECCHI data used in the predictions were transmitted by the STEREO Space
173 Weather Beacon, which is a continuous, real-time, low data-rate (633 bps) broadcast of the
174 data from STEREO. The current allocation for the SECCHI space weather data is 500 bits/s
175 (Biesecker et al., 2008) but this allocation will continue to be reduced due to telemetry
176 constrains as the mission evolves. Since the full STEREO data set cannot be down-linked in
177 the beacon, this low data rate requires careful choices of the data to be transmitted. In
178 concert with the instrument teams, a scheme has been devised to ensure that the resulting
179 data will retain its value to space weather forecasters. In particular, COR2 images are
180 compressed using ICER, a lossy wavelet image compression scheme, and binned down from
181 their original size of 2048x2048 pixels to 256x256 pixels. The images from the inner HI-1
182 cameras are binned down to 256x256 pixels and lossily compressed. The central 16 bits
183 from each 32 bit HI-2 image are transmitted via the beacon after being cropped from the
184 nominal 1024x1024 to a subfield of 512x1024 (the sunward half) and losslessly compressed.
185 Although the beacon data are noisier than the science-quality data, the combined
186 compression and binning schemes provide sufficient signal-to-noise for denser-than-
187 ambient structures such as CMEs to be imaged. Thus, they can be used for space weather

188 forecasting. The COR2 beacon data are transmitted every 15 min, whereas the HI-1 and HI-2
189 data are transmitted every 2 hours; note that the number of images actually received
190 depends on one or more antennas being available to track the STEREO spacecraft at that
191 time, unlike the science data for which there is a dedicated daily down-link scheduled. Apart
192 from these changes, SECCHI images from the space weather beacon undergo the exact
193 same on-board processing and ground processing, at the STEREO Science Center (Eichstedt
194 et al., 2008), as the science-quality images.

195 **Coronagraph geometric/polarization localization results**

196 STEREO COR2, rather than COR1, data were used to analyze CME propagation near the Sun,
197 since the much larger COR2 field-of-view allows the evolution of a CME to be observed, thus
198 allowing its velocity to be calculated, even for very fast CMEs. Examples of COR2 beacon
199 percent polarization and science total brightness images from STEREO-A and STEREO B are
200 presented in figure 5. Within the COR2 field-of-view, CME propagation was analyzed using
201 geometric and/or polarimetric localization. The geometric localization technique (Pizzo and
202 Biesecker, 2004; de Koning et al., 2009; de Koning and Pizzo, 2010) uses a series of lines-of-
203 sight from two space-based coronagraphs to determine gross propagation characteristics of
204 CMEs in three-dimensional space. The polarimetric localization technique [Moran and
205 Davila, 2004; de Koning and Pizzo, 2010] uses the percentage polarization observed by a
206 single coronagraph to obtain a three-dimensional reconstruction of a CME. Both of these
207 techniques readily provide an initial estimate of the CME speed and direction of
208 propagation.

209 Both techniques were used in real-time to predict the speed and direction of propagation of
210 the 8 April 2010 CME. The CME entered the COR2-A field-of-view at 04:00 and started to
211 exit COR2-A at 08:00. Similarly, the CME density front entered the COR2-B field-of-view at
212 04:30 and started to exit COR2-B at 08:00. In near-real time, the total brightness image and
213 polarization sequence for 05:09 were missing from STEREO-A, and the total brightness
214 image from 07:39 was missing from STEREO-B. For convenience, we decided to use an
215 identical data set for both techniques since this did make it easier to directly compare
216 outcomes. The only times that polarization data were simultaneously available from both
217 spacecraft, while the CME was in the COR2 field of view, were at 06:09, 07:09 and 08:09.
218 Applying either geometric or polarimetric localization to the images at these three times
219 resulted in plots of 3D position vs time, from which the CME velocity was calculated. The
220 techniques were used to calculate both the centroid and leading-edge velocity of the CME.
221 As described by de Koning et al., [2009] and de Koning and Pizzo [2010], the velocity
222 calculation for each technique was repeated five times, to account for uncertainties
223 introduced by using hand drawn boundaries to identify the CME in the COR2 field of view.
224 An example of the reconstructed CME is shown in figure 6; the view is for an observer
225 looking down onto the north pole of the Sun. The cluster of red points is the CME location
226 derived from COR2-A percent polarization measurements, the cluster of blue points is the

227 CME location derived from COR2-B percent polarization measurements, while the
228 quadrilaterals of the CME as a whole, obtained from geometric localization, are shown in
229 green; superimposed on this stack are purple quadrilaterals showing the location of the
230 CME leading edge.

231 Three predicted CME speeds and directions of propagation were reported on 9 April at
232 04:51 to an email distribution list associated with the STEREO mission that reaches a
233 worldwide audience of interested scientists. This prediction and subsequent emails were
234 made available via the STEREO Space Weather Group website
235 (<http://secchi.nrl.navy.mil/spwx/>). The three predictions were based on geometric
236 localization, and polarimetric localization applied to STEREO-A and -B separately. The
237 reported results consisted of the average leading-edge speed obtained from the five runs,
238 and the average centroid longitude and latitude of propagation. The reported error in each
239 quantity was one standard deviation calculated from the five runs. We used the centroid
240 direction of propagation, instead of the leading-edge direction, since we assume that the
241 direction of CME propagation will be mostly determined by its bulk characteristics. All
242 results below are in Heliocentric-Earth-Equatorial (HEEQ) coordinates.

243 Using the geometric localization technique, we found that while the CME was in the COR2
244 field of view, it had a leading edge speed of $469 \pm 27 \text{ kms}^{-1}$ and a direction of propagation of
245 $2^\circ \pm 13^\circ$ east and $6^\circ \pm 3^\circ$ north. Using the COR2 polarisation data from STEREO-A, we found
246 a leading-edge speed of $473 \pm 59 \text{ kms}^{-1}$ and a direction of propagation of $11^\circ \pm 3^\circ$ west and
247 $7^\circ \pm 3^\circ$ south. Using the COR2 polarisation data from STEREO-B, we found a leading-edge
248 speed of $545 \pm 42 \text{ kms}^{-1}$ and a direction of propagation of $15^\circ \pm 1^\circ$ east and $8^\circ \pm 2^\circ$ south.
249

250 Considering that the results obtained from the polarimetric localization technique are
251 biased to the spacecraft's plane of sky, we averaged the longitude obtained from STEREO-A
252 and STEREO-B resulting in a direction of 2° east, identical to the result obtained from
253 geometric localization, with an average estimated speed of $509 \pm 72 \text{ kms}^{-1}$.

254 As noted above, the predicted CME velocities were based on a minimal data set of only
255 three time steps available from the real-time beacon data. In order to ascertain whether the
256 prediction made using these techniques was limited by the input data, the same analyses
257 were applied to the higher resolution science data that became available after the event.
258 The primary advantage to applying geometric localization to science data is the increase in
259 data points, from 3 to 12. This retrospective analysis resulted in a leading-edge speed of 530
260 $\pm 30 \text{ kms}^{-1}$ and a direction of propagation of $2^\circ \pm 7^\circ$ east and $11^\circ \pm 7^\circ$ south. In the case of
261 polarimetric localization, the use of the science data resulted in only a slight change in the
262 number of data points, from 3 to 4. Because the polarimetric localization technique returns
263 only the gross CME characteristics, using higher spatial resolution data did not perceptibly
264 alter the results with such a small change in the number of data points.

265 As the CME develops, additional techniques could be used to update the forecast. For very
266 slow CMEs, science quality data may even be used to further improve the forecast.
267 However, this option is not available for the fastest, and thus most geo-effective, CMEs.

268 **STEREO Heliospheric Imager technique and results**

269 As part of the SECCHI suite of instruments, each of the twin STEREO spacecraft carries a
270 Heliospheric Imager (HI). These instruments each contain two visible-light wide-field
271 cameras that are capable of tracking plasma density fronts associated with CMEs by
272 detection of sunlight that has undergone Thomson-scattering within the plasma. Sheeley et
273 al. (1999) suggested that the speed and direction of solar wind transients such as CMEs
274 could be estimated from the apparent acceleration in the elongation variation for transients
275 viewed out to large elongations. Such analysis assumes that the transient propagates at a
276 constant speed in a fixed direction. Such analysis of STEREO HI data (Sheeley et al., 2008,
277 Rouillard et al., 2008, Davis et al., 2009) has demonstrated that this technique is applicable
278 to solar transients such as CMEs propagating within the HI field of view and that the
279 estimated arrival times at various locations in the heliosphere can be ratified by in-situ
280 observations. Subsequently, Davis et al. (2010) carried out a survey in which they compared
281 the speed and direction of ICMEs determined from the HI data using this technique with
282 CME values estimated from a forward modeling technique based on STEREO coronagraph
283 data (Therniesien et al., 2010). Their work showed good agreement between the two
284 techniques in terms of the estimated CME propagation direction but revealed a systematic
285 difference between the speed of CMEs measured in the coronagraphs and in HI. This
286 difference was dependent on the speed of each CME, with faster CMEs tending to be
287 decelerated and slower CMEs being accelerated between the two fields of view. The
288 authors surmised that this was due to interaction with the ambient solar wind. Comparison
289 with in-situ observations for two of the events within this survey resulted in the predicted
290 arrival of each CME matching in-situ observations within five or six hours. While the
291 assumption of a fixed (average) speed of CME propagation leads, in general, to accurate
292 predicted arrival times at 1 AU, these average speeds are often markedly different from the
293 solar wind speeds that are measured in-situ. This is further evidence that some modification
294 of the CME speed can occur, as discussed by previous authors (e.g. Gopalswamy et al., 2000;
295 Jones et al. 2007).

296 The validation of the above technique was carried out retrospectively using the higher-
297 resolution science data. Examples of both science and beacon images from the HI-1 cameras
298 on STEREO-A and STEREO-B are presented in figure 7.

299 For the CME launched on 8 April 2010, plots of elongation versus time at a fixed PA, so-
300 called J-maps (e.g. Davies et al., 2009), were produced from the HI-1 and 2 images received
301 in the STEREO space-weather beacon (figures 8a and 8b). As the J-map from the Ahead
302 spacecraft contained a large data gap, the analysis was carried out on the data from
303 STEREO-B since this was more complete. The time/elongation profile, extracted from the HI-
304 B J-map, was analyzed on 9 April 2010 using the method described above, to fit a speed and
305 direction to the 8 April CME. The leading edge of the feature was identified and manually
306 scaled five times in order to characterize the level of uncertainty introduced by the manual

307 scaling. From this analysis the ICME was predicted to be propagating at a speed of 417 ± 67
308 kms^{-1} at a solar longitude (in HEE coordinates) of $12^\circ \pm 17^\circ$ East (i.e. behind the Earth in its
309 orbit). This gave an estimated arrival time at Earth of 00:27 on 12 April (± 2.5 hours). A
310 subsequent analysis, carried out on 10 April (when more beacon data had been collected)
311 refined this estimate to $410 \pm 67 \text{ kms}^{-1}$ propagating along a solar longitude of $-3^\circ \pm 14^\circ$ East
312 and a predicted arrival time at Earth of 02:13 UT on 12 April (± 1.3 hours).

313 When the analysis was repeated after the event using the HI science data (figures 8c and
314 8d), the same technique estimated the ICME front to be travelling at a speed of 482 ± 15
315 kms^{-1} along a solar longitude of $11.7^\circ \pm 4^\circ$ East (behind Earth), giving an estimated arrival
316 time at Earth of 14:40 on 11 April (± 2 hours). This result was robust even when the science
317 data were restricted to the elongation range used in the predictions from the beacon data
318 (although the uncertainty increased slightly). It is clear that, on this occasion at least, this
319 technique would have benefitted from beacon data that were of higher spatial and
320 temporal resolution. Reducing the resolution may introduce a small systematic error for a
321 given event since the actual position of the CME within each binned pixel is unknown. The
322 sign of such systematic errors would be random between different events. Such systematic
323 errors would affect the fitting process but the exact influence would depend on the speed
324 and direction of each CME. It is also apparent that the uncertainties quoted for the current
325 prediction are far smaller than the uncertainties introduced by the lower temporal and
326 spatial resolution of the beacon data. More work must be done to characterize the
327 uncertainties introduced by the reduced resolution of the beacon data.

328 If the angle of propagation of an ICME can be estimated from an independent technique,
329 this parameter can be fixed in the analysis of the HI data and a true time/height profile
330 throughout the ICME propagation can be made. In this way, it becomes possible to assess
331 the assumption that an ICME does not accelerate within the HI field of view provided the
332 propagation direction remains fixed. Since the angle of propagation estimated from the
333 coronagraph data and the HI data were similar for this event (and indeed, many of those
334 events discussed in the survey by Davis et al., 2010) it seems reasonable to use the angle of
335 propagation from the coronagraph data to derive the time/height profile from the HI
336 observations. When this is done for the 8 April 2010 ICME the resulting time/height profile
337 is linear (within the uncertainties of the measurements) so, for this event, no detectable
338 acceleration occurs within the HI field of view.

339 **'Biesecker' technique and results**

340 Biesecker and colleagues used an empirical algorithm that uses the CME initial velocity, the
341 solar wind speed, and the source location of the CME to predict the onset time of the
342 sudden impulse (SI) at Earth. Whenever a SOHO/LASCO team halo CME alert indicates a
343 front-sided halo or partial halo CME, the empirical algorithm is employed.

344 The definitions of halo and partial halo CMEs used by this alert system are discussed in
345 detail elsewhere (St Cyr, 2005) but in summary, a halo CME is one in which the CME
346 surrounds the coronagraph occulting disk with an apparent width of 360° . Full halos can be
347 either symmetric or asymmetric depending on the origin and position angle of the CME.
348 Partial halo events are classified by those CMEs that have an apparent width of at least 180°
349 From the view of LASCO, along with data from the inner coronal instruments which provide
350 proof of origin, a halo is classified as either front-sided (Earth directed) or back-sided
351 (travelling away from the Earth).

352 The empirical algorithm discussed in this section was derived from looking at 31 well
353 identified and isolated halo CMEs observed with SOHO/LASCO and using only parameters
354 that would be easily available to forecasters. The algorithm first assumed a ballistic solution
355 and then the developers found the onset time error could be minimized by accounting for
356 acceleration/deceleration in the solar wind (assuming an aerodynamic drag equivalent
357 force, $(V_{ACE}-V_{CME})^2$) and a cosine correction for the source location of the CME. Using the
358 requirement that data had to be accessible to forecasters, the technique uses the CME
359 speed reported by LASCO and uses the hourly averaged solar wind speed observed at ACE at
360 the time the CME is first seen in LASCO C2. It was found that the uncertainty in arrival time
361 at Earth for this technique depended on the speed of the CME. For CMEs with speeds of less
362 than 500 km s^{-1} , the average uncertainty in arrival time was 7.2 hours, for CMEs travelling
363 between 500 and 1000 km s^{-1} the average uncertainty was 9.7 hours and for the fastest
364 CMEs (above 1000 km s^{-1}) the average uncertainty in arrival time was 5.7 hours. For the CME
365 of 8 April 2010, this technique predicted an arrival time at Earth of 06:30 UT on 11 April ± 8
366 hours.

367 Currently the estimate of the background solar wind speed is made from measurements at
368 the ACE spacecraft at the time of the CME launch. There is no guarantee that this speed is
369 representative of the solar wind speed throughout the inner heliosphere during the
370 propagation of the CME. Using a measure of the solar wind speed that better represents
371 these conditions should improve the accuracy of this technique but initial attempts to refine
372 the estimate of the ambient solar wind speed using predictions from the Wang-Sheeley-
373 Arge (WSA) model (Arge et al., 2004) did not improve the uncertainty in arrival time when
374 applied to the 31 events used to test this technique. The reason why the WSA model did not
375 improve the predictions is, as yet, unclear but may have something to do with the reduced
376 accuracy of the WSA during periods of high solar activity as was the case for most of the 31
377 test events.

378 **Enlil technique and results**

379 The Enlil model is a numerical MHD model of the heliosphere. It models a CME by launching
380 a spherical, over-pressured, hydrodynamic plasma cloud into a steady-state background
381 solar wind. From this it calculates parameters such as plasma density, velocity, temperature

382 and magnetic field in 4D (space and time). It can therefore be used to make predictions of
383 the arrival of the leading edge of the bulk ICME plasma cloud at Earth.

384

385 The basic driver for the model is a velocity field which is defined at the Enlil inner boundary
386 of $21.5 R_{\text{sun}}$. This velocity field is derived from the WSA model (Arge et al., 2004) which itself
387 takes solar magnetograms, in this case from the National Solar Observatory Global
388 Oscillation Network Group (GONG; Wing, 1998), and calculates this velocity field. So, by
389 itself, WSA-Enlil produces a measure/prediction of the 'ambient' solar wind.

390

391 It is possible to introduce a proxy CME into this model and make predictions about its
392 propagation. Within the model, a CME is defined as a sphere of enhanced plasma density
393 that occurs at a given point on the inner boundary (i.e., latitude, longitude, time) and has a
394 specified velocity and angular width.

395

396 The current version of Enlil can predict background magnetic field and the effects of the
397 shock compression and magnetic field draping around ejecta but cannot predict the internal
398 magnetic structure of transients. It traces the ejected (hydrodynamic) cloud which enables it
399 to differentiate between four scenarios in geospace: no disturbance, shock, ejecta, shock
400 plus ejecta.

401

402 For the event of 8 April 2010, the CME attributes were estimated in two ways, and the
403 model was run twice, once for each set of input parameters. The first model run (ENLIL_1)
404 used CME parameters derived from the STEREO/COR2 coronagraphs as described in the
405 coronagraph analysis section above. The resulting prediction was for an ICME arriving at
406 Earth at 09:00 on 11 April.

407

408 The second model run (ENLIL_2) used the elliptical cone method of Xie et al. (2004) to
409 analyze SOHO/LASCO C3 coronagraph images. Using difference imaging it is possible to
410 define a series of Sun-centered ellipses from which the essential CME parameters can be
411 calculated. The prediction from this model run was for an ICME arriving at Earth at 21:00 on
412 11 April 2010.

413

414 The difference between the two Enlil predictions is largely due to the different inputs used
415 in each model run. While the directions were similar in each case, the estimations of radial
416 velocity used were 500 km s^{-1} and 378 km s^{-1} respectively. The difference in ICME event
417 timings were just for the time at which the basic density pulse arrived at Earth. Note, in the
418 Enlil model there is no distinction between Earth and L1 - both are the same grid point
419 within the resolution of the model.

420

421 **Shock Time of Arrival (STOA) technique and results**

422 The STOA model was used to forecast the interplanetary shock wave's arrival using a
423 modification and application of the classical blast wave model (Dryer and Smart, 1984;
424 Smart and Shea, 1985; and Smith et al., 2000). STOA is based on similarity theory (Sedov,
425 1959) of blast waves, modified by the piston-driving concept, that emanate from point
426 explosions (Dryer, 1974). In this model, the initial explosion (solar event) drives a shock.

427 The shock is assumed to be initially driven at a constant speed, V_s , for a specified length of
428 time that is determined by the length of the GOES X-ray duration as a proxy (Smith et al.,
429 2000). The shock is then allowed to decelerate as a blast wave (where the shock wave's
430 speed, $V_s \sim R^{-1/2}$, and R is the heliocentric radius) as it expands outwards from the sun. The
431 magnitude of the total energy conversion process determines the solid angle of quasi-
432 spherical shock propagation, and how far the shock would propagate as it "rides over" a
433 uniform background solar wind. It is assumed that the fastest part of the shock is nearly
434 coincident with the radius vector from the center of the Sun through the flare site. The
435 flanks of the shock would first decay via viscous and ohmic dissipation to an MHD wave
436 [Jeffrey and Taniuti, 1964]; the fastest part would also eventually decay to an MHD wave.

437 The shock speed directly above the flare is usually determined from the observed metric
438 type II radio frequency drift rate using an assumed coronal density model. However, none
439 of the events of the new solar cycle, as of this writing, have been associated with metric
440 type II bursts. In this case, the event was reported as a CME with corresponding plane-of-
441 sky speeds. As a part of the forecasting program carried out on more than 675 events
442 during the last solar cycle 23 [Fry et al., 2003; Smith et al., 2000; McKenna-Lawlor et al.,
443 2006], it was found that the initial input shock velocity (from a solar flare's launch site near
444 central meridian and in the absence of an observed metric radio Type II drift) was taken to
445 be approximately twice the observed halo CME's plane-of-sky speed..

446 Based on the empirical studies of Lepping and Chao [1976], STOA uses a cosine function to
447 account for longitudinal dependence of the shock geometry in the ecliptic plane. The shock
448 speed is assumed to decrease from the maximum in the direction of the flare via this cosine
449 function, to give a nonspherical shape in longitude. This spatially dependent shock speed is
450 taken to be constant during the piston-driven phase.

451 During the blast wave phase, the longitudinal cosine shape is maintained. A relatively small
452 energy output (probably $<10^{30}$ ergs or $<10^{23}$ joules) would result in the shock's decaying to
453 an MHD wave prior to it reaching 1 AU. This decay would initially start at the flanks. STOA
454 allows for a radially variable background solar wind, which is uniform in solar longitude. This
455 is estimated from the solar wind velocity, V_{sw} , measured at L1 at the time of the flare. V_{sw} is
456 used to determine the radially varying background solar wind speed through which the
457 shock propagates, and thereby, the decay of the shock. No interplanetary structures such as
458 stream-stream interactions are considered. Required observational data are as follows: the
459 flare's solar longitude; the start time of the metric type II radio drift (essentially the peak
460 time of the soft X-ray flux); the proxy piston-driving time duration to half-maximum; and
461 V_{sw} . This last input provides a Parker-type radial and ecliptic plane speed profile that is
462 assumed to be fixed until the shock arrives at L1.

463 The Fearless Forecast ensemble of models (Fry et al., 2003) was set up to accept the same
464 input. This ensemble included STOA and three other models. Only STOA is included here
465 because similar root mean squares (about ± 12 hr) were achieved as differences between
466 predictions and "hits". For the event on 8 April, 2010, the input quantities for STOA were:

467 Start date 8 April 2010
468 Start time 03:25
469 Latitude 25° North
470 Longitude 16° East
471 Shock Velocity 600 kms⁻¹
472 Piston driving time 3 hours
473 Solar Wind Velocity 400 kms⁻¹

474

475 The start date and time correspond to the GOES event peak. Latitude and longitude refer to
476 the location of the event in HEEQ solar coordinates. The shock velocity refers to the initial
477 velocity of the shock, assumed (in the absence of a ground-based radio metric type II radio
478 drift in the present case) to be twice that of the CME. The piston-driving time is measured
479 from the width of the X-ray pulse at the linear half-width of the logarithmic scale of the
480 NOAA GOES X-ray monitor. The solar wind velocity, V_{sw} , refers to the speed of the
481 background solar wind measured at L1 at the time of the flare.

482 The STOA model physical output quantities are 1) shock arrival time at any point in the
483 ecliptic plane (chosen to be Earth in this case), 2) estimate of the shock's Alfvén Mach
484 number so that, when less than 1.0, it is implied that the shock has decayed to an MHD
485 wave, and 3) total transit time from the low corona to Earth. The predictions output from
486 this model were;

487 Mach 2.2 shock will reach Earth 11 April 2010 00:56
488 Total propagation time 69h 31m

489

490 There is no distinction, as in the Enlil model, between Earth and L1; both are the same grid
491 point within the resolution of the model.

492 **Assessing the accuracy of each forecast by comparison with in-situ data**

493 Using the in-situ data described in the introduction, it is possible to compare the predictions
494 made by each of the techniques listed above. Table II summarizes the accuracy of each of
495 the predictions. Of the five techniques that are outlined in this paper, four used
496 coronagraph images from either STEREO or SOHO to characterize the speed and direction of
497 the CME while one used images from the wide-field HI cameras onboard STEREO. The exact
498 feature associated with the CME also varies between techniques. Enhancements in electron
499 density are tracked by their scattering of sunlight in the STEREO and SOHO images while the
500 STOA technique predicts the arrival of the shock front. The ACE and WIND data reveal that,
501 for this event at least, the leading edge of the density enhancement occurs at approximately
502 the same time as the passage of the shock, so the arrival times of these two features can be
503 considered to be the same.

504 Although some of the predictions in this comparison were more accurate than others, none
505 predict the arrival time exactly. It is clear that to improve predictions further, either the
506 influence of the ambient solar wind in accelerating the CME beyond the coronagraphs' field
507 of view must be better characterized or the spatial and temporal resolution of
508 measurements made with the HI cameras must be increased to provide a more accurate
509 estimate of the CME speed in a region where the CME is likely to be undergoing little or no
510 acceleration.

511 **Conclusions**

512 While this study focuses on a single event, there are two conclusions that can be drawn
513 from this example. The most accurate prediction used STEREO coronagraph data to
514 ascertain the speed and direction of the CME close to the Sun, then used a model of the
515 ambient solar wind to account for any modulation of the CME speed as it propagated to 1
516 AU. Ascertaining the CME arrival time from HI measurements should, in principle, overcome
517 the need to model such acceleration since the measurements are made at sufficiently large
518 distances that the majority of this acceleration will have occurred. However the reduced
519 temporal/spatial resolution of beacon mode data reduces the accuracy of this technique.
520 When the science data from HI were subsequently used to estimate the arrival time of the
521 ICME at Earth, the estimate was much closer to the arrival according to the in-situ data.

522 To put the conclusions drawn from this single event in context, more events need to be
523 studied in this way, covering a range of CME speeds and solar wind conditions. To achieve
524 this, predictions need to be made ahead of time and made available in a public forum, such
525 as the STEREO Space Weather website, so that they are demonstrably unbiased by the
526 availability of post-event information and analysis. . Other techniques, notably the Hakamada-
527 Akasofu-Fry kinematical model (HAFv.2), are also used in real time (Fry et al., 2001, 2003; McKenna-
528 Lawlor et al., 2006) to predict the shock arrival; however, this model does not include explicit
529 consideration of the CME. Additional techniques, such as Tappin and Howard (2009) and Howard
530 and Tappin (2010), which have been used for CME reconstruction, remain untested in a real-time
531 forecasting environment.

532 Some advantage may be gained by combining some of the techniques listed within this
533 paper. We show, for example, that it is possible to use the trajectory of the CME estimated
534 from coronagraph data to constrain the HI measurements and produce a time/height profile
535 in which any acceleration could be measured. For the 8 April CME, the CME and solar wind
536 speeds were sufficiently close that no significant acceleration was detected in the HI data
537 when combining these techniques. It would be interesting to repeat this analysis for a much
538 faster CME.

539 There are many papers in the literature that reconstruct the sequence of events for
540 individual CMEs in great detail. These studies invariably enjoy the luxury of using high-
541 resolution science data drawn from many sources but after the fact. The challenge with true
542 space-weather forecasting is to reconstruct events as they are happening, using data sets

543 that are far less complete. It is important for the research community to realize that space
544 weather forecasting tools must work with near-real time data; such data is usually
545 compressed and/or binned and may have significant gaps in data coverage. Earth-orbiting
546 solar imagers do not suffer from such limited telemetry rates as the more distant probes
547 such as STEREO but because of their proximity to Earth do not provide the side-on view of
548 the Sun-Earth line necessary to determine the true velocity of a solar transient. The relative
549 merits of each of these systems will need to be considered when planning future
550 operational space-weather missions.

551 **Acknowledgements**

552 The authors would like to thank N. Ness at the Bartol Research Institute for supplying the
553 ACE Magnetic field data and D. J. MComas at the Southwest Research Institute for the
554 ACE/SWEPAM data. Both datasets were accessed from the CDAWeb interface supported by
555 the Goddard Space Flight Center via their website at <http://cdaweb.gsfc.nasa.gov>. DW was
556 supported by U.S. Navy contract N00173-10-1-G-001. CJF was supported by NASA grant
557 NNX10AQ29G. CADK was supported by NASA grant NNX09AJ84G.

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Measured and predicted CME speeds and directions				
Technique	Speed measured in coronagraph data	Assumed solar wind speed (kms^{-1})	Predicted Speed at 1 AU (kms^{-1})	Predicted direction (HEE longitude, degrees)
COR2 (geometric localization)	469 ± 27	-	469 ± 27	2 ± 13 east
COR2 (polarisation STEREO-A)	473 ± 59	-	473 ± 59	11 ± 3 west
COR2 (polarisation STEREO-B)	545 ± 42	-	545 ± 42	15 ± 1 east
HI	-	-	417 ± 67	12 ± 17 east
Biesecker	424.9	ACE data	-	-
Enlil (SOHO/LASCO)	424.9	WSA model	-	-
Enlil (STEREO/COR2)	469 ± 27	WSA model	-	-
STOA	600 (shock)	400	-	-

741

742 Table I

743 The STOA input shock speed in the 2nd column, in the absence of a metric Type II
744 observation and speed estimate, was assumed to be approximately twice the initial
745 LASCO C3 measurement (286 km/s) of the halo CME at 06:18 on 8 April 2010 (see text).
746

Predicted ICME arrival times compared with in-situ measurements				
Technique	Predicted arrival at ACE	Predicted arrival at Earth	Difference from in-situ observation (hours)	Lead time of prediction (days)
COR2 (geometric localization)	17:40 UT \pm 5 11 April 2010		+5.43	2.16
HI	01:13 UT \pm 1.18 12 April 2010	02:13 UT \pm 1.18 12 April 2010	+12.98	2.0
Biesecker	06:30 UT \pm 8 11 April 2010	06:30 UT \pm 8 11 April 2010	-5.73	1.6
Enlil (SOHO/LASCO)	21:00 UT 11 April 2010	21:00 UT 11 April 2010	+8.75	0.6
Enlil (STEREO/COR2)	09:00 UT 11 April 2010	09:00 UT 11 April 2010	-3.25	0.6
STOA	00:56 UT \pm 12 11 April 2010	00:56 UT \pm 12 11 April 2010	-12.25	1.5

748

749 Table II

750 Note: All predictions, except for STOA (Shock Time of Arrival) refer to the ICME which was
751 observed at L1 at 12:14 UT on 11 April 2010.

752

753 **Figure 1** (a) SOHO MDI magnetogram during the flare on 8 April at 03:15 UT (black negative
754 polarity, white positive) with the estimated tilt angle of the active region neutral line to the
755 solar equator (red). (b) The GONG potential field source surface model with the coronal
756 neutral line (yellow) and the source region indicated. (c) SOHO LASCO C2 observations of
757 the estimated tilt angle of the halo CME (green).

758 **Figure 2** ACE observations during the passage of the ICME through the L1 point. From top to
759 bottom these panels show; the three components of the Interplanetary Magnetic Field
760 (IMF) in GSM coordinates, the total magnetic field strength, the solar wind bulk velocity, the
761 proton number density and temperature. The passage of a shock is indicated by the dashed
762 vertical line at 12:14 UT on 11 April 2010. The ensuing enhancement in solar wind density
763 followed by an enhancement and rotation of the IMF reveals the passage of the ICME.

764 **Figure 3** Plasma and magnetic field observations from the SWE and the MFI instruments on
765 the Wind spacecraft for the time interval 12 UT, 10 April to 12 UT, 13 April showing the
766 passage of the ICME past the WIND spacecraft at the L1 point. The data are at ~95 s
767 temporal resolution. From top to bottom are plotted the proton number density,
768 temperature, bulk speed, dynamic pressure, total field strength and components of the
769 magnetic field in GSE coordinates, the proton beta (in red: the Alfvén Mach number) and
770 the pressures (red: magnetic; blue: proton thermal; black: their sum). The red trace in panel
771 2 is the expected proton temperature for normal solar wind expansion.

772 **Figure 4** The pitch angle distribution of suprathermal electrons centered on $E = 193.4$ eV
773 during the ICME interval. The data are from the Wind SWE/electron instrument and are
774 plotted at 12 s resolution. It is seen that the strahl electrons, which carry the heat flux from
775 the Sun, are generally unidirectional. Electrons are flowing against the field (large pitch
776 angle) until ~1 UT, 12 April (indicated by the arrow), and then are flowing along the field
777 (small pitch angle). A period of isotropic flow is indicated by a horizontal line above the plot.

778 **Figure 5** Beacon (a, b) and science data (c, d) images taken at similar times from the STEREO
779 COR2 coronagraphs. It is apparent that the level of detail in the science images is much
780 greater than in the beacon images but the overall extent of the CME observed in both
781 science and beacon data is similar for this particular case.

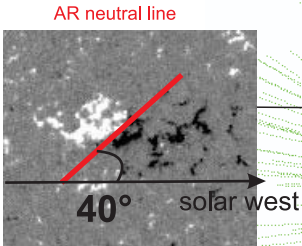
782 **Figure 6** The reconstructed CME projected onto the equatorial plane of the Sun on
783 8 April 2010 at 07:08 UT; Earth is toward the bottom of the plot. The scale size is indicated
784 by the hash marks, which are shown every 1 R_{sun} ; in addition, concentric circles are shown
785 every 5 R_{sun} . The viewing latitudes and longitudes on the plots refer to the observers
786 position in Heliographic Longitude (HGL) coordinates. The red points indicate the CME location as derived from COR2-
787 A percent polarisation measurements, the blue points indicate the CME location as derived
788 from COR2-B percent polarisation measurements, and the green quadrilaterals indicate
789 CME location as derived from geometric localization; superimposed on this stack are purple
790 quadrilaterals showing the location of the leading-edge.

791

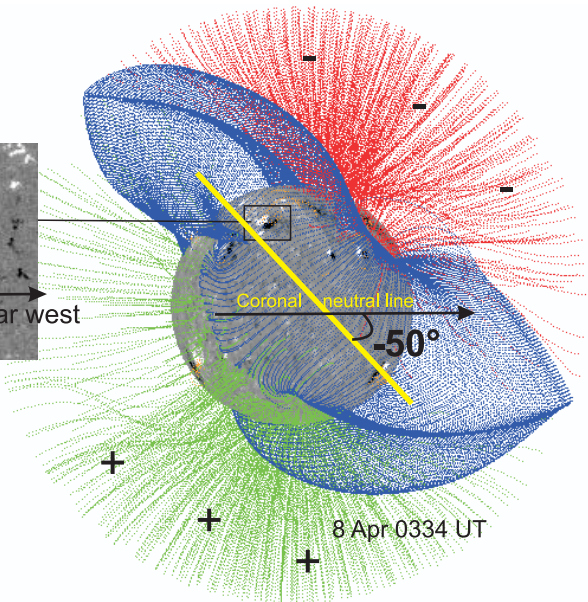
792 **Figure 7** Beacon and science data images of the ICME from the STEREO Heliospheric
793 Imagers. The presence of the ICME in each case is revealed by looking at the difference
794 between consecutive images in a time sequence. In this format, density enhancements
795 appear light while density depletions appear dark so a feature propagating across the image
796 will be seen to have a light leading edge and a dark trailing edge. Panels a and b show lossily
797 compressed and binned HI-1 images from the STEREO A and B spacecraft, respectively,
798 while panels c and d show similar images obtained from the higher resolution science data
799 from HI-1 on the STEREO A and B spacecraft, respectively. The difference in resolution
800 between the beacon and science data streams is apparent with much more detailed
801 structure visible in the science data.

802 **Figure 8** “J-maps” constructed from STEREO HI beacon mode and science images. These are
803 created by taking a strip through each image along the ecliptic (corresponding to the
804 position angle of the Earth) and stacking them vertically with time. The result is a map of
805 elongation versus time in which any outward propagating solar wind transient appears as a
806 feature with a positive gradient. As these J-maps are constructed from difference images,
807 such as those shown in figure 7, each feature appears with a light leading edge and a dark
808 trailing edge. To estimate the speed and direction of the ICME from the J-maps, the leading
809 edge of the feature was scaled by hand and the resulting time/elongation profiles were
810 analysed to estimate speed and direction of the ICME density front. As for figure 7, panels a
811 and b are the J-maps constructed from the HI-A and HI-B beacon data, respectively, while
812 panels c and d are the J-maps constructed from the HI-A and HI-B science data for the same
813 period. The data gaps in the HI-A beacon J-map (panel a) meant that for this event the
814 speed and direction of the ICME were estimated from the HI-B beacon data. The extra detail
815 apparent in the science data J-maps (panels c and d) enabled an improved estimate of the
816 ICME arrival time to be obtained after the event. The horizontal line at elongations of 57°
817 and 55° in panel c and d respectively is the Earth. The ICME can be seen to propagate out to
818 these elongations in both HI-A and HI-B (the latter having a noisier background because of
819 particle impacts on the instrument and the presence of the Milky Way).

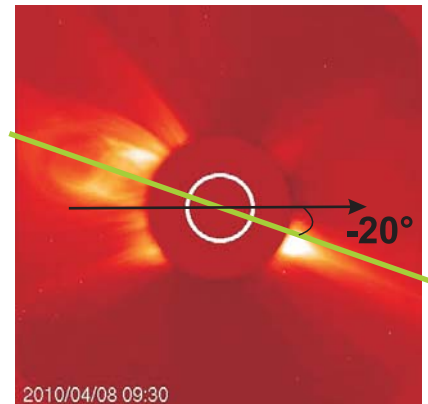
(a) SOHO MDI magnetogram

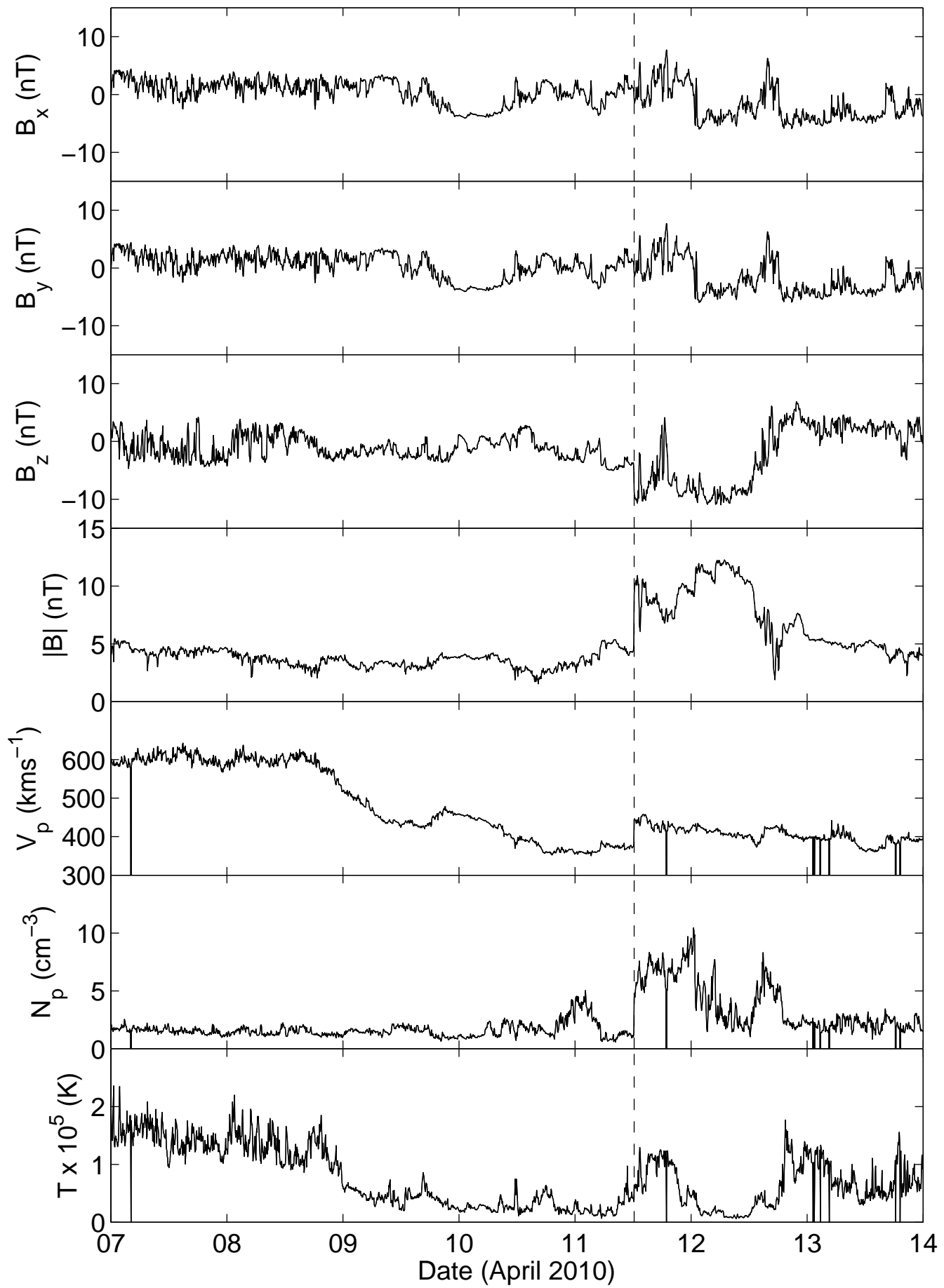


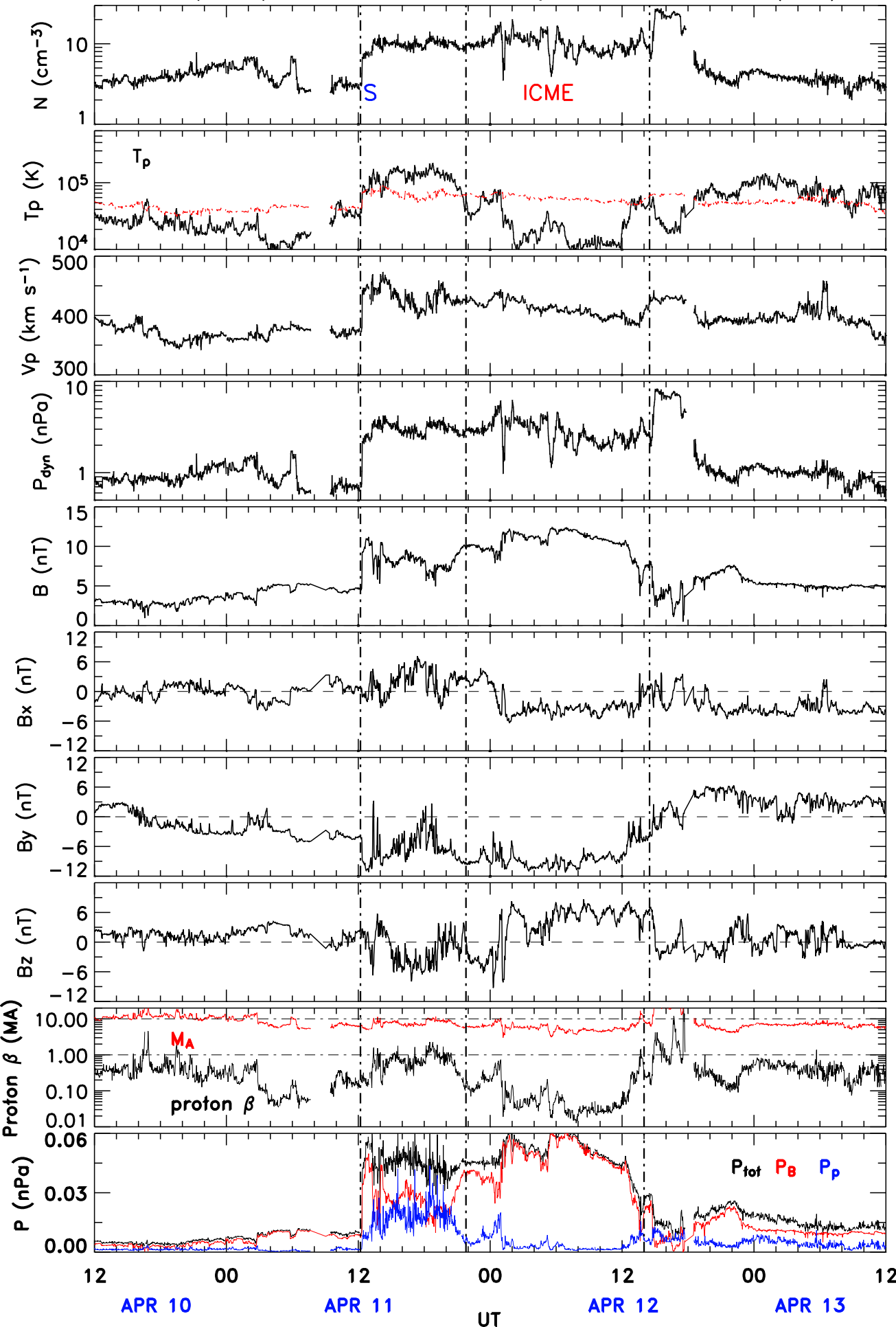
(b) GONG PFSS Line of Sight field plot



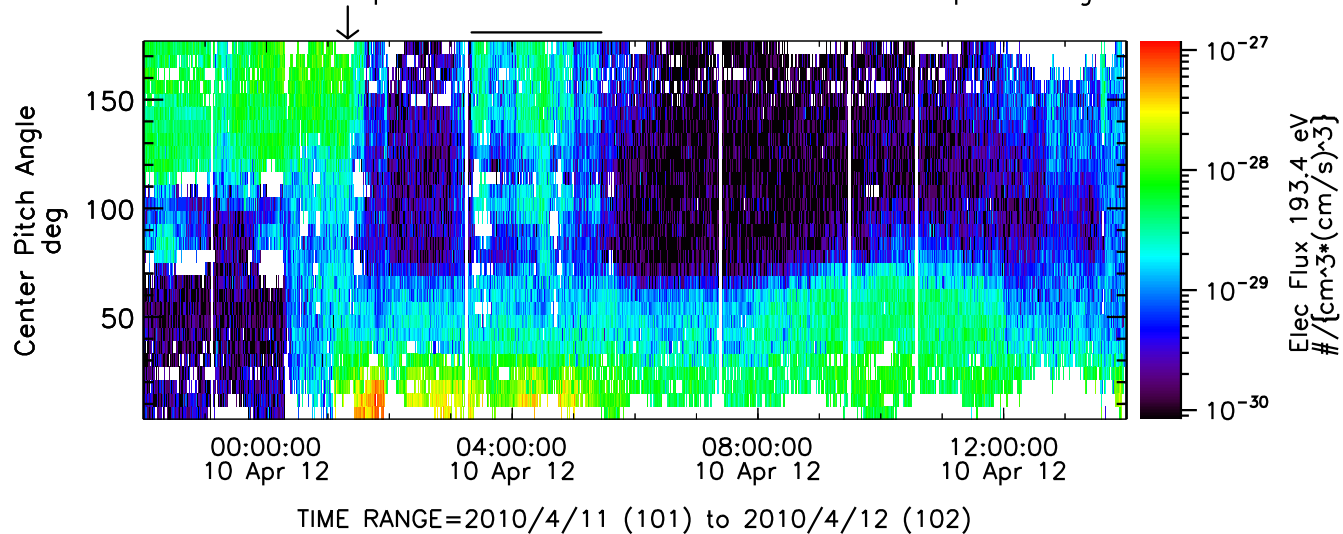
(c) SOHO LASCO C2

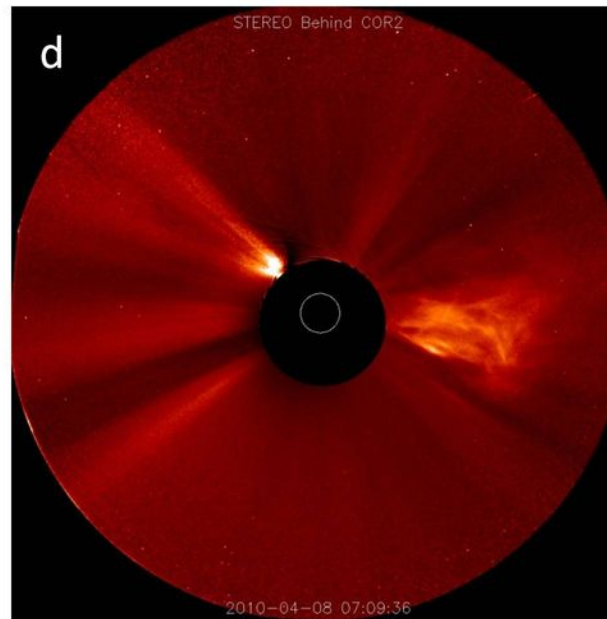
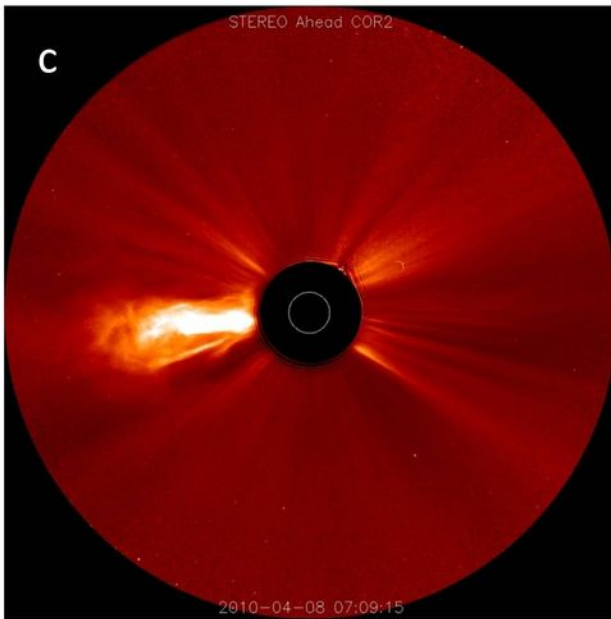
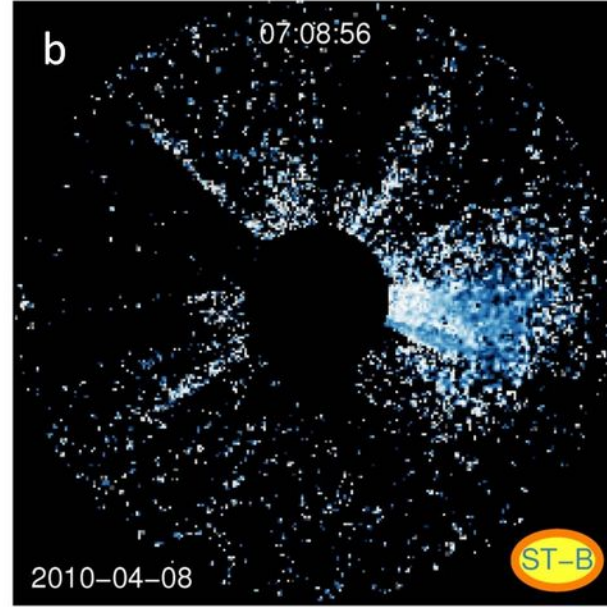
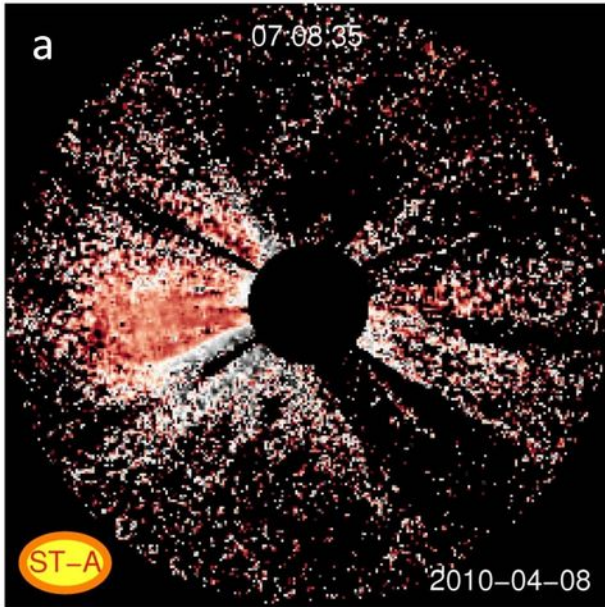






WIND SWE>Solar Wind Experiment H3>9 sec solar wind electron pitch-angle distributions

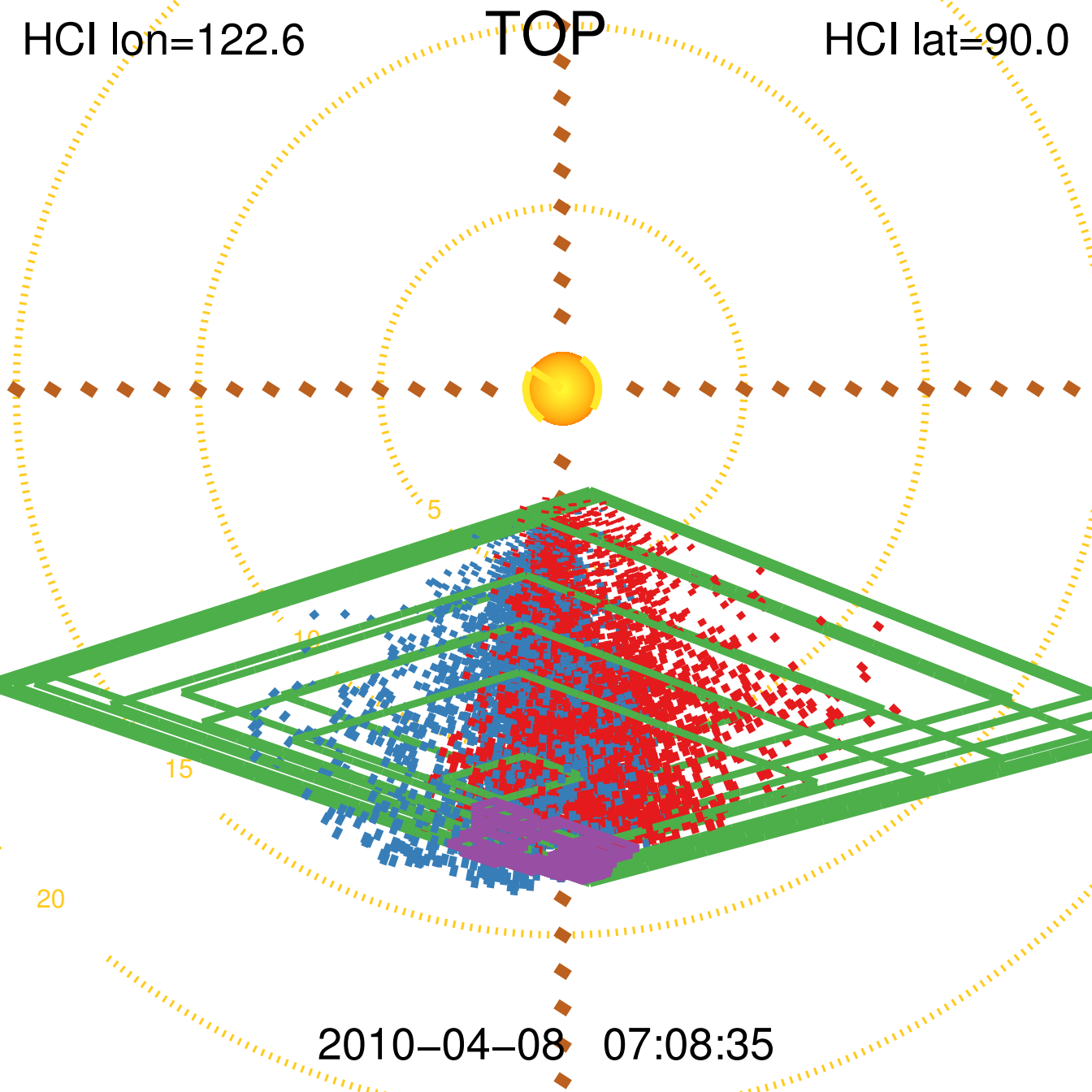


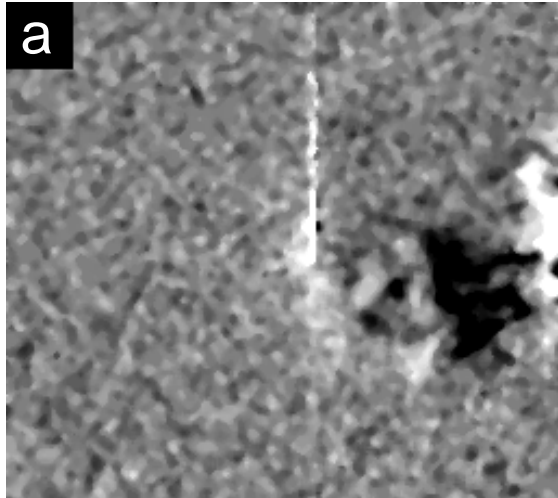


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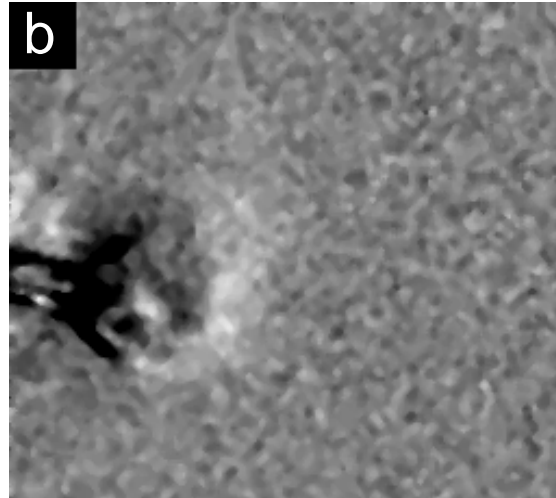
TOP

HCI lat=90.0

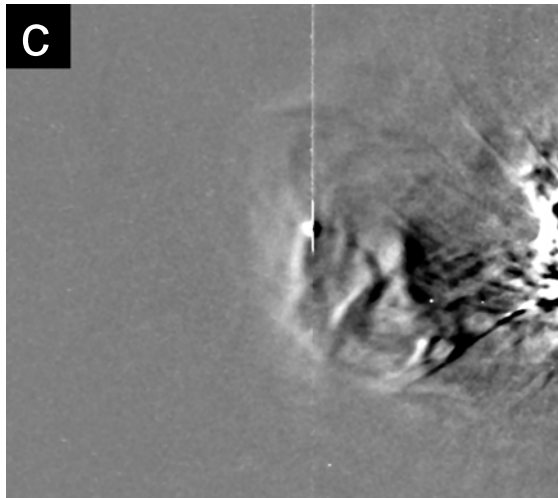




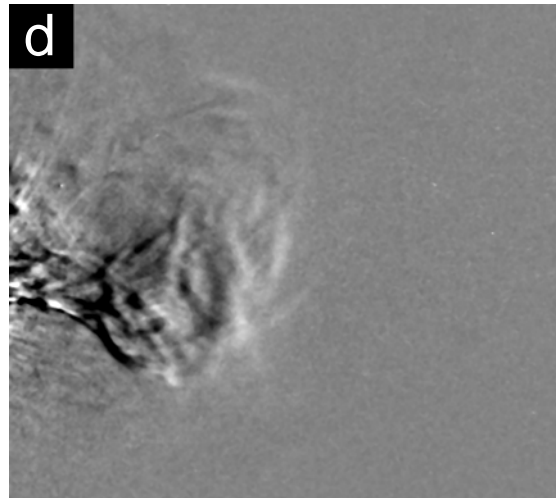
HI-1A: 2010-04-08 21:18UT



HI-1B: 2010-04-08 21:18UT



HI-1A: 2010-04-08 21:29UT



HI-1B: 2010-04-08 21:29UT

